

Oct. 18th. Green Function

classical Green Function:

$$\nabla^2 \phi = -\frac{1}{\epsilon_0} \rho(r) \quad \phi: \text{certain boundary}$$

First we solve electron-dot-like ϕ

$$\nabla^2 G(r) = \delta(r). \quad (1)$$

So that original function is:

$$\phi(r) = -\frac{1}{\epsilon_0} \int dr' G(r-r') \rho(r')$$

Function (1) is solved as:

$$G(r) = -\frac{1}{4\pi r}$$

So that $\phi(r)$ can be solved as:

$$\phi(r) = \frac{1}{4\pi\epsilon_0} \int dr' \frac{1}{|r-r'|} \rho(r')$$

For Schrodinger Equation:

$$i\hbar \partial_t \psi(r,t) = \hat{H} \psi(r,t)$$

$$(i\hbar \partial_t + \frac{\hbar^2}{2m} \nabla^2) \psi(r,t) = V(r,t) \psi(r,t)$$

First we solve Green Function like:

$$(i\hbar \partial_t + \frac{\hbar^2}{2m} \nabla^2) G(r,t;r',t') = \delta(r-r') \delta(t-t')$$

So that original function is:

$$\psi(r,t) = \int G(r,t;r',t') \psi(r',t') V(r',t') dr' dt'$$

Altogether. Green Function has the form like

$$G = \langle r | e^{-i/\hbar H(t-t')} | r' \rangle$$

$$= \langle r t | r' t' \rangle$$

In Eigenbasis $H|n\rangle = E_n|n\rangle$

$$\begin{aligned}
 G(r, r'; t, t') &= \sum_n \langle r | e^{-i/\hbar H(t-t')} | n \rangle \langle n | r' \rangle \\
 &= \sum_n \psi_n(r) \psi_n^*(r') \exp[-i/\hbar E_n(t-t')] \\
 G(r, r'; E) &= \int G(r, r'; t, t') e^{i/\hbar E(t-t')} dt \\
 &= \sum_n \frac{\psi_n(r) \psi_n^*(r')}{E - E_n}
 \end{aligned}$$

Green Function for many-body systems:

First we introduce three representations:

① Schrödinger Representation: H irrelevant with t .

$$i\partial_t \psi(t) = \hat{H} \psi(t) \quad \psi(t) = e^{-i\hat{H}t} \psi(0)$$

② Heisenberg Representation: $O_H(t) = e^{i\hat{H}t} O_S(t) e^{-i\hat{H}t}$

$$i\partial_t O_H(t) = [O_H(t), \hat{H}] \text{ with unchanged } \psi(0)$$

$$\langle \psi(0) | O_H(t) | \psi(0) \rangle = \langle \psi(t) | O_S(0) | \psi(t) \rangle$$

③ Interaction Representation:

$$\hat{\psi}(t) = e^{i\hat{H}_0 t} e^{-i\hat{H}t} \psi(0)$$

$$\hat{O}(t) = e^{i\hat{H}_0 t} O_S e^{-i\hat{H}_0 t}$$

$$\langle \hat{\psi}(t) | \hat{O}(t) | \hat{\psi}(t) \rangle = \langle \psi(0) | O_H(t) | \psi(0) \rangle \text{ unchanged}$$

$$\partial_t \hat{\psi}(t) = -i\hat{V}(t) \hat{\psi}(t)$$

Def: $U(t) = e^{i\hat{H}_0 t} e^{-i\hat{H}t} \quad \partial_t U(t) = -i\hat{V}(t) U(t)$

$$U(t) - U(0) = -i \int_0^t dt_1 \hat{V}(t_1) U(t_1) \rightarrow \left[1 - i \int_0^t dt_1 \hat{V}(t_1) \right]$$

Taylor Expand $t_1/n \dots$

$$U(t) = \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} \int_0^t dt_1 \int_0^{t_1} dt_2 \dots \int_0^{t_{n-1}} dt_n \hat{V}(t_1) \dots \hat{V}(t_n)$$

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Introduce time-ordering operator: $\hat{T}$

$$T(V(t_1)V(t_2)V(t_3)) = V(t_3)V(t_1)V(t_2) \quad t_3 > t_1 > t_2$$

Step Function

$$\theta(x) = \begin{cases} 1 & x > 0 \\ 1/2 & x = 0 \\ 0 & x < 0 \end{cases}$$

$$T[V(t_1)V(t_2)] = \theta(t_1 - t_2) V(t_1)V(t_2) + \theta(t_2 - t_1) V(t_2)V(t_1)$$

Therefore:

$$\begin{aligned} & \frac{1}{2!} \int_0^t dt_1 \int_0^t dt_2 \hat{T}[V(t_1)V(t_2)] \\ \Rightarrow & \int_0^t dt_2 \theta(t_1 - t_2) \int_0^{t_1} dt_1 \text{ and } \int_{t_1}^t dt_2 \theta(t_1 - t_2) \text{ is } 0 \\ \Rightarrow & \frac{1}{2!} \int_0^t dt_1 \int_0^{t_1} dt_2 \hat{V}(t_1)\hat{V}(t_2) + \frac{1}{2!} \int_0^t dt_2 \int_0^{t_2} dt_1 \hat{V}(t_2)\hat{V}(t_1) \\ = & \int_0^t dt_1 \int_0^{t_1} dt_2 \hat{V}(t_1)\hat{V}(t_2) \quad \text{[Diagram: A square in the } t_1-t_2 \text{ plane with } t_1 > t_2 \text{ shaded]} \\ = & \int_0^t dt_2 \int_0^{t_2} dt_1 \hat{V}(t_2)\hat{V}(t_1) \quad \text{[Diagram: A square in the } t_1-t_2 \text{ plane with } t_2 > t_1 \text{ shaded, labeled } \times 2 \text{]} \end{aligned}$$

Therefore

$$\begin{aligned} U(t) &= 1 + \sum_{n=1}^{\infty} \frac{(-i)^n}{n!} \left(\int_0^t dt_1 \dots \int_0^t dt_n \right) T[V(t_1) \dots V(t_n)] \\ &= T \left[\exp \left(-i \int_0^t dt_1 V(t_1) \right) \right] \end{aligned}$$

S-Matrix $\varphi(t) = U(t, 0) \varphi(0)$

$$\varphi(t') = S(t, t') \varphi(t')$$

$$\Rightarrow S(t, t') = U(t) U^\dagger(t')$$

$$\textcircled{1} S(t, t) = \hat{I}$$

$$\textcircled{2} S(t, t') = S(t', t)$$

$$\textcircled{3} S(t, t') S(t', t'') = S(t, t'')$$

$$\partial_t S(t, t') = -i V(t) S(t, t')$$

Therefore: $S(t, t') = T \exp \left(-i \int_{t'}^t dt_1 V(t_1) \right)$

$$= 1 + \sum_{n=1}^{\infty} \frac{(-i)^n}{n!} \left(\int_{t'}^t dt_1 \int_{t'}^{t_1} dt_2 \dots \right) T[V(t_1) \dots V(t_n)]$$

Further we Assume $\psi_S(0) = \psi_H(0) = \psi_I(0) = |GS\rangle$ of $H = \psi(0)$

Assume: Gell Mann's low theorem.

$$\psi(0) = S(0, -\infty) \phi_0 \rightarrow |GS\rangle \text{ of } H_0$$

[At $V(t=0)$, is $\psi(0)$]. [At $(-\infty)$ $V=0$], we introduce V very slowly so that ψ can always be ground state. ~~until~~ ^{finally} we arrive $(t=\infty)$, similar to adiabatic theorem.

Assume: $\psi(\infty) = S(\infty, 0) \psi(0) = \phi_0 e^{iL}$

V won't last long. very slowly $\rightarrow$ reduces to zero where system remains $|GS\rangle$, changed by a unitary transform e^{iL} .

$$e^{iL} = \langle \phi_0 | S(\infty, -\infty) | \phi_0 \rangle$$

Now we can have:

Green Function at $T=0$ for fermion:

$$G(\lambda, t-t') = -i \langle T [C_\lambda(t) C_\lambda^\dagger(t')] \rangle$$

λ : eg: lattice position i . $\langle \rangle$ average over GS of H

$$C_\lambda(t) = \text{Heisenberg } e^{iHt} C_\lambda e^{-iHt}$$

If λ eigenenergy of H , i.e:

$$H C_\lambda^\dagger |GS\rangle = \epsilon_\lambda C_\lambda^\dagger |GS\rangle$$

$$G(\lambda, t, t') = -i \langle G_S | e^{iHt} C_\lambda e^{-iHt} e^{iHt'} C_\lambda^\dagger e^{-iHt'} | G_S \rangle$$

$$= -i e^{-i(t-t')(\epsilon_\lambda - \epsilon_0)}$$

$$G(\lambda, t, t') = +i \langle G_S | C_\lambda^\dagger(t') C_\lambda(t) | G_S \rangle$$

Exchange Fermion $\times (-1)$

We change to Interaction Representation

$$C_\lambda(t) = e^{iH_0 t} e^{-iH_0 t'} C_\lambda(t') e^{iH_0 t'} e^{-iH_0 t}$$

$$= S(t, 0) C_\lambda(t') S(t, 0)$$

$$G(\lambda, t, t') \stackrel{H_0}{\sim} \langle G_S | = \langle G_S | S(-\infty, 0) \dots$$

$$= \langle G_S | S(-\infty, 0) S(0, t) C_\lambda^\dagger(t) S(t, 0) S(0, t') C_\lambda^\dagger(t') S(t', 0) S(0, -\infty) | G_S \rangle$$

$$+ i \theta(t-t') \langle G_S | S(-\infty, 0) S(0, t') C_\lambda^\dagger(t') S(t', 0) S(0, t) C_\lambda(t) S(t, 0) S(0, -\infty) | G_S \rangle$$

$$S(t, 0) S(0, -\infty) | G_S \rangle$$

where $\stackrel{H_0}{\sim} \langle G_S | S(-\infty, 0) = e^{-i\int_{-\infty}^0 \phi(\lambda)} \langle G_S | S(\infty, -\infty) S(-\infty, 0)$

$$= \langle G_S | S(\infty, -\infty) = \langle G_S | \text{the same} | G_S \rangle$$

$$\Rightarrow \frac{\langle G_S | S(\infty, 0)}{\langle G_S | S(\infty, -\infty) | G_S \rangle}$$

$$\Rightarrow G(\lambda, t, t') = \frac{-i}{\langle G_S | S(\infty, -\infty) | G_S \rangle} \times \left[\langle G_S | S(\infty, t) C_\lambda^\dagger(t) S(t, t') C_\lambda^\dagger(t') S(t', -\infty) | G_S \rangle - \langle G_S | S(\infty, t') C_\lambda^\dagger(t') S(t', t) C_\lambda^\dagger(t) S(t, -\infty) | G_S \rangle \right]$$

$\langle G_S | S(\infty, t) C_\lambda^\dagger(t) S(t, t') C_\lambda^\dagger(t') S(t', -\infty) | G_S \rangle$ First term.

$\langle G_S | S(\infty, t') C_\lambda^\dagger(t') S(t', t) C_\lambda^\dagger(t) S(t, -\infty) | G_S \rangle$ Second term.

First term:

$$\theta(t-t') \langle GS | T [C_{\lambda}(t) C_{\lambda'}^{\dagger}(t')] S(\infty, -\infty)] | GS \rangle \quad (t \rightarrow t').$$

Second term can be also expressed under $[T]$. Therefore:

$$G(\lambda, t-t') = -i \frac{\langle GS | T [C_{\lambda}(t) C_{\lambda'}^{\dagger}(t')] S(\infty, -\infty)] | GS \rangle}{\langle GS | T S(\infty, -\infty) | GS \rangle}$$

We transfer $|GS\rangle$ to $|GS\rangle_{H_0}$ under free-fermion basis. with introducing $\hat{T}$. Green Function under $|GS\rangle_{H_0}$ basis is much easier to solve without V_0 ^{interaction}, we calculate:

$$G^{(0)}(\lambda, t-t') = -i \langle GS | T [\hat{C}_{\lambda}(t) \hat{C}_{\lambda'}^{\dagger}(t')] | GS \rangle \quad \left[\begin{array}{l} \text{We want to expand} \\ G \text{ in over } G^{(0)} \\ \text{where } S(V=0) = 1. \end{array} \right]$$

① Empty Band: $C_{\lambda}|GS\rangle_0 = 0$ with No Fermi Seas Under.

$$G^{(0)}(\lambda, t-t') = -i \theta(t-t') e^{-i \epsilon_{\lambda}(t-t')}$$

$$\Rightarrow G^{(0)}(\lambda, \omega) = \int_R dt e^{i\omega t} G(\lambda, t)$$

$$= \int_R dt -i \theta(t) e^{-i \epsilon_{\lambda} t} e^{i\omega t}$$

we introduce $\left[\lim_{\delta \rightarrow 0} e^{i(\omega - \epsilon_{\lambda} + i\delta)t} \right]$ to solve the integral with δ a decay parameter.

$$= \frac{1}{\omega - \epsilon_{\lambda} + i\delta}$$

Cauchy - 主值 integral.

$$\delta \text{ is a small positive. } G^{(0)}(\lambda, \omega) = \underbrace{P \left[\frac{1}{\omega - \epsilon_{\lambda}} \right]}_{\text{主值}} - i\pi \delta(\omega - \epsilon_{\lambda})$$

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for f : complex function:

$$\left[\lim_{\epsilon \rightarrow 0} \int_a^b \frac{f(x)}{x + i\epsilon} dx \right] = -i\pi f(a) + \underbrace{P \left[\int_a^b \frac{f(x)}{x} dx \right]}_{\text{主值}}$$

② Fermi Sea. Def: $\eta_k = \epsilon_k - \mu$ P_F : Fermi Momentum.

$$\langle GS | C_k^\dagger C_k | GS \rangle = \theta(P_F - k)$$

$$\langle GS | C_k C_k^\dagger | GS \rangle = \theta(k - P_F).$$

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$$H = \sum_{\lambda} \epsilon_{\lambda} C_{\lambda}^{\dagger} C_{\lambda} \text{ where } \epsilon_{\lambda} = [\epsilon_{\lambda}] - \mu$$

$$C_{\lambda}(t) = e^{iHt} C_{\lambda} e^{-iHt}$$

$$G_{\lambda}^{(0)}(t-t') = -i [\theta(t-t') \theta(\epsilon_{\lambda}) - \theta(t'-t) \theta(1-\epsilon_{\lambda})] e^{-i\epsilon_{\lambda}(t-t')}$$

$$= -i \theta(\epsilon_{\lambda}) \int_0^{\infty} dt e^{i\omega t} e^{-i(\omega - \epsilon_{\lambda} + i\delta)t} - \theta(1-\epsilon_{\lambda}) \int_0^{\infty} dt e^{i\omega t} e^{-i(\omega - \epsilon_{\lambda} - i\delta)t}$$

$$= \frac{\theta(\epsilon_{\lambda})}{\omega - \epsilon_{\lambda} + i\delta} + \frac{\theta(1-\epsilon_{\lambda})}{\omega - \epsilon_{\lambda} - i\delta}$$

$$= \frac{1}{\omega - (\epsilon_{\lambda} - \mu) + i\delta_K} \quad \delta_K = \delta \cdot \text{sgn}(\epsilon_{\lambda} - \mu)$$

For phonons:

$$G: \text{Def: } D(q, \lambda, t-t') = -i \langle GS | T A_{q\lambda}(t) \bar{A}_{q\lambda}(t') | GS \rangle$$

$$A_{q\lambda} = a_{q\lambda} + a_{-q\lambda}^{\dagger} \quad \lambda: \text{polarization of phonons}$$

$$D(q, t-t') = -i \frac{\langle T \hat{A}_{q\lambda}(t) \bar{A}_{q\lambda}(t) S(\infty, -\infty) \rangle_0}{\langle S(\infty, -\infty) \rangle_0}$$

$$D^0(q, t-t') = -i \langle T \hat{A}_{q\lambda}(t) \bar{A}_{q\lambda}(t) \rangle_0$$

similar as discussed above:

$$= -i \langle | a_q e^{-i\omega_q t} + a_q^\dagger e^{i\omega_q t} | a_q e^{-i\omega_q t'} + a_q^\dagger e^{i\omega_q t'} | \rangle_0$$

At $T=0$. $\langle a_q^\dagger a_q \rangle_0 = 0$ $\langle a_q a_q^\dagger \rangle_0 = 1$

$$D^0(q, t-t') = -i [\theta(t-t') e^{-i\omega_q(t-t')} + \theta(t'-t) e^{i\omega_q(t-t')}]$$

$$D^0(q, \omega) = \int_R dt D^0(q, t) = \frac{2\omega_q}{\omega^2 - \omega_q^2 + i\delta}$$

Now we solve $G^{(0)}$ under non-interactive expression; we want to form G in certain cases with $G^{(0)}$. firstly:

we introduce $S(\infty, -\infty) = 1 + \sum_{n=1}^{\infty} \frac{(-i)^n}{n!} \int_R [dt_1 \dots dt_n] T[V(t_1) \dots V(t_n)]$

into $G = -i \langle T | C_{\hat{\chi}}^\dagger C_{\hat{\chi}}(t') S(\infty, -\infty) | \rangle_0$

$$= \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} \int_R [dt_1 \dots dt_n] \frac{\langle T [C_p^\dagger(t) \hat{V}(t_1) \dots \hat{V}(t_n) C_p(t')] \rangle_0}{\langle S(\infty, -\infty) \rangle_0}$$

we want to calculate:

$$\langle GS | T C_p^\dagger(t) \hat{V}(t_1) \dots \hat{V}(t_n) C_p(t') | GS \rangle_0$$

we introduce $\hat{V}(t_i)$ over plane-wave bases:

Schrodinger-representation $V(t_1) = \frac{1}{2} \sum_{\mathbf{k}\mathbf{k}'} \sum_{\mathbf{q}\mathbf{q}'} \frac{4\pi e^2}{q^2} C_{\mathbf{k}\mathbf{q}} C_{\mathbf{k}'\mathbf{q}'} C_{\mathbf{k}'} C_{\mathbf{k}}$

$\hat{V}(t_i)$ = interaction-representation $\times e^{i\epsilon t_i} (\eta_{\mathbf{k}\mathbf{q}} + \eta_{\mathbf{k}'\mathbf{q}'} - \eta_{\mathbf{k}} - \eta_{\mathbf{k}'})$

$= e^{iH_0 t_i} V e^{-iH_0 t_i}$; $C_{\mathbf{k}}(t) = e^{-i\eta_{\mathbf{k}} t} C_{\mathbf{k}}$ ($\eta_{\mathbf{k}} = \epsilon_{\mathbf{k}} - \mu$)

$$\langle T a \beta^\dagger \rangle_0$$

we introduce

$$\textcircled{1} -i \langle T [C_{\alpha}(t) C_{\beta}^{\dagger}(t')] \rangle_0 = \begin{cases} 0 & \alpha \neq \beta \\ G_{\alpha}^{(0)}(t-t') & \alpha = \beta \end{cases} \quad \langle C_k C_{k'} \rangle_0 = 0 \quad k \neq k'$$

$$\textcircled{2} -i \langle T C_{\alpha}(t) C_{\beta}^{\dagger}(t_1) C_{\gamma}(t_2) C_{\delta}^{\dagger}(t') \rangle_0 = \begin{cases} \text{nonzero if } \left. \begin{array}{l} \alpha = \beta \quad \gamma = \delta \\ \alpha = \delta \quad \beta = \gamma \end{array} \right\} \\ 0 \quad \text{others.} \end{cases}$$

We can introduce Wick's theorem to calculate these terms.

① C^+, C pair (α, γ, t) with (β, δ, t') $^+$ 在前无 $^+$ 在后

$$\textcircled{2} \text{保留 } T: \quad \langle T a \beta \rangle_0, \langle T \gamma \delta \rangle_0, \langle T a \beta^\dagger \gamma \delta^\dagger \rangle_0$$

$$\textcircled{3} \text{Fermion Sign } (-) \langle T a \delta \rangle_0 \langle T \gamma \beta \rangle_0 = \text{形式一致可验}$$

$$= \delta_{\alpha\beta} \delta_{\gamma\delta} G_{\alpha}^{(0)}(t-t_1) G_{\gamma}^{(0)}(t_2-t')$$

$$- \delta_{\alpha\delta} \delta_{\gamma\beta} G_{\alpha}^{(0)}(t-t') G_{\gamma}^{(0)}(t_2-t)$$

④ electron commutes with phonon

$$\langle T C_p(t) C_p^{\dagger}(t_1) A_{q_1}(t_1) C_{p_2}(t_2) C_{p_3}^{\dagger}(t_3) A_{q_2}(t_2) \rangle_0$$

$$(A_q = a_q + a_q^{\dagger})$$

$$= \langle T C_p(t) C_{p_1}^{\dagger}(t_1) C_{p_2}(t_2) C_{p_3}^{\dagger}(t_3) \rangle_0 \langle T A_{q_1}(t_1) A_{q_2}(t_2) \rangle_0$$

⑤ phonons

$$\langle T A_{q_1}(t_1) A_{q_2}(t_2) A_{q_3}(t_3) A_{q_4}(t_4) \rangle_0$$

$$= \langle A_{q_1} A_{q_2} \rangle_0 \langle T A_{q_3} A_{q_4} \rangle_0 \oplus \langle T A_{q_1} A_{q_3} \rangle_0 \langle T A_{q_2} A_{q_4} \rangle_0$$

$$\oplus \langle T A_{q_1} A_{q_4} \rangle_0 \langle T A_{q_2} A_{q_3} \rangle_0$$

Boson

$$\delta_{q_1, -q_2} \delta_{q_3, -q_4} D^{(0)}(q_1, t_1 - t_2) D^{(0)}(q_3, t_3 - t_4) + \delta_{q_1, -q_4} \delta_{q_2, -q_3} D^{(0)}(q_1, t_1 - t_4) D^{(0)}(q_2, t_2 - t_3)$$

$$\delta_{q_1, -q_3} \delta_{q_2, -q_4} D^{(0)}(q_1, t_1 - t_3) D^{(0)}(q_2, t_2 - t_4)$$

$$\text{Def: } n_F = \langle C(t_1) C(t_1) \rangle_0$$

$$1 - n_F = \langle C(t_1) C^\dagger(t_1) \rangle_0$$

$$\begin{aligned} \textcircled{6} \text{ if } t_2 = t_1 \quad \langle T(k_1 t_1) (k_2 t_2)^\dagger \rangle_0 &= \delta_{k_1 k_2} \langle T t, t \rangle_0 \\ &= \delta_{k_1 k_2} \langle T \cdot k \rangle_0 = \langle k \rangle_0 \cdot \delta_{k_1 k_2} \\ &= n_F (E_{\lambda} - \mu) \delta_{k_1 k_2} \end{aligned}$$

$$\textcircled{7} C \text{ before } C^\dagger: \langle C C^\dagger \rangle_0 = i G^{(0)}$$

$$\langle C^\dagger C \rangle_0 = -i G^{(0)} (k, t_2 - t_1)$$

$$\langle C(t_2) C^\dagger(t_1) \rangle_0 = G^{(0)}(k, t_2 - t_1) \cdot (-i) \quad t(t) - t(t^+)$$

$$\text{Eg: For } G: \sum_{n=0}^{\infty} n=0 \text{ is } G^{(0)}$$

$n=1:$

$$\frac{(-i)^2}{2!} \int_R dt_1 \quad \langle T C_p(t) V(t_1) C_p^\dagger(t') \rangle_0$$

分母 $\langle SCR \rangle$

$$+ \frac{(-i)^3}{3!} \int_R dt_1 dt_2 \quad \langle T C_p(t) V(t_1) V(t_2) C_p^\dagger(t') \rangle_0$$

分母 $\langle SCR \rangle$

$$\text{If we insert interaction: } \hat{V} = \sum_{\mathbf{q}, \mathbf{k}} M_{\mathbf{q}} \hat{A}_{\mathbf{q}} \cdot \hat{C}_{\mathbf{k}+\mathbf{q}}^\dagger \cdot \hat{C}_{\mathbf{k}}$$

$$\text{for } n=1. \quad \langle C \cdot A \cdot C^\dagger \cdot C \cdot C^\dagger \rangle_0 \text{ 奇数, 必零}$$

so $n=1$ is 0

$$\text{for } n=2. \quad \langle C \cdot A_{t_1} C_{t_1}^\dagger C_{t_1}^\dagger A_{t_2} C_{t_2}^\dagger C_{t_2} C \rangle \text{ Exist}$$

$$= \frac{(-i)^3}{2!} \int_R dt_1 dt_2 \sum_{\mathbf{q}, \mathbf{q}_2} M_{\mathbf{q}_1} M_{\mathbf{q}_2} \langle \hat{A}_{\mathbf{q}_1}(t_1) \hat{A}_{\mathbf{q}_2}(t_2) \rangle_0$$

separate fermion, phonon.

$$X \sum_{\mathbf{k}, \mathbf{k}_2} \langle T C_{p\delta}^\dagger(t_1) C_{kq_1s}^\dagger(t_1) C_{k_1s}(t_1) C_{k_2s'}^\dagger(t_2) C_{k_2s'}(t_2) C_{p\delta}^\dagger(t') \rangle_0$$

① Fermion 算子 $\times$ ② $\left\{ \begin{array}{l} \langle t \dagger t \rangle_0 = \dots \\ \langle t \cdot t \rangle_0 = n_F \end{array} \right.$ 则 内部为费

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$G^{(0)} = -i \langle \dots \rangle_0$ NOTE

First phonon term calculate:

① $\langle T A_{q_1}^\dagger(t_1) A_{q_2}(t_2) \rangle_0 = i \delta_{q_1, -q_2} D^{(0)}(q_1, t_1 - t_2)$

Second term electron calculate. Six term.

② $\langle T C_{p\sigma}(t) C_{k_1+q_1}^\dagger(t_1) C_{k_1}(t_1) C_{k_2+q_2}^\dagger(t_2) C_{k_2}(t_2) C_{p\sigma}^\dagger(t') \rangle_0$
 ①(t) ②(t₁) ③(t₁) ④(t₂) ⑤(t₂) ⑥(t')

Step I: (①③⑤) pair (②④⑥)[†] [Sign]

[1] $\langle T ①(t) ②^\dagger(t_1) \rangle_0 \langle T ③(t_1) ④^\dagger(t_2) \rangle_0 \langle T ⑤(t_2) ⑥^\dagger(t') \rangle_0$ (Step II) [x]

[2] $\langle T ①(t) ②^\dagger(t_1) \rangle_0 \langle T ③(t_1) ⑥^\dagger(t') \rangle_0 \langle T ⑤(t_2) ④^\dagger(t_2) \rangle_0$ $\overset{123654}{\sim} \times (-1) \rightarrow -x$

[3] $\langle T ①(t) ④^\dagger(t_2) \rangle_0 \langle T ③(t_1) ②^\dagger(t_1) \rangle_0 \langle T ⑤(t_2) ⑥^\dagger(t') \rangle_0$ $\overset{143256}{\sim} \times (-1) \rightarrow -x$

[4] $\langle T ①(t) ④^\dagger(t_2) \rangle_0 \langle T ③(t_1) ⑥^\dagger(t') \rangle_0 \langle T ⑤(t_2) ②^\dagger(t_1) \rangle_0$ $\overset{143653}{\sim} \times 1$

[5] $\langle T ①(t) ⑥^\dagger(t') \rangle_0 \langle T ③(t_1) ②^\dagger(t_1) \rangle_0 \langle T ⑤(t_2) ④^\dagger(t_2) \rangle_0$ $\overset{163254}{\sim} \times (-1) \rightarrow -x$

[6] $\langle T ①(t) ⑥^\dagger(t') \rangle_0 \langle T ③(t_1) ④^\dagger(t_2) \rangle_0 \langle T ⑤(t_2) ②^\dagger(t_1) \rangle_0$ $\overset{163452}{\sim} \times (-1) \rightarrow -x$

$= i^3 \delta_{p=k_2=k_1+q_1} \delta_{s=s'=s} G^{(0)}(p, t, t_1) G^{(0)}(p, t_1 - t_2) G^{(0)}(p, t_2, t')$ [1]

$+ i^3 \delta_{p=k_1=k_2+q_1} \delta_{s=s'=s} G^{(0)}(p, t, t_2) G^{(0)}(p, t_2 - t_1) G^{(0)}(p, t_1, t')$ [4]

$+ i^3 \delta_{q_1=0} \delta_{p=k_1} \delta_{s=s'=s} n_F(E_{k_1}-\mu) G^{(0)}(p, t, t_1) G^{(0)}(p, t_1, t')$ [2]

$+ i^3 \delta_{q_2=0} \delta_{p=k_1} \delta_{s=s'=s} n_F(E_{k_1}-\mu) G^{(0)}(p, t, t_2) G^{(0)}(p, t_2, t')$ [3]

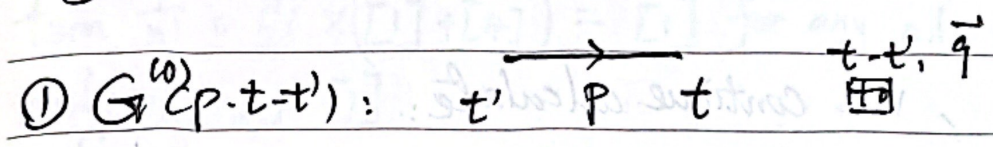
步 2 $\uparrow$ (-1) 本为 1. $\left\{ \begin{array}{l} \eta_F = \langle C^\dagger C(t_1) \rangle_0 \\ 1 - \eta_F = \langle C(t_1) C^\dagger(t_1) \rangle_0 \end{array} \right.$

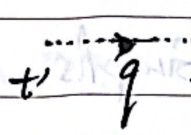
NOTES

$+ i \delta q_1 \approx \delta q_2 \approx \eta_F (\epsilon_{k_1} - \mu) \eta_F (\epsilon_{k_2} - \mu) G^{(0)}(p, t-t') \quad [5]$

$(-i)^3 \delta_{k=k_2} \delta_{s'=s} G^{(0)}(p, t-t') G^{(0)}(k_1, t_1-t_2) G^{(0)}(k_1+q, t_2-t_1), \quad [6]$
 本为 (-1). $\overline{k_2}$

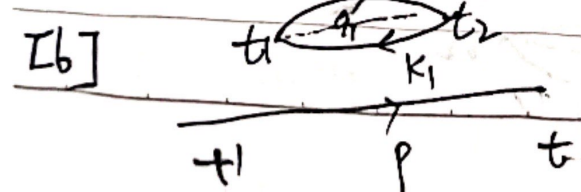
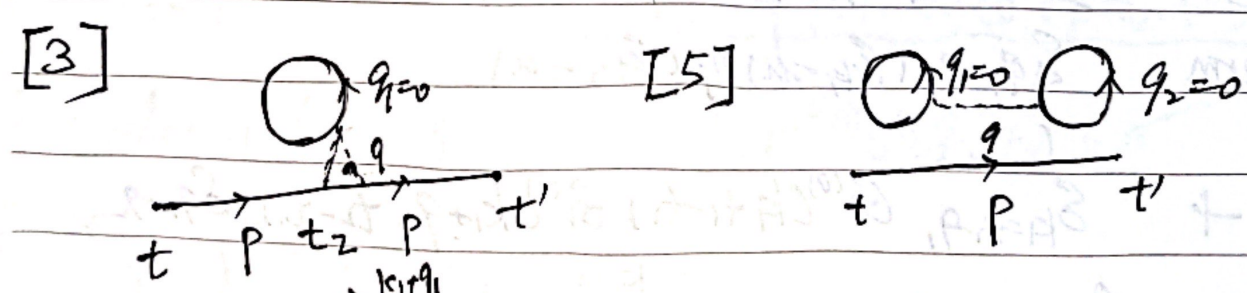
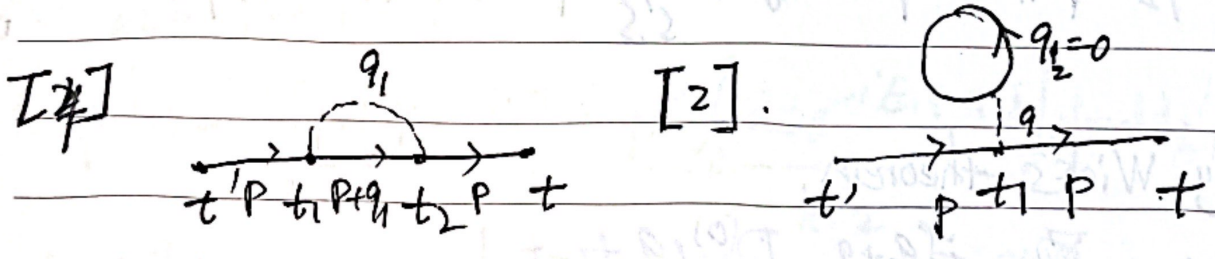
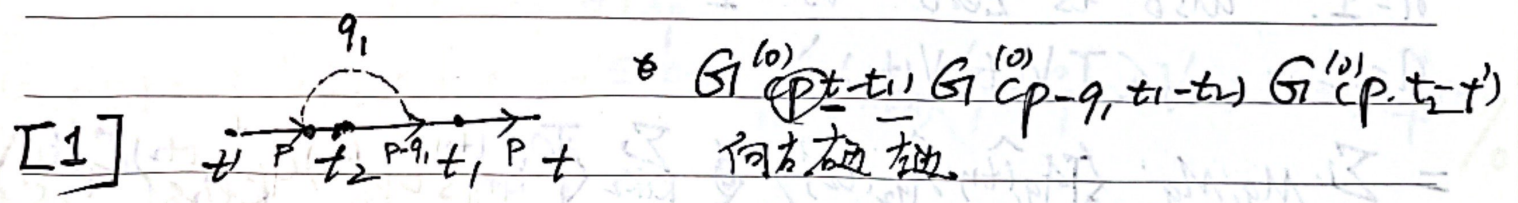
Feynman Diagram.



② $\bar{D}^{(0)}(q, t-t') :$  + No $\square$ Because $D^{(0)}(q, t-t') = D^{(0)}(-q, t-t')$

③ $\langle C_{ps}^\dagger C_{ps} \rangle_0 = \eta_F(\epsilon_{k, \mu}) : \bigcirc p$

④ e-e interaction. $V_q = \frac{1}{q}$



$$[1]: \frac{1}{2!} \int_R dt_1 dt_2 \sum_q \frac{1}{Mq} D^{(0)}(q, t_1 - t_2) G^{(0)}(p, t - t_1) G^{(0)}(p - q, t_1 - t_2) G^{(0)}(p, t_2)$$

$$[4]: \frac{1}{2!} \int_R dt_1 dt_2 \sum_q \frac{1}{Mq} D^{(0)}(q, t_1 - t_2) G^{(0)}(p, t_2 - t_1) G^{(0)}(p + q, t_2 - t_1) G^{(0)}(p - t_1 - t_2)$$

$$t_1 \leftrightarrow t_2 \quad q \leftrightarrow -q \text{ for } D^{(0)} \text{ equiv.} \quad \text{Hence } [1] = [4]$$

We pause Here. , we continue calculate:

$$\langle S(\infty, -\infty) \rangle_0$$

Vacuum polarization Graphs.

$$\langle S(\infty, -\infty) \rangle_0 = \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} \int_R [dt_1 \dots dt_n] \langle T [\hat{V}(t_1) \dots \hat{V}(t_n)] \rangle_0$$

If we also use interaction $\hat{V} = \sum_{\mathbf{q}, \mathbf{k}, s} M_{\mathbf{q}} \hat{A}_{\mathbf{q}} \hat{C}_{\mathbf{k}+\mathbf{q}, s}^\dagger \hat{C}_{\mathbf{k}, s}$

$n=1$. also is zero is 1

$$n=2. \quad \langle T \hat{V}(t_1) \hat{V}(t_2) \rangle_0$$

$$= \sum_{\mathbf{q}_1, \mathbf{q}_2} M_{\mathbf{q}_1} M_{\mathbf{q}_2} \langle \hat{A}_{\mathbf{q}_1}(t_1) \hat{A}_{\mathbf{q}_2}(t_2) \rangle_0 \sum_{\substack{\mathbf{k}_1, \mathbf{k}_2 \\ s_1, s_2}} \langle \hat{C}_{\mathbf{k}_1+\mathbf{q}_1, s_1}^\dagger(t_1) \hat{C}_{\mathbf{k}_1, s_1}(t_1) \hat{C}_{\mathbf{k}_2+\mathbf{q}_2, s_2}^\dagger(t_2) \hat{C}_{\mathbf{k}_2, s_2}(t_2) \rangle_0$$

Also Apply Wick's theorem.

First term. $\delta_{\mathbf{q}_1+\mathbf{q}_2} D^{(0)}(q, t_1 - t_2)$

Second term. $\delta_{\mathbf{q}_1, \mathbf{q}_2} \eta_F(\mathbf{E}_{\mathbf{k}_1} - \mu) \eta_F(\mathbf{E}_{\mathbf{k}_2} - \mu)$

$$+ \delta_{\mathbf{k}_1=\mathbf{k}_2, \mathbf{q}_1} G^{(0)}(\mathbf{k}_1, t_1 - t_1) G^{(0)}(\mathbf{k}_1 + \mathbf{q}_1, t_2 - t_1) \delta_{\mathbf{q}_1+\mathbf{q}_2}$$

Graph:

Nov. 1st.

如果 consider the distribution of S , 分子中不连续图作用被抵消.

If we consider the connected Graph Among $[1] \sim [6]$
 $[1] \sim [2]$ consider. Now $[1]$ and $[4]$ are similar. $G = \sum \frac{(-1)^n}{n!} (\dots)$
 term $\frac{1}{n!} = \frac{1}{2!} \times ([1] + [4]) = [1]$ for any $n!$. 抵消个数. we
 only consider $[1]$.

Dyson Equation: In Energy space

$$G(p, E) = \int_R dt (t-t') e^{-iE(t-t')} G(p, t-t')$$

we remember.

$$G^0(p, E) = [E - \epsilon_p + i\delta]^{-1} \text{ for empty band.}$$

$$[E - \epsilon_p + i\delta_p]^{-1} \text{ for fermi sea.}$$

$$G(p, E) = -i \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \int dt e^{-iE(t-t')} \int_R (dt_1 - dt_n) \langle T C_p(t) V(t_1) \dots V(t_n) C_p^\dagger(t') \rangle_0$$

We also consider $n=2$

$$G(p, E) = \underbrace{G^0(p, E)}_{n=0} + i \sum_{n=1}^{\infty} |M_q|^2 \int_R dt e^{-iE(t-t')} \int dt_1 dt_2 \times$$

$$(n=1 \text{ 为 } 0) \quad G^0(p, t-t_1) G^{(0)}(p, q, t_1-t_2) G^{(0)}(p, t_2-t') D^{(0)}(q, t_1-t_2)$$

$$\text{For phonon term } D(q, \omega) = \int_R dt e^{i\omega t} D(q, t) \quad \nearrow \text{用 } D^{(0)}(q, \omega) \text{ 取代}$$

$$= [G^{(0)}(p, E)]^2 \times G^{(0)}(p, q, E - \omega) \searrow$$

$$G^{n=2} = \int dt e^{i(t-t_1)E} G^{(0)}(p, t-t_1) \int_R dt_1 e^{i(t_1-t_2)E} G^{(0)}(p, q, t_1-t_2) \int_R dt_2 e^{i(t_2-t')E} G^{(0)}(p, t_2-t')$$

DATE

we arrive: 自能. self-energy

$$\begin{cases} G(p, E) = G^{(0)}(p, E) + [G^{(0)}(p, E)]^2 \Sigma^{(1)}(p, E) \\ \Sigma^{(1)}(p, E) = i \int_R \frac{d\omega}{2\pi} \sum_q |M_q|^2 D^{(0)}(q, \omega) G^{(0)}(p-q, E-\omega) \end{cases}$$

combine $G: n=0, 2, 4, \dots$

$$G(p, E) = G^{(0)}(p, E) \left(1 + G^{(0)} \left(\Sigma^{(1)} + \Sigma^{(2a)} + \Sigma^{(2b)} + \Sigma^{(2c)} + [G^{(0)} \Sigma^{(1)}]^2 \right) \right)$$

Define: $\frac{(G^{(0)})^3 (\Sigma^{(1)})^2}{n=4 \text{ 有这项}}$

$$G(p, E) = G^{(0)}(p, E) \frac{1}{1 - G^{(0)}(p, E) \Sigma(p, E)}$$

where

$$\Sigma(p, E) = \sum_j \Sigma^j(p, E) = \Sigma^{(1)}(p, E) + \Sigma^{(2a)} + \Sigma^{(2b)} + \Sigma^{(2c)}$$

那么 $\frac{1}{1 - G^{(0)}(p, E) \Sigma(p, E)}$ 自动出现高阶项

$$= G^{(0)} + G^{(0)2} \Sigma + G^{(0)3} \Sigma^2 + \dots$$

So, the Result Arrives:

$$G(p, E) = \frac{1}{E - \epsilon_p + i\delta - \Sigma(p, E)} \quad \text{Empty Band}$$

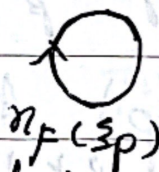
$$G(p, E) = \frac{1}{E - \epsilon_p + i\delta_p - \Sigma(p, E)} \quad \text{Fermi Sea}$$

where $E > \mu, \text{Im} \Sigma < 0$

$$E < \mu, \text{Im} \Sigma > 0$$

Feynman Diagram Rules:

① Self-Energy

② Line: $G^{(0)}(p, E) = \frac{1}{E - \epsilon_p + i\delta}$
with the same spin③ phonon: $D^{(0)}(q, \omega) = \frac{2W_q}{\omega^2 - \omega_q^2 + i\delta} |M_q|^2$ ④ Coulomb interaction: $\frac{4\pi e^2}{q^2}$ ⑤ Conserve $E-p$ at each vertex⑥ Sum over integral degrees of freedom. p, E, δ ⑦ factor $\frac{i^m}{(2\pi)^{4m}} \underbrace{(-1)}_{\text{spin}} \cdot \underbrace{(2S+1)}_{\text{sum spin}} F \rightarrow$ closed Fermi loopfor electron self-energy. $m = \text{internal lines and phonons}$ for phonon self-energy. $m = \frac{1}{2}(\text{vertices})$ 顶点数

Finite temperature: G we used to GS. Now in finite temperature. $e^{-\beta H}$ introduce.

$$G = \frac{\text{Tr}[e^{-\beta H} C_{\rho}(t) C_{\rho}^{\dagger}(t')]}{\text{Tr}[e^{-\beta H}]} \quad C_{\rho}(t) = e^{itH} C_{\rho}$$

$$\text{Tr}(\hat{A}) = \sum_n \langle n | \hat{A} | n \rangle \quad H = H_0 + V$$

Multiple $e^{-\beta H}$ expand too difficult!

Use Matsubara. real $t \rightarrow$ imaginary β .

$(e^{-\beta H} e^{iHt}) e^{iHt} e^{-\beta H}$ satisfy commutation!

Firstly we make math preparations.

① singularities of $n_F(z_p)$ if $\beta \rightarrow 0$. $z_p = \epsilon_p - \mu$

$$\frac{1}{(\beta \epsilon_p + 1)} \xrightarrow{\text{Fermion}} z_p = \frac{2n+1}{\beta} \times i\pi$$

$$\frac{1}{(\beta \omega_q - 1)} \xrightarrow{\text{Phonon}} \omega_q = \frac{2n}{\beta} \times i\pi$$

Residue $n_F(z_p)$ Also the same

亚纯函数 (除孤立极点外, 皆解析) 可写作全纯函数比值之形式.

$$C + \frac{1}{\beta} \sum_n \frac{1}{i\omega_n - \omega_q} \quad \text{or} \quad C + \frac{1}{\beta} \sum_n \frac{1}{i\omega_n - z_p} \quad \text{is the Residue.}$$

for function $f(z)$ $(-\beta, \beta) \ni z$

we write its Fourier term

$$f(z) = \frac{1}{2} a_0 + \sum_{n=1}^{\infty} \left(a_n \cos\left(\frac{n\pi z}{\beta}\right) + b_n \sin\left(\frac{n\pi z}{\beta}\right) \right)$$

where $a_n = \frac{1}{\beta} \int_{-\beta}^{\beta} dz f(z) \cos\left(\frac{n\pi z}{\beta}\right)$

$b_n = \frac{1}{\beta} \int_{-\beta}^{\beta} dz f(z) \sin\left(\frac{n\pi z}{\beta}\right)$

or we define

$$f(i\omega_n) = \frac{1}{2\beta} (a_n + ib_n)$$

$$f(z) = \frac{1}{\beta} \sum_{n=-\infty}^{\infty} e^{-in\pi z/\beta} f(i\omega_n)$$

$$f(i\omega_n) = \frac{1}{2} \int_{-\beta}^{\beta} dz f(z) e^{in\pi z/\beta}$$

For Bosons $\int_{-\beta}^{\beta} \rightarrow \int_0^{\beta}$ writes out: where if $f(i\omega_n) = 0$

$$f(i\omega_n) = \frac{1}{2} (1 + e^{in\pi}) \int_0^{\beta} dz f(z) e^{in\pi z/\beta}$$

For Bosons:

$$\begin{cases} f(i\omega_n) = \int_0^{\beta} dz e^{i\omega_n z} f(z) \\ f(z) = \frac{1}{\beta} \sum_n e^{-i\omega_n z} f(i\omega_n) \\ \omega_n = 2n\pi k_B T \end{cases} \quad f(i\omega_n) = 0 \quad n: \text{odd}$$

For Fermions:

$$\begin{cases} f(i\omega_n) = \int_0^{\beta} dz e^{i\omega_n z} f(z) \\ f(z) = \frac{1}{\beta} \sum_n e^{-i\omega_n z} f(i\omega_n) \\ \omega_n = (2n+1)\pi k_B T \end{cases} \quad f(i\omega_n) = 0 \quad n: \text{even}$$

Definition of Matsubara Green's Function.

$$G(p, i\omega_n) = - \langle T_{\tau} c_{p\sigma}(i\omega_n) c_{p\sigma}^{\dagger}(0) \rangle$$

$$= - \text{Tr} [e^{-\beta(H - \mu N - \Omega)} \times T_{\tau} [e^{i\tau(H - \mu N)} c_{p\sigma} \times e^{-i(\tau - \tau')(H - \mu N)} c_{p\sigma}^{\dagger} \times e^{-i\tau'(H - \mu N)}]]$$

$$c_{p\sigma}^{\dagger}(i\omega_n) = [c_{p\sigma}(i\omega_n)]^{\dagger}$$

$$\exp[\beta\Omega] = \text{Tr} [e^{-\beta(H - \mu N)}]$$

Also we can use $\theta(\tau - \tau')$ express T_{τ} .

① Using $\text{Tr}[AB] = \text{Tr}[BA]$ $e^{-\beta H}$ can't exchange. $\text{Tr}(ABCD) = \text{Tr}(DCBA)$

② Setting $\tau' = 0$ $G(p, \tau) = - \langle T_{\tau} c_{p\sigma}(\tau) c_{p\sigma}^{\dagger}(0) \rangle$ $K = H - \mu N$

$$G(p, \tau) = - \theta(\tau) \text{Tr} [e^{-\beta(K - \Omega)} e^{-\tau K} c_{p\sigma} e^{-\tau K} c_{p\sigma}^{\dagger}]$$

$$+ \theta(-\tau) \text{Tr} [e^{-\beta(K - \Omega)} e^{-\tau K} c_{p\sigma}^{\dagger} e^{\tau K} c_{p\sigma}]$$

For $\tau < 0$,

$$G(p, \tau) = - \text{Tr} [e^{-\beta(K - \Omega)} e^{(\tau + \beta)K} c_{p\sigma} e^{-(\tau + \beta)K} c_{p\sigma}^{\dagger}]$$

$$= - G(p, \tau + \beta)$$

with properties $f(\tau) = -f(\tau + \beta)$.

So that we can apply Fourier transform.

$$G(p, i\omega_n) = \int_0^{\beta} d\tau e^{i\omega_n \tau} G(p, \tau)$$

$$G(p, \tau) = \frac{1}{\beta} \sum_n e^{-i\omega_n \tau} G(p, i\omega_n)$$

$$\omega_n = (2n+1)\pi/\beta$$

Homework:

coulomb potential: $V = \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \sum_{\sigma\sigma'} V_{\mathbf{q}} C_{\mathbf{k}+\mathbf{q}\sigma}^{\dagger} C_{\mathbf{k}-\mathbf{q}\sigma'}^{\dagger} C_{\mathbf{k}'\sigma'} C_{\mathbf{k}\sigma}$

Feynman Diagram $n=1$

$$\frac{(-i)^2}{1!} \int_R dt_1 \langle T(C_{ps}(t) V(t_1) C_{ps}^{\dagger}(t')) \rangle_0$$

$$\frac{1}{i} \langle \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}\sigma\sigma'} V_{\mathbf{q}} C_{ps}(t) C_{\mathbf{k}+\mathbf{q}\sigma}^{\dagger}(t_1) C_{\mathbf{k}'-\mathbf{q}\sigma'}^{\dagger}(t_1) C_{\mathbf{k}'\sigma'}(t_1) C_{\mathbf{k}\sigma}(t_1) C_{ps}^{\dagger}(t') \rangle_0$$

① ② ③ ④ ⑤ ⑥

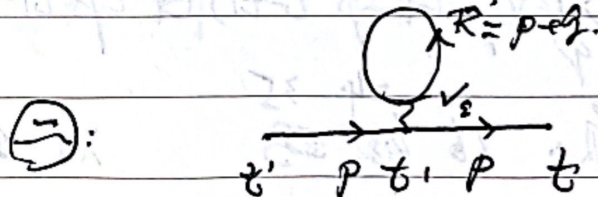
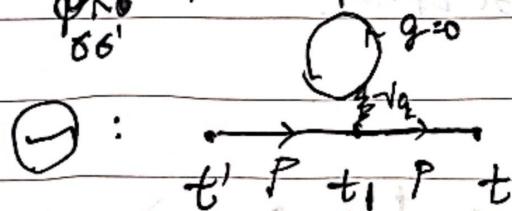
第-项: 123456

 $\times(1)$

$$= \langle C_{ps}(t) C_{\mathbf{k}+\mathbf{q}\sigma}^{\dagger}(t_1) \rangle_0 \langle C_{\mathbf{k}'-\mathbf{q}\sigma'}^{\dagger}(t_1) C_{\mathbf{k}'\sigma'}(t_1) \rangle_0 \langle C_{\mathbf{k}\sigma}(t_1) C_{ps}^{\dagger}(t') \rangle_0$$

$$= i^2 \sum_{\mathbf{p}, \mathbf{k}, \mathbf{q}} \delta_{\sigma\sigma} G^{(0)}(\mathbf{p}, t-t_1) \delta_{\mathbf{k}'}^{\sigma} n_F(\epsilon_{\mathbf{k}'-\mu}) \delta_{\mathbf{k}\mathbf{p}} \delta_{\sigma\sigma} G^{(0)}(\mathbf{p}, t_1-t')$$

$$= i^2 \sum_{\mathbf{p}, \mathbf{k}, \mathbf{q}} V_{\mathbf{q}} G^{(0)}(\mathbf{p}, t-t_1) n_F(\epsilon_{\mathbf{k}-\mu}) G^{(0)}(\mathbf{p}, t_1-t')$$



第二项: 124635

 $\times(-1)$

$$= \langle C_{ps}(t) C_{\mathbf{k}+\mathbf{q}\sigma}^{\dagger}(t_1) \rangle_0 \langle C_{\mathbf{k}'\sigma'}(t_1) C_{ps}^{\dagger}(t') \rangle_0 \langle C_{\mathbf{k}'-\mathbf{q}\sigma'}^{\dagger}(t_1) C_{\mathbf{k}\sigma}(t_1) \rangle_0$$

$$= i^2 \sum_{\mathbf{p}, \mathbf{k}, \mathbf{q}} \delta_{\sigma\sigma} G^{(0)}(\mathbf{p}, t-t_1) \delta_{\mathbf{k}\mathbf{p}} \delta_{\sigma\sigma} G^{(0)}(\mathbf{p}, t_1-t') n_F(\epsilon_{\mathbf{k}-\mu})$$

$\sigma = \sigma = \sigma'$
 $\mathbf{p} = \mathbf{k}' = \mathbf{k} + \mathbf{q}$
 $\mathbf{k} = \mathbf{k}' - \mathbf{q}$

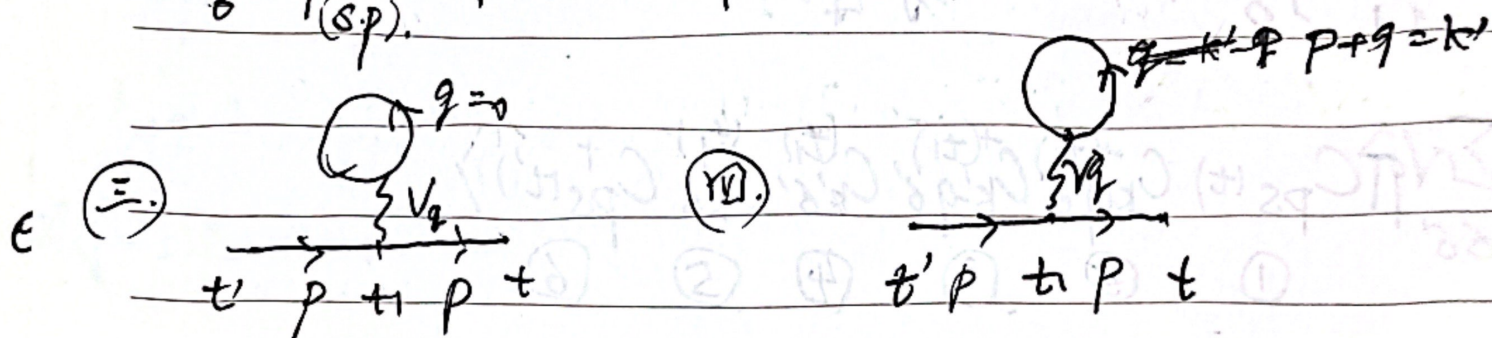
$$= i^2 \sum_{\mathbf{q}} V_{\mathbf{q}} G^{(0)}(\mathbf{p}, t-t_1) G^{(0)}(\mathbf{p}, t_1-t') n_F(\epsilon_{\mathbf{k}-\mu})$$

DATE: 25
第三项: 18 46 52 X1

$$\langle C_{ps}(t) C_{kq\delta}^+(t_1) \rangle_0 \langle C_{k'\delta'}(t_1) C_{ps}^+(t') \rangle_0 \langle C_{kq\delta}^+(t_1) C_{k\delta}(t_1) \rangle_0$$

$$= i^2 \delta_{p,kq} \delta_{s\delta} G_{ip}^{(0)}(t-t_1) \delta_{k'p} \delta_{\delta'\delta} G_{ip}^{(0)}(t_1-t') \delta_{q=0} n_F(\epsilon_k - \mu)$$

$$= i^2 \sum_{\delta} \sum_{q=0} V_q G_{ip}^{(0)}(t-t_1) G_{ip}^{(0)}(t_1-t') n_F(\epsilon_k - \mu)$$



第四项 13 24 52 56 X(-1)

$$\langle C_{ps}^+(t) C_{kq\delta}(t_1) \rangle_0 \langle C_{k\delta}(t_1) C_{ps}(t') \rangle_0 \langle C_{kq\delta}^+(t_1) C_{k'\delta'}(t_1) \rangle_0$$

$$= i^2 \delta_{p,kq} \delta_{s\delta} G_{ip}^{(0)}(t-t_1) G_{ip}^{(0)}(p, t-t') \delta_{k'p} \delta_{\delta'\delta} \delta_{q=0} n_F(\epsilon_k - \mu)$$

$p=k=k'-q, \delta=\delta'=\delta$

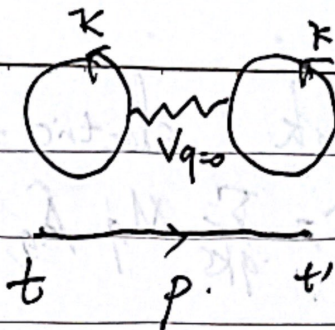
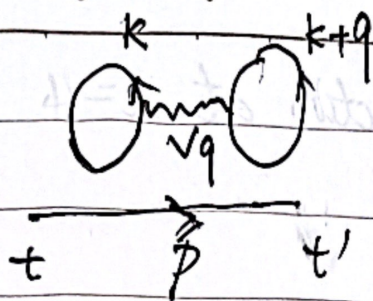
$$= -i^2 \sum_q V_q G_{ip}^{(0)}(t-t_1) G_{ip}^{(0)}(p, t_1-t') n_F(\epsilon_k - \mu)$$

第五项: 16 24 35 X(-1)

$$\langle C_{ps}(t) C_{ps}^+(t') \rangle_0 \langle C_{kq\delta}^+(t_1) C_{k'\delta'}(t_1) \rangle_0 \langle C_{k'\delta'}^+(t_1) C_{k\delta}(t_1) \rangle_0$$

$$= i G_{ip}^{(0)}(t-t') \frac{\delta_{k'k+q}}{\delta_{\delta\delta'}} n_F(\epsilon_{k'} - \mu) \frac{\delta_{k,k'-q}}{\delta_{\delta\delta'}} n_F(\epsilon_k - \mu)$$

$$\sum_{\delta} = -i G_{ip}^{(0)}(t-t') V_q n_F(\epsilon_{k+q} - \mu) n_F(\epsilon_k - \mu)$$



第六项: 16 34 25 $\times 1$

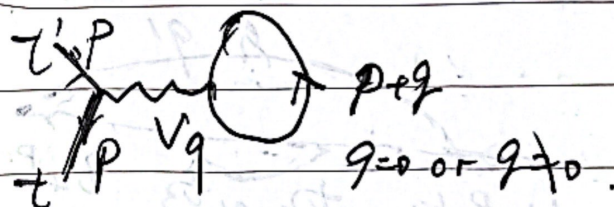
$$\langle C_{ps}(t) C_{ps}^\dagger(t') \rangle_0 \langle C_{k'q\delta}^\dagger(t_1) C_{k\delta}(t_1) \rangle_0 \langle C_{k'q\delta}(t_1) C_{k\delta}(t_1) \rangle_0$$

$$= i \times 1 \times G_{(p, t-t')}^{(0)} \delta_{k', k+q} n_F(\epsilon_{k'-\mu}) \delta_{k, k+q} n_F(\epsilon_{k-\mu})$$

$$= i \sum_{\substack{\delta\delta' \\ kk'}} V_{q=0} G_{(p, t-t')}^{(0)} n_F(\epsilon_{k'-\mu}) n_F(\epsilon_{k-\mu})$$

(五) disconnect. cancelled.

Remains $1 \times 4 \times \frac{1}{2!} \rightarrow$

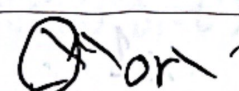


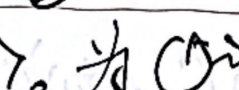
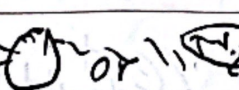
$q=0$ or $q \neq 0$.

Homework electric-phonon interaction at $n=4$

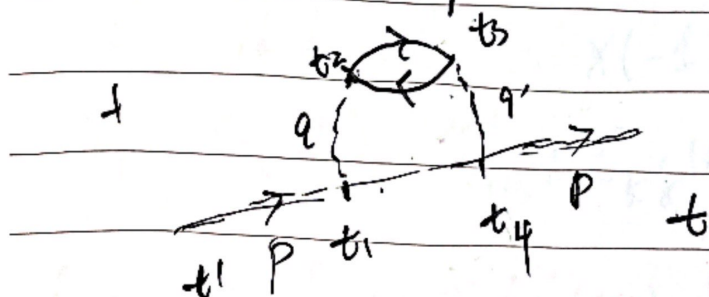
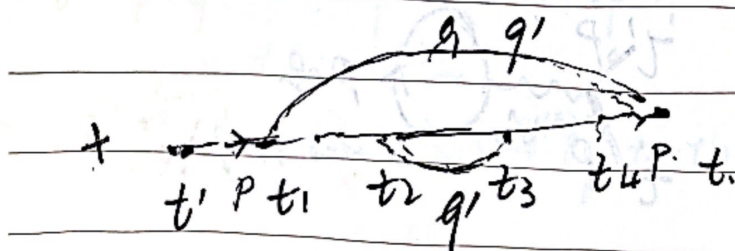
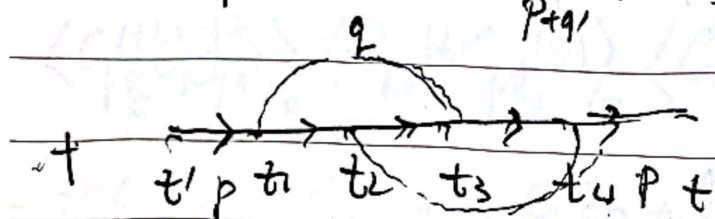
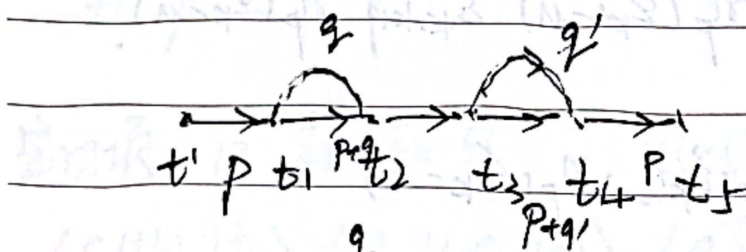
$$V = \sum_{qk\sigma} M_q \hat{A}_q C_{k+q\sigma}^\dagger C_{k\sigma}$$

$n=4$. t . t_1 t_2 t_3 t_4 t'

A part. $\langle \rangle_0$ 为  or  ...

$\langle \rangle_0$ $\langle \rangle_0$ 为  or  ...

Fermion part 费米子. 五线 二虚线.

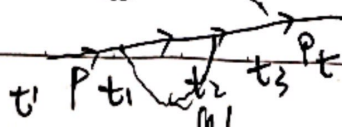


为 connected

出现 n_F 之圈非 connect.



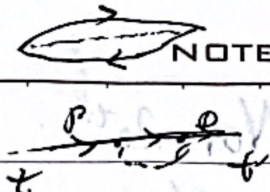
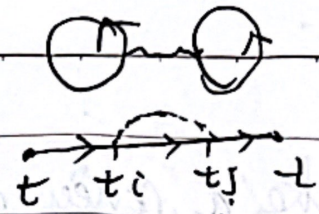
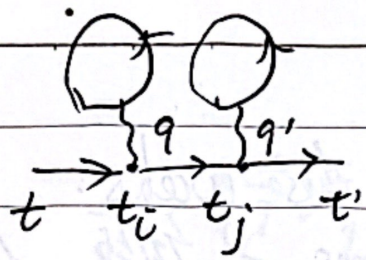
① t_1, t_2, t_3, t_4 之圈.



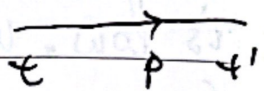
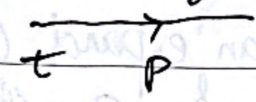
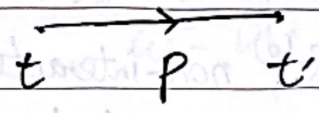
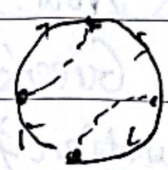
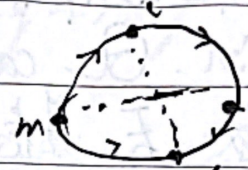
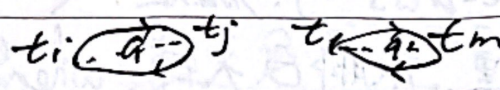
DATE

NOTES

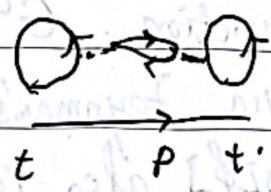
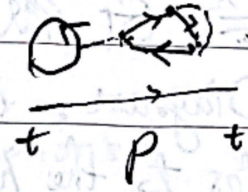
② 两个圈



③ 四个圈



$\langle C_p^{+} C_p^{+} \rangle_0$ (1 个圈)



Nov. 20th.

Now we make a review of these weeks:

Green's Function. G can express χ 磁矩. $\langle \rangle$ correl. function 关联函数, E_0 基态能量. 求解 G 关键. when interact is low, we can expand G over $G^{(0)}$ non-interacting Green's function. $G^{(0)} \Rightarrow G$ 微扰展开, using Wick's Theorem. via Feynman's Diagram, other physics quantities $Z = \int D\psi e^{-S[\psi]}$ also relates to the hamiltonian or. G . solving G . much can be solved.

Formulas: V as perturbation H_0 non-interaction

$$G(k, t-t') = -i \langle \hat{T} \hat{C}_k(t) \hat{C}_k^\dagger(t') \rangle$$

$$\hat{C}_k(t) = e^{iH_0 t} C_k e^{-iH_0 t} \quad H_0 \rightarrow E_k$$

$$G^{(0)}(k, t-t') = -i \langle_0 | \hat{C}_k(t) \hat{C}_k^\dagger(t') | \rangle_0 = -i \exp[-iE_k(t-t')] \times \theta(t-t')$$

$$G^{(0)}(k, E) = \begin{cases} \frac{1}{E - E_k + i\delta} & \text{Empty Band. At } T=0 \\ \frac{1}{E - \tilde{E}_k + i\delta_k} & \text{Fermi Sea. } \tilde{E}_k = E_k - \mu \\ & \delta_k = \delta \operatorname{sgn} \tilde{E}_k \end{cases}$$

$$G(p, t-t') = \sum_{n=0}^{\infty} \frac{(-i)^{n+1}}{n!} \int_R dt_1 \dots dt_n \times \frac{\langle \hat{T} \hat{C}_p(t) \hat{V}(t_1) \dots \hat{V}(t_n) \hat{C}_p^\dagger(t') \rangle}{\langle 1 | S(\infty, -\infty) | \rangle_0}$$

$$\langle S \rangle_0 = \sum_{n=0}^{\infty} \int_R (dt_1 \dots dt_n) \langle \hat{T} \hat{V}(t_1) \dots \hat{V}(t_n) \rangle_0 \frac{(-i)^n}{n!}$$

Phonons:

$$D(q, t-t') = -i \langle | \hat{T} \hat{A}_q(t) \hat{A}_{-q}(t') | \rangle \quad A_q = a_q + a_{-q}^+$$

$$D^{(0)}(q, \omega) = \frac{2\omega_q}{\omega^2 - \omega_q^2 + i\delta} \quad \omega \rightarrow \omega_q$$

$$\langle | C_K^\dagger C_K | \rangle_0 = n_F(\frac{1}{2}K)$$

$$\langle | a_q^\dagger a_q | \rangle_0 = 0 \quad (T=0, \text{ No phonons })$$

Attention; Rules:

① M_q For $q=0$ electron-phonon interaction form like:

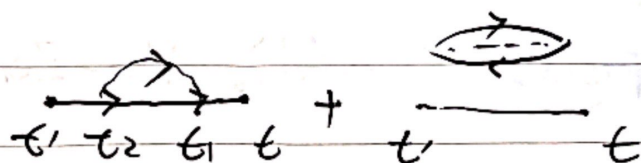
$$[\frac{1}{q}(1)] \quad \text{So } q \neq 0 \text{ Graphs } q=0 \text{ is Zero.}$$

② Such terms $G^0(t-t') F_1(t_1, t_2)$ if $(t, t') (t_1, t_2)$ separated. called disconnected Graphs. like



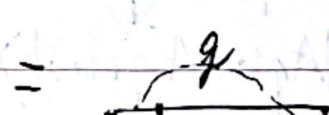
cancelled under vacuum polarization Graphs.

$$\langle S(\infty, -\infty) \rangle_0$$



$$n=2 \quad G^0(x(1+\epsilon))$$

$$= G^0(t-t') D^0$$



Zero

$n=2 \quad n=0$

$n=1 \rightarrow \text{Zero Fers.}$

Exercise. Mahan. Chapter. 2.

$$1. \frac{1}{3!} \int_0^t dt_1 \int_0^t dt_2 \int_0^t dt_3 [T V(t_1) V(t_2) V(t_3)]$$

$$\Rightarrow \text{eg: } t_1 > t_2 > t_3: \int_0^t dt_1 \int_0^t dt_2 \int_0^t dt_3 V(t_1) V(t_2) V(t_3)$$

$$\text{Therefore: } (t_2)_{\max} = t_1 \quad \int_0^t dt_2 \rightarrow \int_0^{t_1} dt_2$$

$$(t_3)_{\max} = t_2 \quad \int_0^t dt_3 \rightarrow \int_0^{t_2} dt_3$$

$$= \int_0^t dt_1 \int_0^{t_1} dt_2 \int_0^{t_2} dt_3 V(t_1) V(t_2) V(t_3)$$

共計六項 $t_1 > t_2 > t_3$ $t_1 > t_3 > t_2$ $t_2 > t_1 > t_3$ $t_2 > t_3 > t_1$ $t_3 > t_1 > t_2$ $t_3 > t_2 > t_1$
此六項換元與 $\frac{1}{3!}$ 抵消得。

$$= \int_0^t dt_1 \int_0^t dt_2 \int_0^t dt_3 V(t_1) V(t_2) V(t_3)$$

$$2. D(q, t-t') \quad n=2 \quad N = \sum_k M_q A_q C_{k+q}^\dagger C_k$$

$$D \text{ part: } \langle | \hat{A}_q(t) \hat{A}_{q_1}(t_1) \hat{A}_{q_2}(t_2) \hat{A}_{-q}(t') | \rangle_0$$

$$= \delta_{q+q_1=0} \delta_{q_2+(-q)=0} \langle | \hat{A}_q(t) \hat{A}_{q_1}(t_1) | \rangle_0 \langle | \hat{A}_{q_2}(t_2) \hat{A}_{-q}(t') | \rangle_0$$

$$+ \delta_{q+q_2=0} \delta_{q_1+(-q)=0} \langle | \hat{A}_q(t) \hat{A}_{q_2}(t_2) | \rangle_0 \langle | \hat{A}_{q_1}(t_1) \hat{A}_{-q}(t') | \rangle_0$$

$$+ \delta_{q-q=0} \delta_{q_1+q_2=0} \langle | \hat{A}_q(t) \hat{A}_{-q}(t') | \rangle_0 \langle | \hat{A}_{q_1}(t_1) \hat{A}_{q_2}(t_2) | \rangle_0$$

Because only two wave vectors opposite $\langle | A A | \rangle_0$ Non-Zero.

$$\Rightarrow \langle | \hat{A}_q(t) \hat{A}_{-q}(t_1) | \rangle_0 \langle | \hat{A}_q(t_2) \hat{A}_{-q}(t') | \rangle_0$$

$$+ \langle | \hat{A}_q(t) \hat{A}_{-q}(t_2) | \rangle_0 \langle | \hat{A}_{q_1}(t_1) \hat{A}_{-q}(t') | \rangle_0$$

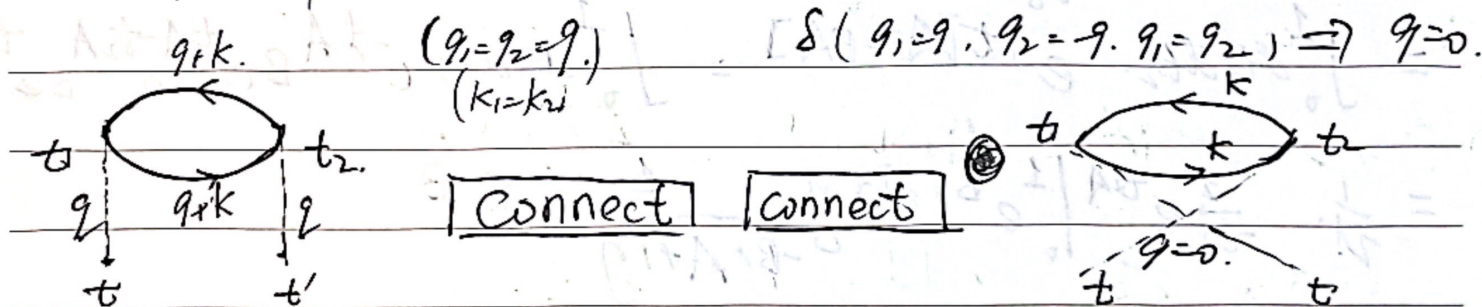
$$+ \langle | \hat{A}_q(t) \hat{A}_{-q}(t') | \rangle_0 \langle | \hat{A}_{q_1}(t_1) \hat{A}_{q_2}(t_2) | \rangle_0$$

$$\Rightarrow i D^{(0)}(q, t-t_1) i D^{(0)}(q, t_2-t') \\ + i D^{(0)}(q, t-t_2) i D^{(0)}(q, t_1-t') \\ + i D^{(0)}(q, t-t_1) i D^{(0)}(q, t_1-t_2)$$

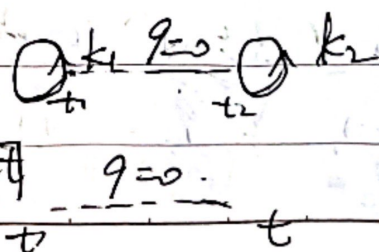
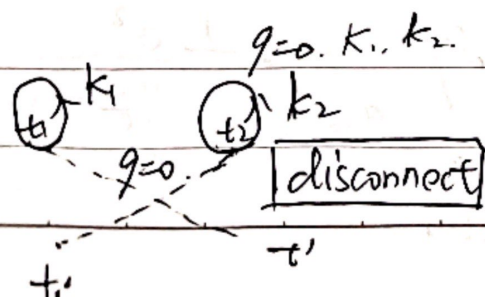
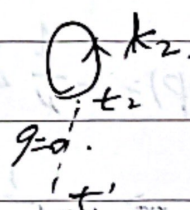
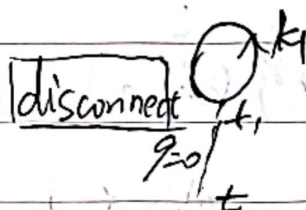
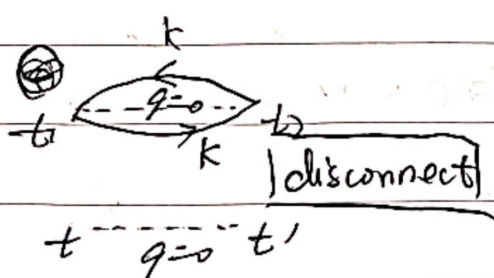
~~Graph~~: Fermion Part:

$$\langle C_{q_1+k_1}(t_1) C_{q_1+k_1}^\dagger(t_1) C_{q_2+k_2}(t_2) C_{q_2+k_2}^\dagger(t_2) \rangle_0 \\ = i^2 G^{(0)} \delta_{q_1+k_1, q_1} \delta_{q_2+k_2, q_2} \underbrace{G^{(0)}(q_1+k_1, t_1-t_1)}_{n_f} \underbrace{G^{(0)}(q_2+k_2, t_2-t_2)}_{n_f} \\ - i^2 G^{(0)} \delta_{q_1+k_1, q_2+k_2} \delta_{k_1, k_2} G^{(0)}(q_1+k_1, t_1-t_2) G^{(0)}(q_2+k_2, t_2-t_1) \\ = i^2 \delta_{q_1=q_2=0} n_f(k_1) n_f(k_2) - i^2 \delta_{k_1=k_2} \underbrace{G^{(0)}(q+k, t_1-t_2)}_{q=0} G^{(0)}(q+k, t_2-t_1)_{q=0}$$

两者相乘共计六项: 3×2 .



$(q_1=q_2, q_1=q_2, q=0) \quad q_1=q_2=q=0, k_1=k_2$



3. pf $e^{A+B} = e^A e^B e^{-\frac{1}{2}[A,B]}$ ($[A,B]$ A and B commutes)

pf: $e^{-i\omega_0 t} T \exp(-i \int_0^t dt_1 (\hat{V}(t_1)))$

$[A,B]$ commutes Both A, B 保证没有二阶项 $[A, [A,B]]$

$e^A e^B e^{-\frac{1}{2}[A,B]}$ 没有之后的。

因此保留 1st Order ie: $T[\hat{V}(t_1)\hat{V}(t_2)] \rightarrow e^{i\omega_0 t} \hat{V}^2 e^{-i\omega_0 t}$

$\Rightarrow e^{-i\omega_0 t} (H_0 + V) = e^{-i\omega_0 t} H_0 e^{-i\omega_0 t} V \left(\frac{1}{2} i [H_0, V] \right) V e^{-i\omega_0 t}$

即 $e^{A+B} = e^A e^B e^{-\frac{1}{2}[A,B]}$ 可以 $t_1 > t_2$ or $t_2 > t_1$ 保留一半出 1/2.

即计算 1 阶项: $\int_0^t dt_1 \int_0^{t_1} dt_2 \hat{V}(t_1) \hat{V}(t_2)$

$= \int_0^1 dt_1 \int_0^{t_1} dt_2 e^{it_1[A,B-BA]} = \int_0^1 dt_1 \int_0^{t_1} dt_2 e^{-t_1 A} B e^{t_1 A} e^{-t_2 A} B e^{t_2 A}$

$= \frac{1}{2!} \cdot \frac{1}{t_1} e^{t_1 A} \Big|_0^1 e^B \Big|_{-B+A+i\eta} \cdot \frac{1}{-B+A+i\eta} e^A e^B$

4. $\sum_x(p) = -\frac{1}{2} \sum_q \sqrt{q} \eta_F(\sum_{p+q})$ $T=0$

$T=0 \Rightarrow -\frac{1}{2} \sum_{q \cdot (p+q < \mu_F)} \sqrt{q} \times 1$

$= -\frac{1}{V^2} \sum_q \frac{4\pi e^2}{q^2} = -\frac{1}{V} \int_q d\vec{q} = -\frac{1}{V} \frac{e^2}{q_F - i\delta}$

费米波矢: $q_F = (p+q) < \mu_F$

DATE

Nov. 22nd. Linear Response Theory:when $t < t_0$, only H_0 :

$$\langle A \rangle = \frac{1}{Z_0} \text{Tr}(\rho_0 A) = \frac{1}{Z_0} \sum_n \langle n | A | n \rangle e^{-\beta E_n}$$

$$\rho_0 = e^{-\beta H_0} = \sum_n |n\rangle \langle n| e^{-\beta E_n}$$

$$Z_0 = \text{Tr}(\rho_0) = \sum_n e^{-\beta E_n}$$

when at t_0 apply $H'(t)$ perturbation:

$$H(t) = H_0 + H'(t) \Theta(t - t_0)$$

$$\langle A(t) \rangle = \frac{1}{Z_0} \sum_n \langle n(t) | A | n(t) \rangle e^{-\beta E_n} = \frac{1}{Z_0} \text{Tr}[\rho(t) A]$$

$$\rho(t) = \sum_n |n(t)\rangle \langle n(t)| e^{-\beta E_n}$$

In Interaction Representation:

$$|\hat{n}(t)\rangle = e^{iH_0 t} |n(t)\rangle$$

$$|\hat{n}(t)\rangle = \hat{U}(t, t_0) |\hat{n}(t_0)\rangle$$

Linear Assumption: only keep $\hat{U}$ 1st order.

$$\hat{U}(t, t_0) = 1 - i \int_{t_0}^t dt' \hat{H}'(t')$$

$$\hat{H}'(t) = \overset{\text{perturbation}}{e^{iH_0 t}} \overset{\text{perturbation}}{H'(t)} e^{-iH_0 t} \Theta(t - t_0)$$

$$\langle A(t) \rangle = \frac{1}{Z_0} \sum_n \langle n | \hat{U}^\dagger(t, t_0) e^{iH_0 t} A e^{-iH_0 t} \hat{U}(t, t_0) | n \rangle e^{-\beta E_n}$$

$$\langle A \rangle_0 = \sum_n \frac{1}{Z_0} \langle n | A | n \rangle e^{-\beta E_n}$$

DATE . .

NOTES

内部为: Inside.

$$f(n) = \frac{1}{Z_0} \langle n | A | n \rangle - i \int_{t_0}^t dt' \langle [\hat{A}(t), \hat{H}(t')] \rangle_0 dt'$$

$\langle A_0 \rangle$

Interaction Representation $| \rangle_0$ Ho eigen

Defined the perturbation As:

$$C_{AH}^R(t, t') = -i \theta(t - t') \langle [\hat{A}(t), \hat{H}(t')] \rangle$$

$$S(A(t)) = \int_{t_0}^{\infty} dt' C_{AH}^R(t, t') e^{-\gamma(t-t')} \quad \begin{matrix} \nearrow \\ H(t) \text{ Decay} \\ \text{at } R(\infty). \gamma \rightarrow 0 \end{matrix}$$

$$\text{Fourier: } = -i \theta(t - t') \int [\hat{A}(t), \hat{H}(t')] e^{-i\omega t'} dt'$$

$$\Rightarrow C_{AH\omega}(t-t') \text{ 吸收 } \theta(t-t')$$

Def:

$$C_{AH\omega}(\omega) = \int_R e^{i\omega t} e^{-\gamma t} C_{AH\omega}^R(t) dt$$

$$C_{AH}^R(t) S(A(t)) = \int_R \frac{d\omega}{2\pi} e^{-i\omega t} C_{AH\omega}(\omega)$$

Example: Fano-Anderson Model:

$$H = \underbrace{\epsilon_c b^\dagger b}_{\text{impurity}} + \sum_k [\epsilon_k C_k^\dagger C_k + A_k (b^\dagger C_k + C_k^\dagger b)]$$

we introduce $\{d_k\} \Rightarrow \{b_k\} \{c_k\}$.

$$b = \sum_k V_k d_k \quad \text{if: } \sum_k V_k^2 = 1 \text{ satisfy fermion } \{b, b^\dagger\}$$

$$C_k = \sum_{k'} \eta_{kk'} d_{k'} \quad \text{if: } \delta_{kk'} = \sum_{k''} \eta_{kk''} \eta_{k'k''} \text{ satisfy } \{C_k, C_k^\dagger\}$$

$$\forall k, \quad \text{if: } \sum_{k'} \eta_{kk'} V_{k'} = 0 \text{ satisfy } \{b, C_k^\dagger\}$$

So that we can set

$$H = \sum_k \epsilon_{k\text{new}} d_k^\dagger d_k$$

We TRY TO SOLVE $\epsilon_{k\text{new}}$

$$[b, H] \stackrel{\text{old}}{=} b \epsilon_c + \sum_k A_k C_k$$

$$\stackrel{\text{New}}{=} \sum_k \epsilon_{k\text{new}} V_k d_k$$

$$\Rightarrow \epsilon_c \sum_k V_k d_k + \sum_{k'} A_{k'} \eta_{kk'} d_{k'} = \sum_k \epsilon_{k\text{new}} V_k d_k$$

$$V_k (\epsilon_{k\text{new}} - \epsilon_c) = \sum_{k'} A_{k'} \eta_{kk'}$$

$$[C_k, H] \stackrel{\text{old}}{=} \epsilon_k C_k + A_k b$$

$$\stackrel{\text{New}}{=} \sum_{k'} \eta_{kk'} \epsilon_{k'} d_{k'}$$

$$\Rightarrow \eta_{kk'} = \frac{-A_k V_{k'}}{\epsilon_{k'\text{new}} - \epsilon_k} + \delta_{kk'} \sum_k V_k A_k$$

so that we arrive:

$$V_k (\epsilon_{k\text{new}} - \epsilon_c) = \sum_{k'} \left(A_{k'} \frac{-A_{k'} V_k}{\epsilon_{k'\text{new}} - \epsilon_k} - \delta_{kk'} A_{k'} Z_k V_k \right)$$

Introduce Self-Energy.

$$\Sigma(\epsilon_k) = \sum_{k'} \frac{A_{k'}^2}{\epsilon_k - \epsilon_{k'}} \quad Z_k = \frac{1}{A_k^2} (\epsilon_{k\text{new}} - \epsilon_c - \Sigma(\epsilon_k))$$

Finally, can solve

$$V_k = \frac{A_k^2}{(\epsilon_k - \epsilon_c - \Sigma(\epsilon_k))^2 - (L A_k^2 / 2 V_k)^2} \quad V_k = \frac{\partial \epsilon_k}{\partial k}$$

Dec. 4th. Self-energy in energy space.

We write out final Result for $n=2+n=0$ term in t space with $H = \sum_q M_q A_q C_q^\dagger C_q$ $G(p, t-t')$ then using fourier transform to E space

$$G(p, E) = \int_R G(p, t-t') e^{-iE(t-t')} dt-t'$$

$G^{(0)}$ 自能 $\theta(t-t')$ 故 dt 即可保留 t' 与 θ 自消

$$G(p, E) = G^{(0)}(p, E) + \quad dt \leftrightarrow dt-t')$$

$$(-i)^3 \sum_q M_q^2 \times \int_R dt G^{(0)}(p, t-t_1) e^{i(t-t_1)E} \int_R dt_1 e^{i(t_1-t_2)(E-w)} G^{(0)}(p, t_2-t) D^{(0)}(q, t_1-t_2)$$

$$(-i)^3 \sum_q M_q^2 \int_R dt e^{iE(t-t_1)} \int_R dt_1 dt_2 G^{(0)}(p, t-t_1) G^{(0)}(p, t_1-t_2) G^{(0)}(p, t_2-t)$$

$$D^{(0)}(q, t_1-t_2) = \int_R \frac{dw}{2\pi} e^{-i w(t_1-t_2)} D^{(0)}(q, w)$$

$$\Rightarrow (-i)^3 \sum_q M_q^2 \times \int_R dt e^{iE(t-t_1)} \int_R dt_1 e^{iE(t_1-t_2)} \int_R dt_2 e^{-iE(t_2-t)} e^{-i w(t_1-t_2)} D^{(0)}(q, w)$$

$$\Rightarrow (-i)^3 \sum_q M_q^2 \times \int_R G^{(0)}(p, t-t_1) e^{iE(t-t_1)} dt \int_R G^{(0)}(p, t_2-t) e^{iE(t_2-t)} dt_2$$

$$\times \int_R dt_1 G^{(0)}(p, t_1-t_2) e^{iE(t_1-t_2)} \frac{1}{2\pi} \times D^{(0)}(q, w) dw$$

$$= (-i)^3 \sum_q M_q^2 [G^{(0)}(p, E)]^2 \int_R G^{(0)}(p, q, E-w) \frac{1}{2\pi} D^{(0)}(q, w) dw$$

$$\Sigma^{(1)}(p, E, q)$$

We define: Self-Energy.

$$\Sigma^{(1)}(p, E) = i \int \frac{d\omega}{2\pi} \sum_q M_q^2 D^{(0)}(q, \omega) G^{(0)}(p, E - \omega).$$

So that:

$$G(p, E) = G^{(0)}(p, E) + [G^{(0)}(p, E)]^2 \Sigma^{(1)}(p, E).$$

What About the $n=4$ term for electron-phonon interaction?

$$n=4: [G^{(0)}(p, E)]^3 [\Sigma^{(1)}(p, E)]^2 + [G^{(0)}(p, E)]^2 [\Sigma^{(2a)}(p, E) + \Sigma^{(2b)}(p, E) + \Sigma^{(2c)}(p, E)]$$

where:

$$\Sigma^{(2a)}(p, E) = \int \frac{d\omega d\omega'}{(4\pi)^2} \sum_{q, q'} M_q^2 M_{q'}^2 D^{(0)}(q, \omega) D^{(0)}(q', \omega') G^{(0)}(p, E + \omega)$$

$$G^{(0)}(p+q+q', E+\omega+\omega') G^{(0)}(p, q', E+\omega')$$

$$\Sigma^{(2b)}(p, E) = (\dots) G^{(0)}(p+q, E+\omega).$$

$$\Sigma^{(2c)}(p, E) = \int \frac{d\omega}{2\pi} \sum_q M_q^4 D^{(0)}(q, \omega) G^{(0)}(p+q, E+\omega) \times \int \frac{d\omega'}{2\pi} \sum_K G^{(0)}(K, \omega) G^{(0)}(K+q, \omega')$$

If we Def:

$$\Sigma(p, E) = \sum_j \Sigma_j^{(j)}(p, E) = \Sigma^{(1)}(p, E) + \Sigma^{(2a)}(p, E) + \Sigma^{(2b)}(p, E) + \Sigma^{(2c)}(p, E) + \dots$$

$$G(p, E) = \frac{G^{(0)}(p, E)}{1 - G^{(0)}(p, E) \Sigma(p, E)} = G^{(0)} + \underbrace{(G^{(0)})^2 \Sigma}_{\text{include } (2a)} + \underbrace{(G^{(0)})^3 \Sigma^2}_{\text{include } n=4 \text{ part.}}$$

$$D(p, \omega) = \frac{D^{(0)}(p, \omega)}{1 - D^{(0)}(p, \omega) \Pi(p, \omega)} + n=4 \text{ part 2}$$

Therefore. Once we calculate self-energy Σ , we can express G over $G^{(0)}$.

$$G^{(0)}(p, E) = \frac{1}{E - \epsilon_p + i\delta_p} \quad G(p, E) = \frac{1}{E - \epsilon_p + i\delta_p - \Sigma(p, E)}$$

phonons:

$$D^{(0)}(q, \omega) = \frac{2W_q}{\omega^2 - \omega_q^2 + i\delta} \quad D(q, \omega) = \frac{2W_q}{\omega^2 - \omega_q^2 + i\delta - 2W_q T_V(q, \omega)}$$

Calculate Self-Energy: electron-electron interaction $V_q = \frac{4\pi e^2}{q^2} V \rightarrow 0$

$\Sigma(p, E)$ Like electron-phonon example except the $D^{(0)}$ term.

$\Sigma^{(1)}$ and $\Sigma^{(2a)}, \Sigma^{(2b)}$ consider, that is:

Term I: $\Sigma^{(1)}$, part 1. Unscreened Exchange Energy

$$\frac{i}{2\pi} \int_R d\omega \int \frac{d^3q}{(2\pi)^3} \frac{4\pi e^2}{q^2} G^{(0)}(p+q, \omega+E)$$

First Integrate ω (Sum over q).

$$= \frac{i}{2\pi} \int_R d\omega \frac{1}{\omega+E - \epsilon_{p+q} + i\delta_{p+q}} \quad \frac{dx}{x \pm i0}$$

部分发散. 不能直接积.

$$= \frac{i}{2\pi} \int_R d\omega \int_R dt \cdot e^{it(\omega+E)} G^{(0)}(p+q, t)$$

Using: $\int_R \frac{d\omega}{2\pi} e^{it\omega} = \delta(t)$

$$= i G^{(0)}(p+q, t=0) \begin{cases} t \rightarrow 0^+ & G^{(0)}(p+q, t \rightarrow 0^+) = -i[1 - n_f(\epsilon_{p+q})] \\ t \rightarrow 0^- & G^{(0)}(p+q, t \rightarrow 0^-) = i n_f(\epsilon_{p+q}) \end{cases}$$

therefore. we call Term 1

$$\Sigma_x(p) = -\frac{1}{V} \sum_q V_q \eta_F(\vec{p} + \vec{q})$$

Term 2 $\Sigma^{(1)}$ part a $q=0$.

Similarly $\int_{R \times R} \frac{-i}{(2\pi)^4} dE d\vec{q} V_{q=0} G^{(0)}(p, E')$

不一定发散. 正负中和之电中性

$$\Sigma_H = (\Sigma_p) \Sigma(p, E) = V_{q=0} \sum_p \eta_F(\vec{p}) = \underline{V_{q=0} N} \quad \text{均匀分布 } q=0.$$

Term 3 $\Sigma^{(2)}$.

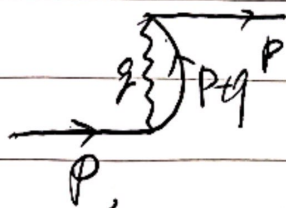
(Later calculated)

$$\Sigma(p, E) = \int \frac{dE'}{2\pi} \int \frac{d\vec{q}}{(2\pi)^3} V_q^2 P^{(1)}(q, E) G^{(0)}(p + q, E + E')$$

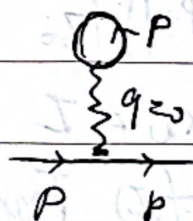
$$P^{(1)}(q, E) = 2 \int \frac{dE}{2\pi} \int \frac{d\vec{p}}{(2\pi)^3} G^{(0)}(p, E) G^{(0)}(p + q, E + \omega)$$

Graphs:

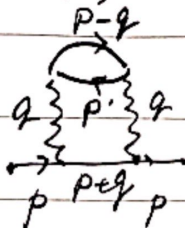
Term 1



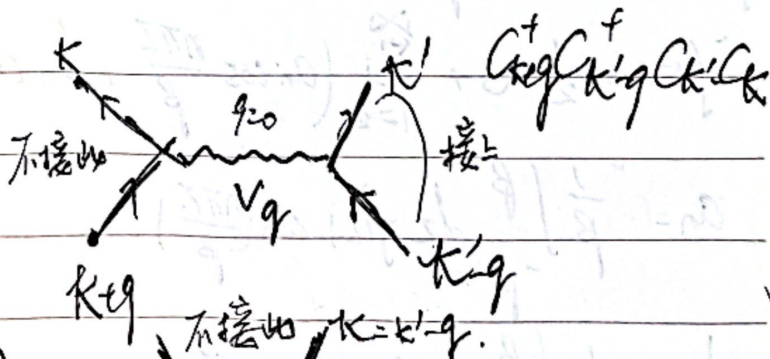
Term 2



Term 3

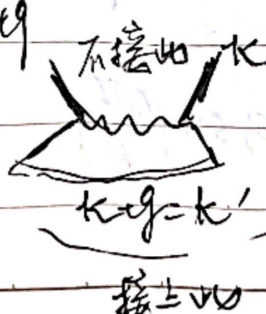
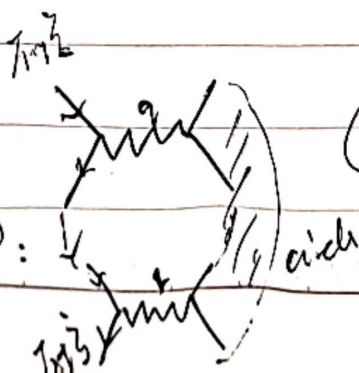


(Term 2)



(Term 1)

Term 3



Finite temperature calculation:

$$\langle e^{-\beta H} e^{iHt} \rangle, H = H_0 + V$$

if only $\langle e^{iHt} \rangle \sim \int \exp(-i \int_0^t dt V(x_t)) = U$

if introduce $\langle e^{-\beta H} \rangle$ No more interactive representation.

Hardly can we express U !!

Moreover. $Q^{(0)}$. n_f it is with ω_n 奇点 (singular points Naturally).

$$n_f(z_p) = \frac{1}{e^{\beta z_p} + 1} = \frac{1}{2} + \frac{1}{\beta} \sum_{n=-\infty}^{\infty} \frac{1}{(2n+1)i\pi/\beta - z_p}$$

$$n_b(\omega_q) = \frac{1}{e^{\beta \omega_q} - 1} = -\frac{1}{2} + \frac{1}{\beta} \sum_{n=-\infty}^{\infty} \frac{1}{2n\pi i/\beta - \omega_q}$$

$e^{\beta z_p} + 1$ 极点 $(2n+1)i\pi/\beta$. 留数:

$$\frac{(2n+1)i\pi / \beta}{(e^{\beta z_p} + 1)'|_{z_0}} \frac{1}{(z - z_0)^0} \rightarrow 1$$

$$\frac{1}{(e^{\beta z_p} + 1)'|_{z_0}} = \frac{1}{(e^{\beta z_0})|_{z_0}} = \frac{1}{\beta} \text{ 故为 } \frac{1}{\beta}$$

if replace $i \times t = Z$
for certain $f(Z)$ Fourier

$$f(Z) = \frac{1}{2} a_0 + \sum_{n=1}^{\infty} \left(a_n \cos \frac{n\pi Z}{\beta} + b_n \sin \frac{n\pi Z}{\beta} \right)$$

$$a_n = \frac{1}{\beta} \int_{-\beta}^{\beta} dz f(Z) \cos\left(\frac{n\pi Z}{\beta}\right)$$

$$b_n = \frac{1}{\beta} \int_{-\beta}^{\beta} dz f(Z) \sin\left(\frac{n\pi Z}{\beta}\right)$$

Therefore, for Bosons: $\sum_n \frac{1}{i\omega_n - \epsilon_p}$ is G.D.⁽⁰⁾

Def: $\omega_n = \frac{2n\pi}{\beta}$ $f(i\omega_n)$ is Green function,
we can define its fourier transform in Imaginary space like it.

Matsubara Green's Function. $\tau = i\tau$

$$G_{CP}(\tau - \tau') = - \langle T_{\tau} C_p(\tau) C_p^{\dagger}(\tau') \rangle$$

$$= \text{Tr} \left(e^{-\beta(H - \mu N - \Omega)} T_{\tau} e^{\tau(H - \mu N)} C_p e^{-(H - \mu N)(\tau - \tau')} \right. \\ \left. \times C_p^{\dagger} e^{-\tau'(H - \mu N)} \right)$$

$$e^{-\beta\Omega} = \text{Tr}(e^{-\beta(H - \mu N)}) \text{ 配分函数.}$$

$$\text{Def: } K = H - \mu N$$

$$\text{Using } \text{Tr}(ABC \dots XYZ) = \text{Tr}(ZABC \dots XY).$$

$$\text{One reaches: } G_{CP}(\tau) = - G_{CP}(\tau + \beta) \quad -\beta < \tau < 0$$

is at β circle. Like what's in Fourier Above

$$\left\{ \begin{aligned} G_{CP}(i\omega_n) &= \int_0^{\beta} d\tau G_{CP}(\tau) e^{i\tau\omega_n} \\ G_{CP}(\tau) &= \frac{1}{\beta} \sum_n e^{-i\omega_n\tau} G_{CP}(i\omega_n). \end{aligned} \right.$$

calculate $G^{(0)}$.

$$H = H_0 = \sum_p \epsilon_p C_p^\dagger C_p$$

$$K_0 = H_0 - \mu N = \sum_p \xi_p C_p^\dagger C_p$$

$$\begin{cases} C_p(z) = e^{zK_0} C_p e^{-zK_0} = e^{-z\xi_p} C_p \\ C_p^\dagger(z) = e^{z\xi_p} C_p^\dagger \end{cases}$$

Using: $e^A C e^{-A} = C + [A, C] + \frac{1}{2!} [A, [A, C]] + \dots$

Therefore: Start from 0 end at z in $[0, \beta]$ circle or $[-\beta, 0]$

$$G_{C_p, I}^{(0)} = -\theta(z) e^{-z\xi_p} \langle C_p C_p^\dagger \rangle + \theta(-z) e^{-z\xi_p} \langle C_p^\dagger C_p \rangle$$

$$G_{C_p, I}^{(0)} = -e^{-z\xi_p} [\theta(z) - n_F(\xi_p)]$$

$e^{\beta H}$ 包含 $\int_0^\beta e^{-\xi_p z} dz$

where: $n_F(\xi_p) = \frac{1}{e^{\beta\xi_p} + 1}$

Fourier: $G_{C_p, I}^{(0)}(i\omega_n) = \int_0^\beta dz e^{+i\omega_n z} G_{C_p, I}^{(0)}(z)$

$$\begin{cases} \omega_n = i(2n+1)\pi/\beta \\ e^{i\beta\omega_n} = -1 \text{ 离散 Fourier 结边} \end{cases}$$

$$\Rightarrow G_{C_p, I}^{(0)}(i\omega_n) = \frac{[1 - n_F(\xi_p)](e^{-\beta\xi_p} + 1)}{i\omega_n - \xi_p}$$

$$\Rightarrow G_{C_p, I}^{(0)}(i\omega_n) = \frac{1}{i\omega_n - \xi_p}$$

$$D(q, z) = -T_L \langle A(q, z) A(-q, z) \rangle e^{-\beta(H + \mu N)} \dots$$

$$A(q, z) = e^{zH} (a_q + a_{-q}^\dagger) e^{-zH} \quad \text{phonons: No chemical potential}$$

$$\omega_n = \frac{2\pi n}{\beta} \dots$$

Results:

$$g^{(0)}(p, i\omega_n) = \frac{1}{i\omega_n - \xi_p} \quad \xi_p: \epsilon_p - \mu, \quad \omega_n: (2n+1)\pi/\beta, \quad g^{(0)}(z) = -g^{(0)}(z+\beta)$$

$$D^{(0)}(q, i\omega_n) = -\frac{2\omega_q}{\omega_n^2 + \omega_q^2} \quad \omega_q: \omega_q(\mu=0), \quad \omega_n: 2n\pi/\beta, \quad D^{(0)}(z) = D^{(0)}(z+\beta)$$

Maths:

$$\text{Fermions: } f(z) = -f(z+\beta) \quad \text{Boson: } f(z) = f(z+\beta)$$

$$f(i\omega_n) = \int_0^\beta dz f(z) e^{i\omega_n z}$$

$$f(z) = \frac{1}{\beta} \sum_n e^{-i\omega_n z} f(i\omega_n)$$

$$\omega_n = \frac{(2n+1)\pi}{\beta} \quad \text{Fermion} \quad \frac{2n\pi}{\beta} \quad \text{Boson}$$

Retarded Green's Function $G(t>t')$ Advanced Green's Function $G(t<t')$ Spectral function $A(p, \omega) = -2\text{Im} G_{\text{ret}}(p, \omega)$

$$\text{properties: } \int A(p, \omega) \frac{d\omega}{2\pi} = 1$$

$$G_{\text{ret}}^{(0)}(p, \omega) = \frac{1}{\omega - \xi_p + i\delta} \Rightarrow A(p, \omega) = 2\pi \delta(\omega - \xi_p) = -2\text{Im} G_{\text{ret}}^{(0)}(p, \omega)$$

Dyson's Equation:

Def: $K = K_0 + V = H_0 - \mu N + V$ $\mathcal{H} = H_0 + V$

$$U(Z) = e^{iK_0 Z} e^{-iK Z}$$

$$U^{-1}(Z) = e^{iK Z} e^{-iK_0 Z}$$

$$\hat{C}_p(Z) = e^{iK_0 Z} C_p e^{-iK_0 Z}$$

Then: $(Z>0) \quad G(p, Z) = \frac{-\text{Tr}[e^{-\beta K_0} U(\beta) U^{-1}(Z) \hat{C}_p(Z) U(Z) \hat{C}_p^\dagger(0)]}{\text{Tr}[e^{-\beta K_0} U(\beta)]}$

Also: $\frac{\partial}{\partial Z} U(Z) = -e^{iK_0 Z} V e^{iK_0 Z} (e^{iK_0 Z} e^{-iK Z}) = -\hat{V}(Z) U(Z)$

区别之前的式子. 少一个 i

$$\Rightarrow U(Z) = \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \int_0^Z dZ_1 \dots dZ_n T_Z[\hat{V}(Z_1) \dots \hat{V}(Z_n)]$$

$$= T_Z \exp \left[- \int_0^Z dz \hat{V}(z) \right]$$

Also: $S(a, b)$ share similar definite with $U(Z)$.

$$S(Z_1, Z_2) = T_Z \exp \left[- \int_{Z_1}^{Z_2} dz \hat{V}(z) \right]$$

$$\Rightarrow G(p, Z) = \frac{-\text{Tr}[e^{-iK_0 \beta} S(\beta, Z) \hat{C}_p(Z) S(Z, 0) \hat{C}_p^\dagger(0)]}{\text{Tr}[e^{-iK_0 \beta} S(\beta)]}$$

Attention: $\text{Tr}[e^{-\beta K_0} (A)]$ 就是 $\langle A \rangle_0$. non-interactive $K_0 = H_0 - \mu N$

$$\Rightarrow \frac{-\langle T_Z [S(\beta) C_p(Z) C_p^\dagger(0)] \rangle_0}{\langle S(\beta) \rangle_0}$$

$\beta = \frac{1}{k_B T} \rightarrow 0 \quad \beta \rightarrow \infty$ 回到之前 Dyson 级数.

Similarly we want to express G over G_0 .

$$\langle T_{\mathbb{Z}} S(\beta) C_p(z) C_p^\dagger(\omega) \rangle_0 = \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \int_0^\beta dz_1 \dots dz_n \cdot T_{\mathbb{Z}} [C_p(z) \hat{V}(z_1) \dots \hat{V}(z_n) C_p^\dagger(\omega)]$$

Apply Wick's Theorem:

Adding connected cancelled $\langle S(\beta) \rangle_0$.

Adding different cancelled $\frac{1}{n!}$

$$\Rightarrow G(p, z) = - \sum_{n=1}^{\infty} \frac{(-1)^n}{n!} \int_0^\beta dz_1 \dots dz_n \langle T_{\mathbb{Z}} [\hat{C}_p(z) \hat{V}(z_1) \dots \hat{V}(z_n) \hat{C}_p^\dagger(\omega)] \rangle_0$$

Also its Fourier transform:

$$G(p, ip_n) = \frac{G^{(0)}(p, ip_n)}{1 - G^{(0)}(p, ip_n) \Sigma(p, ip_n)} \quad P_n = \frac{(2n+1)\pi}{\beta} \quad G(p, ip_n) = \int_0^\beta e^{ip_n z} G(p, z) dz$$

$$D(p, i\omega_n) = \frac{D^{(0)}(p, i\omega_n)}{1 - D^{(0)}(p, i\omega_n) \Pi(p, i\omega_n)} \quad \omega_n = \frac{2n\pi}{\beta}$$

Example: using Matsubara Method solving finite T

$$\mathcal{H} = H_0 + \frac{1}{\sqrt{V}} \sum_{qk} M_q A_q C_{kq}^\dagger C_k$$

$n=2$ term:

$$\begin{aligned} & \frac{1}{V} \sum_{qq'} M_q M_{q'} \int_0^\beta dz_1 dz_2 \langle T_{\mathbb{Z}} [\hat{C}_p(z) \sum_K C_{Kq}^\dagger(z) C_K(z) \sum_{K'} C_{K'q'}^\dagger(z) C_{K'}(z)] \rangle_0 \\ & \times \langle T_{\mathbb{Z}} A_q(z_1) A_{q'}(z_2) \rangle_0 \\ & \Downarrow \\ & -\delta_{q+q'} D^{(0)}(q, z_1 - z_2) \end{aligned}$$

only we change $t \rightarrow \mathbb{Z}$

if we consider connected, different graph. there's still one

$$g_2(p, z) = -\frac{1}{V} \sum_q M_q^2 \int_0^\beta d\tau_1 \int_0^\beta d\tau_2 b^{(0)}(q, \tau_1 - \tau_2) g_0^{(0)}(p, \tau_1 - \tau_2)$$

$$\times g^{(1)}(p, q, \tau_1 - \tau_2) g^{(0)}(p, \tau_2)$$

Fourier transform.

$$g(p, ip_n) = \int_0^\beta d\tau g(p, \tau) e^{ip_n \tau}$$

$$g^{(0)}(p, \tau_1 - \tau_2) = \frac{1}{\beta} \sum_{ip_n'} e^{-ip_n'(\tau_1 - \tau_2)} g^{(0)}(p, ip_n')$$

$$\Rightarrow g_2(p, ip_n) = -\frac{1}{V} \sum_q M_q^2 \sum_{nn'n''m} b^{(0)}(q, i\omega_m) g^{(0)}(p, ip_n') g^{(0)}(p, q, ip_{n''})$$

$$\times g^{(0)}(p, ip_{n'''}) \int_0^\beta d\tau_1 d\tau_2 d\tau_3 \exp(i p_n \tau - i \omega_m (\tau_1 - \tau_2) - i p_{n'} (\tau_1 - \tau_3)$$

$$- i p_{n''} (\tau_1 - \tau_3) - i p_{n'''} \tau_2)$$

Using $\int_0^\beta d\tau e^{i\tau(P_n - P_n')} = 0$ if $n \neq n'$, or $\delta_{P_n - P_n'}$.

$$\Rightarrow i\tau_1 (P_n - P_n') \quad i\tau_2 (\omega_m + P_{n''} - P_{n'''})$$

$$i\tau_3 (-\omega_m + P_{n''} - P_{n'''})$$

$$\Rightarrow P_n = P_n' \quad P_n' = P_{n''} + \omega_m \quad P_n = P_{n''} + P_{n'''} - P_{n''} \quad P_{n''} + \omega_m = P_n = P_n' = P_{n''}$$

$$\omega_m = P_{n'''} - P_{n''} \quad P_n = P_{n''}$$

$$\Rightarrow g_2(p, ip_n) = g^{(0)}(p, ip_n) \sum^{(1)}(p, ip_n)$$

$$\sum^{(1)}(p, ip_n) = -\frac{1}{\beta V} \sum_q \sum_{\omega_n} M_q^2 b^{(0)}(q, i\omega_n) g^{(0)}(p, q, ip_n - i\omega_n)$$

Frequency Summations:

Result:

$$-\frac{1}{\beta} \sum_{i\omega_n} b(q, i\omega_n) g_c^{(0)}(p, i\omega_n + i\omega_n) = \frac{N_p + n_F(\xi_p)}{i\omega_n + \omega_q - \xi_p}$$

$$\frac{1}{\beta} \sum_{ip_n} g_c^{(0)}(p, ip_n) g_c^{(0)}(k, ip_n + i\omega_n) = \frac{n_F(\xi_p)}{i\omega_n + \xi_p - \xi_k}$$

$$-\frac{1}{\beta} \sum_{ip_n} g_c^{(0)}(p, ip_n) g_c^{(0)}(k, i\omega_n - ip_n) = \frac{1 - n_F(\xi_p) - n_F(\xi_k)}{i\omega_n - \xi_p - \xi_k}$$

$$\frac{1}{\beta} \sum_{ip_n} g_c^{(0)}(p, ip_n) = n_F(\xi_p)$$

where: $N_q = \frac{1}{e^{\beta\omega_q} - 1}$ $n_F(\xi) = \frac{1}{e^{\beta\xi} + 1}$

) consider: 对 $i\omega_n$ 求和

$$-\frac{1}{\beta} \sum_{i\omega_n} b(q, i\omega_n) g_c^{(0)}(p, i\omega_n + i\omega_n)$$

$$= \frac{1}{\beta} \sum_{n=-\infty}^{\infty} \frac{2\omega_q}{\omega_n^2 + \omega_q^2} \times \frac{1}{i\omega_n + i\omega_n - \xi_p} = -\frac{1}{\beta} \sum_n f(i\omega_n)$$

Seen As Function of $(i\omega_n)$ $f(i\omega_n)$. $z = i\omega_n$

$$f(z) = \frac{2\omega_q}{z^2 - \omega_q^2} \times \frac{1}{ip_n + z - \xi_p} \quad (\text{在 } f(z) \text{ 的极点 } \omega_{q1}, \omega_{q2} \text{ 处求和})$$

Poles: ① $z_i = \frac{2\pi i}{\beta} \Rightarrow \omega_{q1}, \omega_{q2}$

$$\text{① } z_1 = \omega_q \quad R_1 =$$

我们可以先求:

$$\int_{R \rightarrow \infty} f(z) \frac{1}{e^{\beta z} - 1} \frac{dz}{2\pi i} \text{ Contour} = I$$

When $R \rightarrow \infty$ $I \rightarrow 0$ 统一圆 $R \rightarrow \infty$ 为 0

$$\textcircled{1} f(z) \rightarrow 0 \quad \textcircled{2} \frac{1}{e^{\beta z} - 1} \rightarrow 0 \quad \textcircled{3} 2\pi i z^2 / (e^{\beta z} - 1) \rightarrow 0$$

Then:

$$\int f(z) \frac{1}{e^{\beta z} - 1} \frac{dz}{2\pi i} = \text{Res: } \textcircled{1} z = \frac{2n\pi}{\beta} \quad \textcircled{3} z = -w_q$$

$$\textcircled{2} z = w_q \quad \textcircled{4} z = z_p - i p_n$$

$$\text{Res } \textcircled{1}: z_0 = \frac{2n\pi}{\beta}$$

$$\text{Res} = \frac{f(z_0)}{\beta e^{\beta z_0}} = \frac{1}{\beta} \cdot f(i w_n) \quad \frac{2n\pi}{\beta}$$

Therefore:

$$\text{Res } \textcircled{1} = \frac{1}{\beta} \sum f(i w_n) \text{ 即所求式}$$

$$(z^2 - w_q^2)'$$

$$\text{Res } \textcircled{2} z_0 = w_q \left(\frac{1}{e^{\beta z_0} - 1} \times \frac{2 w_q}{i p_n + w_q - z_p} \right) / 2 w_q$$

$$= \frac{N_q}{i p_n + w_q - z_p}$$

$$\text{Res } \textcircled{3} \text{ 同理 } \frac{N_q}{i p_n - w_q - z_p}$$

$$\text{Res } \textcircled{4} \frac{1}{e^{\beta z_0} - 1} \times (z_0^2 - w_q^2)^{-1} \times 2 w_q / (i p_n - z_p) \cdot 1$$

$$= \frac{-2 w_q z_p (z_p^2 - w_q^2)}{(i p_n - z_p)^2 - w_q^2}$$

$$\text{Therefore: } I = 0 = \frac{1}{\beta} \sum_{w_n} f(i w_n) + \text{Res } \textcircled{2} + \text{Res } \textcircled{3} + \text{Res } \textcircled{4}$$

Therefore, the summation is:

$$S = \frac{N_g + n_F(\frac{3}{2}p)}{iP_n - \frac{3}{2}p + W_g} + \frac{N_g + 1 - n_F(\frac{3}{2}p)}{iP_n - \frac{3}{2}p - W_g}.$$

II) Consider 对 iP_n 求和. 利用 $n_F(z) f(z)$

$$\int f(z) \frac{1}{e^{\beta z} + 1} \frac{dz}{2\pi i} \quad z_1 = \frac{(2n+1)\pi}{\beta} \quad \text{electron Fermion } \frac{1}{2}\beta$$

Similar results can be obtained

III) Consider:

$$\frac{1}{\beta} \sum_{iP_n} g^{(0)}(p, iP_n). \quad g^{(0)}(p, z) = \frac{1}{\beta} \sum_{iP_n} e^{-iP_n z} g^{(0)}(p, iP_n) \\ = -\langle T_Z \hat{C}_p(z) \hat{C}_p^\dagger(z) \rangle$$

We only choose the $I \rightarrow 0$ limit

If we want to reach n_F , we shall choose $I \rightarrow 0^-$ limit:

$$\lim_{I \rightarrow 0^-} \langle T_Z \hat{C}_p(z) \hat{C}_p^\dagger(z) \rangle = \langle \hat{C}_p^\dagger \hat{C}_p \rangle = n_F(\frac{3}{2}p).$$

Homework.

1. calculate: $\frac{1}{\beta} \sum_{ip_n} G^{(0)}(p, ip_n) G^{(0)}(k, ip_n + i\omega_n)$

2. calculate: $-\frac{1}{\beta} \sum_{ip_n} G^{(0)}(p, ip_n) G^{(0)}(k, i\omega_n - ip_n)$

3. calculate: $\frac{1}{\beta} \sum_{i\omega_n} D^{(0)}(q, i\omega_n) D^{(0)}(k, i\omega_n + iq_n)$

4. calculate: $\frac{1}{\beta} \sum_{iq_n} G^{(0)}(p, ip_n + iq_n) D^{(0)}(q, iq_n)$

1. $\frac{1}{\beta} \sum_{ip_n} \frac{1}{ip_n - z_p} \frac{1}{ip_n + i\omega_n - z_k}$

$\Rightarrow z_0 = z_p \quad z_1 = z_k - i\omega_n$

$\Rightarrow \frac{n_F(z_p) - n_F(z_k - i\omega_n)}{i\omega_n + z_p - z_k} = \frac{\frac{\pi i}{\beta}}{n_F(i\omega_n)} = 1$

$\Rightarrow \frac{n_F(z_p) - n_F(z_k)}{i\omega_n + z_p - z_k} \times \frac{1}{\beta}$

2. $-\frac{1}{\beta} \sum_{ip_n} \frac{1}{ip_n - z_p} \frac{1}{i\omega_n - ip_n - z_k}$

$\Rightarrow z_0 = z_p \quad z_1 = i\omega_n - z_k$

$\Rightarrow \frac{n_F(z_p) - n_F(-z_k)}{i\omega_n - z_p - z_k} \rightarrow \frac{1}{e^{-z_k+1}} = \frac{e^{z_k}}{e^{z_k+1}} = 1 - \frac{1}{e^{z_k+1}} = \frac{1}{n_F(z_k)}$

$\frac{1 - n_F(z_p) - n_F(z_k)}{i\omega_n - z_p - z_k}$

$i\omega_n - z_p - z_k$

$$3. \frac{1}{\beta} \sum_n \frac{-2W_q}{i\omega_n \omega_n^2 + W_q^2} \times \frac{1}{i\omega_n - i p_n - \beta k} \quad Z = i\omega_n \quad -Z^2 + W_q^2$$

$$\Rightarrow Z_1 = W_q \quad Z_2 = -W_q \quad Z_3 = i p_n + \beta k$$

$$\frac{2W_q}{Z^2 - W_q^2}$$

对 W_q 求和. 用 n_B .

$$\frac{1}{\beta} n_B(W_q) \times \frac{1}{2W_q} \times 2W_q \times \frac{1}{i\omega_n - i p_n - \beta k}$$

$$n_B(-x) = \frac{1}{e^{-x} - 1} = \frac{e^x}{1 - e^x}$$

$$+ \frac{1}{\beta} n_B(-W_q) \times \frac{1}{-2W_q} \times 2W_q \times \frac{1}{-i\omega_n - i p_n - \beta k}$$

$$= -1 + \frac{1}{1 - e^{ox}}$$

$$= -1 - \frac{1}{e^x - 1}$$

$$+ \frac{1}{\beta} n_B(ip_n + \beta k) \times \frac{-2W_q}{(ip_n + \beta k)^2 + W_q^2} \quad n_B(ip_n) = -1$$

$$\Rightarrow n_B(-\beta k) = 1 - n_B(\beta k)$$

$$= -1 - n_B(x)$$

$$= \frac{1}{\beta} \left[\frac{n_B(W_q)}{W_q - i p_n - \beta k} + \frac{-1 - n_B(W_q)}{-W_q - i p_n - \beta k} + \frac{(1 + n_B(\beta k)) (2W_q)}{(ip_n + \beta k)^2 + W_q^2} \right]$$

$$Z = i\omega_n$$

$$4. \frac{1}{\beta} \sum_n \frac{1}{Z + i p_n - \beta p} \times$$

$$\frac{1}{-Z^2 + W_q^2 + 2W_q P(q, i\omega_n, Z)}$$

求 Res.

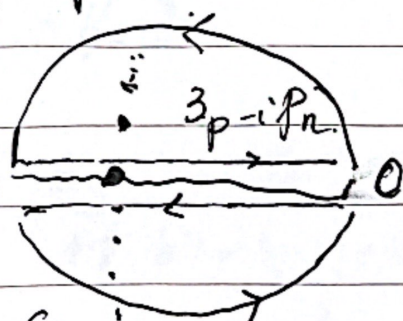
$$= \frac{-2W_q}{\beta p - i p_n + W_q^2 + 2W_q P(q, \beta p - i p_n)} n_F(\beta p - i p_n)$$

$$I = \int n_F f(z) \frac{dz}{2\pi i}$$

$$\text{此积分为: } \int_R \frac{dz}{2\pi} n_F(z) A(p, z) G^{(0)}(p, \beta p_n + i\omega_n)$$

$$A(p, z) = 2i \operatorname{Im} G^{(0)}(p, z)$$

$$\Rightarrow \text{Summation 最简为: } S = \frac{-2W_q n_F(\beta p - i p_n)}{\beta p - i p_n + W_q^2 + 2W_q P(q, \beta p - i p_n)} + \int_R \frac{dz}{2\pi} n_F(z) A(p, z) G^{(0)}(p, \beta p_n + i\omega_n)$$



Dec. 18th.

DATE

NOTES

Next part we introduce the Kondo Model:

The case earlier is a b^+ bond state, without considering spin ^{con} distribution, it's nonmagnetic scattering making contribution to resistivity independent of temperature.

However, a magnetic impurity causes a minimum resistance even at $T=0$, resulting from spin-flip scattering

$$H_0 = \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + E_p \quad p \text{ is localized spin}$$

$$V_{sd} = -\frac{J}{N} \sum_{k,p} \sum_{\alpha,\beta} \exp[i\mathbf{R}_j \cdot (\mathbf{k} - \mathbf{p})] \underbrace{\delta_{\alpha\beta}}_{\text{Exchange spin}} \cdot S \cdot c_{k\alpha}^\dagger c_{p\beta}$$

Now we shall calculate self-energy to 3rd order

~~$n \geq 1$~~ order. Using Matsubara Green's Function cancell [exp] term in the $Z \rightarrow \omega$ Fourier Transform.

$$c_{k\sigma}(Z) c_{k'\sigma'}^\dagger(Z') \Rightarrow G = \langle T_Z c_{k\sigma}(Z) c_{k'\sigma'}^\dagger(Z') \rangle$$

We choose different (σ, σ') as G function to express the spin-flip process $\sigma \rightarrow \sigma'$, $\sigma \xrightarrow{Z, k} \sigma'$, 然而后又会看见 $\delta\delta\delta$ 即 $\sigma' = \sigma$ 费曼图才出现

$$G(k\sigma Z, k'\sigma' Z') = \sum_{n=0}^{\infty} (-J)^n \int_0^\beta \prod_n dZ_n \langle T_Z S(Z_1) \dots S(Z_n) \rangle$$

$$\times \langle T_Z c_{k\sigma}^{(Z)} \delta_{\alpha\beta} c_{p\beta}^{(Z_1)} \dots c_{k'\sigma'}^{(Z_n)} \delta_{\alpha'\beta'} c_{p'\beta'}^{(Z)} c_{k\sigma}^{(Z')} \rangle$$

DATE $C \delta_d^x, \delta_d^y, \delta_d^z$ $\downarrow$ $(\uparrow) (\downarrow)$ 2D

More explicit: $S(\vec{r}) \delta_{\alpha\beta}$

$$S(L) = (S^x(L), S^y(L), S^z(L)) \text{ 对 } d \text{ 的 } [S^d]$$

$$S^x(L) = \text{Pauli Matrix } \sigma_x$$

or: 因此 S 算符: $[S^d(x), S^d(y), S^d(z)]$

$$\Rightarrow S_{(L)}^{xyz} = \frac{1}{2} \begin{pmatrix} C_{\uparrow}^+(L) \\ C_{\downarrow}^+(L) \end{pmatrix} \text{Pauli}(x,y,z) \begin{pmatrix} C_{\uparrow}(L) \\ C_{\downarrow}(L) \end{pmatrix}$$

而: $\delta_{\alpha\beta}^x = \langle 2 | \text{Pauli } x | \beta \rangle$

$$\text{block } \delta_{\uparrow\downarrow}^x = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \Rightarrow \text{a number}$$

因此: $\underbrace{C_{K\alpha}^+(L) C_{p\beta}(L)}_{\text{electron}} \underbrace{S^d(L)}_{\text{spin}} \delta_{\alpha\beta}$ 可分离

$$\langle T_L CC \rangle \times \langle T_L S^d \rangle \times \langle \text{number} \rangle$$

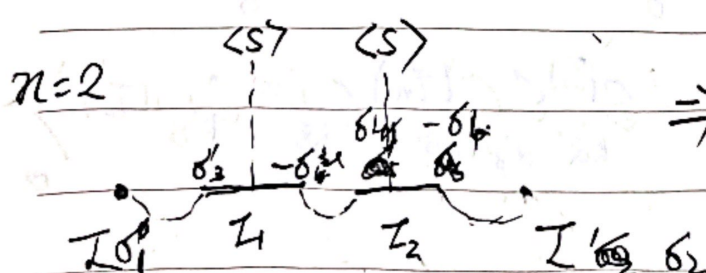
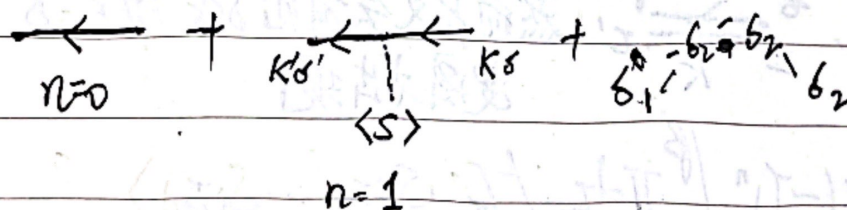
Altogether

$$\langle C_K^+ C_K \times CC \times CC \dots \rangle \times \langle S^d \dots S^d \rangle \times \langle S \rangle$$

2线. 1个 S^d

3线. 2个 S^d

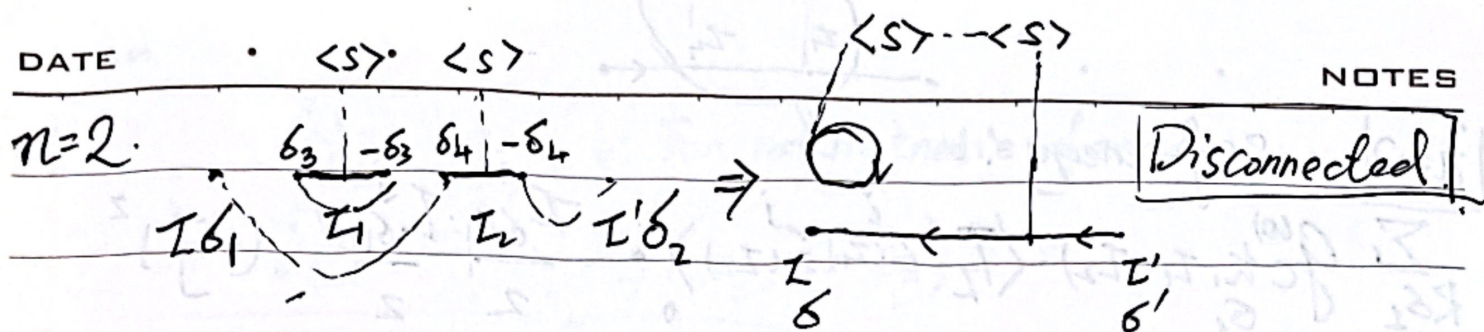
$$V = \frac{1}{L} \frac{1}{L}$$



$$\Rightarrow \frac{K'}{L} \frac{K_1}{L} \frac{K}{L}$$

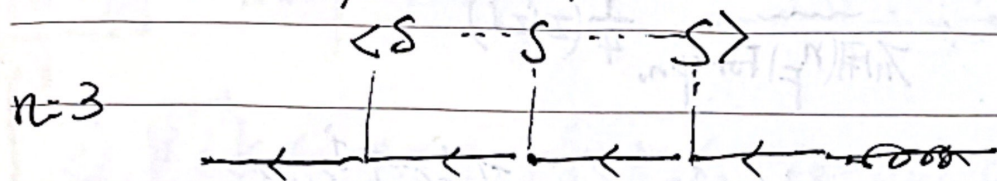
$$\delta_1 = \delta_3, -\delta_4 = \delta_2 = \delta_1$$

可行!



现在先假设 $\delta = \delta'$ 之后会看见由于 $\Theta(I_{\delta\delta_1} I_{\delta_1\delta'})$ 项, 必有 $\delta' = \delta$.

$n=3$ 不看 Feynman Loop 3. 必为 Disconnected Graph.



因此, 观察这些费曼图. 对于 $n=1$ 阶:

$$\begin{aligned} & \sum_{\alpha, \beta} \langle C_{K\delta}^{\dagger}(I') C_{K\delta}(I) \rangle \langle C_{K\delta'}^{\dagger}(I) C_{K\delta'}(I') \rangle \langle S^d \rangle \\ &= \sum_{\delta\delta'} I_{\delta\delta'} \times \langle S^d \rangle \times g_{\delta}^{(10)}(k, I) g_{\delta'}^{(10)}(k, I-I') \exp[-i\epsilon] \end{aligned}$$

$$\Rightarrow \sum_{\delta\delta'} [g_{\delta}^{(10)}(k, \omega)]^2 \langle I_{\delta\delta'} S^d \rangle$$

如果 $\delta = -\delta'$, 计算: $\delta_{\uparrow\downarrow}^x = 0$ $\delta_{\downarrow\uparrow}^x = 0$ 不算此项
 $\delta' = \delta$ $\delta_{\uparrow\uparrow}^x = 1$ $\delta_{\downarrow\downarrow}^x = -1$ cancel 为零.

同理, δ^y, δ^z 皆为零.

$$\Leftrightarrow \langle I_{\delta\delta} S^d \rangle \Leftrightarrow \langle S_{\uparrow}^d - S_{\downarrow}^d \rangle$$

Because we consider system of electron $|\uparrow\rangle$ non-magnetic. this term always zero $\sum \langle S^{\uparrow} \rangle = 0$

we use: $\langle s_i^i s_j^j \rangle_0 = \frac{1}{8} \text{Tr} [Z^i Z^j]$

$$\frac{1}{2} [\langle \uparrow | s^i s^j | \uparrow \rangle + \langle \downarrow | s^i s^j | \downarrow \rangle]$$

$$\frac{1}{8} \pi [\varepsilon^i \varepsilon^j] = \frac{1}{4} \delta_{ij}$$

因此, 原式 = $\sum_{\substack{ij \in (x,y,z) \\ k \in I_1}} \delta_{ij} \frac{1}{4} g^{(w)}(k \delta_1, I_1 - I_2) \frac{I_{\delta \delta_1}^i}{2} \frac{I_{\delta_1 \delta}^j}{2} (-J)^2$

$$= \sum_K \frac{1}{4} g^{(0)}(k) \delta_{\mathbf{1}, \mathbf{1}-\mathbf{2}} \sum_{\delta_{\mathbf{1}}^i} \frac{\tau_{\delta_{\mathbf{1}}^i}^i \tau_{\delta_{\mathbf{1}}^i}^i}{4} (-J)^2$$

而 $\sum_i I_{66}^i, I_{6,6'}^i = \sum_i I_{66}^i, I_{6,6}^i$ 查表

$$= \frac{1+2}{\delta_1 \uparrow \delta_1 \downarrow} = 3$$

表

$$I_{\uparrow\uparrow}^x = 1, I_{\downarrow\downarrow}^x = -1, I_{\uparrow\downarrow}^x = 1, I_{\downarrow\uparrow}^x = 1 \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\tau_{\uparrow\uparrow}^y = 0 \quad \tau_{\downarrow\downarrow}^x = 0 \quad \tau_{\uparrow\downarrow}^y = i \quad \tau_{\downarrow\uparrow}^y = -i \quad \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$I_{\uparrow\uparrow}^Z = 1 \quad I_{\downarrow\downarrow}^Z = -1 \quad I_{\uparrow\downarrow}^Z = 0 \quad I_{\downarrow\uparrow}^Z = 0. \quad \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

② $\sigma' = -\sigma$ 比如 $\sigma = \uparrow$

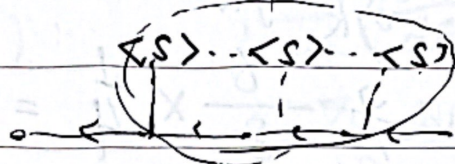
$$\sum_{\substack{i \\ \uparrow \delta_1}} z^i z^i_{\substack{i \\ \downarrow \delta_1}} = 0$$

因此: 利用 $g_{\uparrow} = g_{\downarrow}$ For non-magnetic fermion.

$$\Sigma^{(2)} = \delta_{\delta\delta'} \frac{3J^2}{16} \sum_{\mathbf{k}_1} g^{(w)}(\mathbf{k}_1, \tau_1 - \tau_2).$$

$$\Sigma^{(2)}(i\mathbf{k}_n) = \delta_{\delta\delta'} \frac{3J^2}{16} \sum_{\mathbf{k}_1} \frac{1}{i\mathbf{k}_n - \mathbf{z}_{\mathbf{k}_1}}$$

$n=3$ self-energy



$$\int_0^{\beta} \sum_{\substack{\mathbf{k}_1 \mathbf{k}_2 \\ \delta_1 \delta_2}} g^{(w)}(\mathbf{k}_1, \tau_1 - \tau_3) g^{(w)}(\mathbf{k}_2, \tau_3 - \tau_2) d\tau_3 \times (-J)^3$$

$$\times \langle T_{\tau} S^i(\tau_1) S^j(\tau_2) S^k(\tau_3) \rangle \times \frac{1}{8} I_{\delta\delta_1}^i I_{\delta_1\delta_2}^j I_{\delta_2\delta'}^k$$

(T_{τ}) 表明 $\hat{S}$ 有顺序: $[S^i, S^j]$ 并不对易, 时序必须考虑.

$$\text{其中: } I_{\delta\delta_1}^i I_{\delta_1\delta_2}^j I_{\delta_2\delta'}^k = \sum_{\delta_1} \langle \delta | \tau^i | \delta_1 \rangle \langle \delta_1 | \tau^j | \delta_2 \rangle \langle \delta_2 | \tau^k | \delta' \rangle \\ = \langle \delta | \tau^i \tau^j \tau^k | \delta' \rangle$$

$$\text{① } \delta = \delta' \Rightarrow T_{\tau} [\tau^i \tau^j \tau^k] \quad (i=j=k)$$

$$\text{② } \delta = -\delta' \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = i \hat{I} \langle \delta | \tau^i | \delta \rangle = 0$$

$$\text{eg. } \delta = \uparrow \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \hat{I} \Rightarrow 0 \quad \text{同上计算, 为零}$$

$$(i, j, k) =$$

$$\text{又: } T_{\tau} [\tau^i \tau^j \tau^k] = 2i \epsilon_{ijk} \quad \text{若 } i=j \text{ 为零}$$

否则求和得到: (即必须 $i \neq j \neq k$) $\delta = -\delta'$ 项为零

同上 $\langle \delta^i \delta^j \delta^k \rangle = -\delta_{ijk} \epsilon_{ijk} \frac{1}{4}$ 让 $i \neq j \neq k$.

这样 $\sum_{\delta \neq \delta'} \epsilon_{ijk} \epsilon_{ijk} \frac{1}{4}$ 只有 $\delta = \delta'$ 非零. $\delta \neq -\delta'$ $i \neq j \neq k$

时必须为零, $\delta = \delta'$ 此项为 ϵ_{ijk}

两者相乘: $\sum_{ijk} \epsilon_{ijk}^2 = 6$

前面系数因此为 $\frac{6}{8} \times \frac{1}{4} = \frac{3}{16}$

现在求和了 $(\delta\delta')(\delta_1\delta_2)$ 与 (x,y,z) . 考虑 (t_1, t_2, t_3) 时序.

令 $t_2 = 0$, 时间 $t_3 > t_1$, $t_3 < t_1$ 区分. $\odot t_2$ 必最小

$$\theta(t_1 - t_3) \langle \delta^i \delta^j \delta^k \rangle + \theta(t_3 - t_1) \langle \delta^j \delta^i \delta^k \rangle = \frac{2i}{8} \text{sgn}(t_1 - t_3) \epsilon_{ijk}$$

负号由此

因此, 原式 =

$$\sum_{k_1, k_2} g^{(\omega)}(k_1, i k_n) g^{(\omega)}(k_2, i k_n) \times \frac{3}{16} \times (-J)^3$$

$$\Downarrow \sum^{(3)} = \sum_{k_1, k_2} \frac{1}{(i k_n - \frac{3}{2} k_1)(i k_n - \frac{3}{2} k_2)} \left(\frac{-3J^3}{16} \right) \text{ 与 } (k, k') \text{ 无关}$$

$$\sum (k, k', i k_n) \Leftrightarrow \sum (i k_n).$$

如何求和? 对 t_3 积分

$$\sum_{k_1, k_2} \int_0^\beta dt_3 g^{(\omega)}(k_1, t_1 - t_3) g^{(\omega)}(k_2, t_3) \text{sgn}(t_1 - t_3)$$

时序

using Fourier Transform, $e^{i(t_1 - t_3) \eta_n} g^{(\omega)}(k_1, i \eta_n)$.

再对 η_n 求和. 得:

$$\Sigma^{(3)} = \frac{-3}{16} J^3 \sum_{p,q} \frac{1}{ik_n - \epsilon_q} \times \left[\frac{1}{ik_n - \epsilon_p} - \frac{4 n_F(\epsilon_p)}{\epsilon_p - \epsilon_q} \right]$$

其中第二项贡献了 $\ln(T)$ 的电阻.

$$\sum_{p,q} \frac{4 n_F(\epsilon_p)}{(ik_n - \epsilon_q)(\epsilon_p - \epsilon_q)} \left| \frac{dV}{dk} \cdot \frac{dk}{d\epsilon} \cdot d\epsilon \cdot f(\epsilon) \right|$$

$$\sum_{p,q} f(\epsilon_q) \int_{-W}^B g(\epsilon) f(\epsilon) d\epsilon = 2k_B n_F(\epsilon) \cdot f(\epsilon)$$

对动量空间中 p 的求和可以用态密度 $g(\epsilon)$ 积分替代连续谱 [W.B] 截断.

$$\sum_{p,q} \rightarrow \frac{-3}{16} J^3 \int_{-W}^B d\epsilon_1 \frac{g(\epsilon_1)}{ik_n - \epsilon_1} \int_{-W}^B \frac{n_F(\epsilon_2) g(\epsilon_2)}{\epsilon_1 - \epsilon_2} d\epsilon_2$$

求自能虚部

$$\Sigma^{\text{ret}}(ik_n \rightarrow \omega + i\eta)$$

$$\text{Im} \Sigma^{\text{ret}} = \text{Im} \left[\left(-\frac{3}{16} J^3 \right) \int_{-W}^B d\epsilon_1 \frac{g(\epsilon_1)}{\omega - \epsilon_1 + i\eta} \int_{-W}^B \frac{n_F(\epsilon_2) g(\epsilon_2)}{\epsilon_1 - \epsilon_2} d\epsilon_2 \right]$$

取虚部, 此项变为 $-\pi \delta(\omega - \epsilon_1)$

因此, 第一个积分 $\int_{-W}^B \delta(\omega - \epsilon_1) g(\epsilon_1) d\epsilon_1 = g(\omega)$

$$\text{Im} [\Sigma^{\text{ret}(3)}] = \left(-\frac{3}{16} J^3 \right) \cdot g(\omega) \cdot \int_{-W}^B \frac{n_F(\epsilon_2) g(\epsilon_2)}{\omega - \epsilon_2} d\epsilon_2$$

近藤问题居于低温极限 $T \rightarrow 0$ $n \rightarrow 0$ 对 $\epsilon > 0$ 无分布, 对 $\epsilon < 0$ 满分布 $n_F(\epsilon) = 1$ for $\epsilon < 0$. 则原式 = $\int_{-W}^0 \frac{g(\epsilon_2)}{\omega - \epsilon_2} d\epsilon_2$

$$\int_{-W}^0 \frac{g(\epsilon_2)}{\omega - \epsilon_2} d\epsilon_2 \approx g(\omega) \ln \left| \frac{\omega}{W} \right|$$

由于 $g(\omega)$ 随 ω smooth 变化, $g(\omega) \rightarrow g(0)$

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因此，此项贡献自能虚部为：

$$\text{Im} \Sigma(\omega) = -\frac{3\pi}{16} J^3 g(\omega) \cdot \ln \left| \frac{\omega}{W} \right|$$

电阻与自能虚部有关 $\omega \rightarrow k_B T$

$$\rho(T) = -\frac{3\pi}{16} J^3 g(\omega) \cdot \ln \left| \frac{k_B T}{W} \right|$$

$$\rho(T)_{\text{All}} = A \ln(T) + B T^5 + C_0 \rho(\omega) \quad \begin{array}{l} \text{Bond Sta} \\ \nearrow T\text{-indep} \end{array}$$

(Kondo) e-phonon scatter first-term.

Argument: $\text{Im} \Sigma \Leftrightarrow \rho$

$$\rho \propto \frac{1}{G_{\text{电导}}}, G_{\text{电导}} \propto \text{流流关联函数} \propto \text{Im} G \propto \frac{1}{\text{Im} \Sigma}$$

$$\Rightarrow \rho \propto \text{Im} \Sigma$$

Argue: 流流函数约为 $G^{(0)} \times G^{(1)}$

公式: $G = \frac{i\pi}{\omega} \pi^R(\omega)$ $\pi^R(\omega) = -i \theta(t-t') \langle [I(t) I(t')] \rangle$

取电导实部倒数为电阻，即取

$$\text{Wick: } G^{(0)} \times G^{(1)}$$

$$\text{Im} \left[\frac{\pi^R(\omega)}{\omega} \right] \quad \pi^R(\omega) \text{ 类似推迟 Green's Function}$$

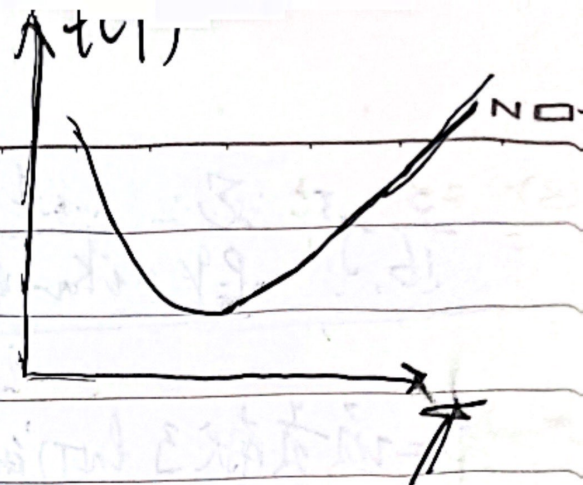
$$\text{推迟 } G^{(0)}: i\epsilon_n \rightarrow \omega - i\eta \rightarrow G$$

$$= \text{Im} \left[\frac{G^R(\omega)}{\omega} \right] = \text{Im} \left[\frac{1}{\omega} \times \left(\frac{1}{\omega - i\eta + \Sigma} \right)^2 \right]$$

$$= \frac{1}{\omega} \times \text{Im} \left[\frac{1}{[(\text{Im} \Sigma + \eta) \times i + \omega + \text{Re} \Sigma]^2} \right]$$

$$= \frac{1}{\omega} \times \frac{\text{Im} \Sigma \omega}{\omega^2 + (\text{Im} \Sigma)^2} \quad \text{Im} \Sigma = \ln \omega \Rightarrow \left(\frac{1}{\omega} \times \omega \right) \times \frac{\ln \omega}{(\ln \omega)^2} = \frac{1}{\ln \omega}$$

$$\Rightarrow G = \frac{1}{\omega} \times \frac{\ln \omega}{1/\ln \omega} \Rightarrow \rho = \frac{(\ln \omega)^2}{\omega \ln \omega} \approx \ln \omega$$



Dec. 19th
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NOTES

The theory above is valid at high temperature. In low- T , spin $\uparrow$, spin $\downarrow$, for the local spin ceases to share the same energy. In fact, local spin is locked into a collective state with the band spins $|\uparrow_{\text{local}} \downarrow_{\text{band}}\rangle$, forming a Kondo singlet.

Therefore, connecting to different bands, local spin has different energy. Only above Kondo temperature can S^z theory be valid.

For ($J < 0$), at low temperature, is a singlet. we define the collective state. Def:

$$|\uparrow\rangle_d; |\downarrow\rangle_d; S = \frac{1}{2}; J < 0; |\text{Fermi-Sea}\rangle = |F\rangle$$

There's a localized spin ^{effecting} to a fermi-sea at low T . we write out it's wave function: Normal 反对称费米态

$$\varphi_1 = \sum_{|k| > k_F} a_k [C_{k\downarrow}^\dagger |\uparrow\rangle \otimes |F\rangle - C_{k\uparrow}^\dagger |\downarrow\rangle \otimes |F\rangle] \quad C_{k\downarrow}^\dagger |\uparrow\rangle \quad \text{singlet}$$

$$\text{or } \varphi_2 = \sum_{|k| < k_F} b_k [C_{k\downarrow}^\dagger |\uparrow\rangle \otimes |F\rangle + C_{k\uparrow}^\dagger |\downarrow\rangle \otimes |F\rangle] \quad C_{k\uparrow}^\dagger |\uparrow\rangle \quad \text{hole state}$$

$$|F\rangle = \prod_{|p| < k_F} C_{p\uparrow}^\dagger C_{p\downarrow}^\dagger |\text{empty}\rangle$$

$$\varphi_2 \Rightarrow [C_{k\downarrow}^\dagger |\uparrow\rangle + C_{k\uparrow}^\dagger |\downarrow\rangle] C_{k\uparrow}^\dagger C_{k\downarrow}^\dagger |0\rangle = \varphi_1$$

就是 φ_1 和两个

出页号

we form the hole and the particle state for singlet (φ_1, φ_2).

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We apply the variational method to calculate E without spin localized.

$$H_0 = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} C_{\mathbf{k}\sigma}^\dagger C_{\mathbf{k}\sigma} \quad E_G = \frac{2 \sum_{|\mathbf{k}| < k_F} \epsilon_{\mathbf{k}}}{N}$$

Adding localized spin, one add $V_{sd} \Rightarrow \delta_{\alpha\beta} \mathbf{S} \cdot \mathbf{C}_{\mathbf{k}\alpha}^\dagger C_{\mathbf{p}\beta} \times (\mathcal{F})$

$$(H_0 + V_{sd}) \psi_{1 \text{ or } 2} = (E_G + \delta E_{1 \text{ or } 2}) \psi_{1 \text{ or } 2}$$

$\psi_{1,2}$ is our trial state we assume V_{sd} make a effect on the singlet state, $\delta E_{1 \text{ or } 2}$ is the energy shift. In fact, the V_{sd} can generate states like: $C_{\mathbf{q}} = \mathbf{k}$

$$\sum_{\mathbf{p}\mathbf{q}} C_{\mathbf{p}\uparrow}^\dagger C_{\mathbf{q}\downarrow} V_{sd}^{(-)} \sum_{|\mathbf{k}| < k_F} \delta_{\mathbf{q}, \mathbf{k}} a_{\mathbf{k}} |\uparrow\rangle_d C_{\mathbf{k}\downarrow}^\dagger |F\rangle \quad (S^{(-)} |\uparrow\rangle = |\downarrow\rangle)$$

$$= \sum_{|\mathbf{k}| < k_F} a_{\mathbf{k}} \sum_{|\mathbf{p}| < k_F} |\downarrow\rangle C_{\mathbf{p}\uparrow}^\dagger |F\rangle$$

a valid state

But when $\mathbf{q} \neq \mathbf{k}$, also many states can be generated by V_{sd} but Not (ψ_1, ψ_2) . Nevertheless, we apply the approximation.

$$\text{Variational } E = \frac{\langle \psi_{1,2} | H | \psi_{1,2} \rangle}{\langle \psi_{1,2} | \psi_{1,2} \rangle} = E_G + \delta E$$

$$\langle \psi_1 | H_0 + V_{sd} - E_G - \delta E | \psi_1 \rangle = 0$$

$$\langle \psi_1 | \frac{1}{N} \sum_{|\mathbf{k}| < k_F} \epsilon_{\mathbf{k}} - E_G - \delta E$$

Separate calculation in parts.

$$| H_0 - E_G - \delta E | \psi_1 \rangle \Rightarrow H_0 [|\uparrow\rangle C_{\mathbf{k}\downarrow}^\dagger - |\downarrow\rangle C_{\mathbf{k}\uparrow}^\dagger] |F\rangle$$

$$= \epsilon_{\mathbf{k}} + E_G$$

$$\epsilon_{\mathbf{k}} + E_G$$

从而
 $\Rightarrow$

$$(\epsilon_{\mathbf{k}} - \delta E) |\psi_1\rangle = \frac{1}{N} \sum_{|\mathbf{k}| < k_F} a_{\mathbf{k}} (\epsilon_{\mathbf{k}} - \delta E) [|\uparrow\rangle C_{\mathbf{k}\downarrow}^\dagger - |\downarrow\rangle C_{\mathbf{k}\uparrow}^\dagger] |F\rangle$$

左矢作用:

$$\langle F | \uparrow \cdot C_{K\downarrow} | \sum_{|k| > k_F} \frac{1}{\sqrt{N}} (\epsilon_k - \delta E_a) | \uparrow \rangle C_{K\downarrow}^\dagger - | \downarrow \rangle C_{K\downarrow}^\dagger | F \rangle$$

$$= \frac{1}{\sqrt{N}} a_k (\epsilon_k - \delta E_a)$$

$$- \langle F | \downarrow C_{K\uparrow} | \text{同理为上式之相反数} \times (-1) \Rightarrow (-1) \times (-1) = 1$$

$$\Rightarrow \langle \psi_1 | H_0 - E_0 - \delta E_a | \psi_1 \rangle = \frac{2}{\sqrt{N}} a_k (\epsilon_k - \delta E_a) \quad k = C_k$$

另一部份: 分解 $\hat{S} \cdot \hat{\sigma}_{\alpha\beta} C_{k\alpha}^\dagger C_{p\beta}$, 又方向为:

$$S^{(z)} [C_{p\uparrow}^\dagger C_{q\uparrow} - C_{p\downarrow}^\dagger C_{q\downarrow}] \sum_{|k| > k_F} a_k | \uparrow \rangle C_{k\downarrow}^\dagger | F \rangle$$

$$\Rightarrow S^{(z)} | \uparrow \rangle = \frac{1}{2} | \uparrow \rangle; \quad \textcircled{1} p=q \quad (n_{p\uparrow} - n_{p\downarrow}) C_{k\downarrow}^\dagger | F \rangle = -1$$

$\textcircled{2} q=k, \sum_p C_{p\downarrow}^\dagger | \uparrow \rangle \cdot | F \rangle$, 其余均 $\langle \rangle$ 为 0. 下比上多 1 个
此两项结果: 对 S^z 而言, 为:

$$- \frac{1}{2} \sum_{|k| > k_F} a_k | \uparrow \rangle \cdot C_{k\downarrow}^\dagger | F \rangle = - \frac{1}{2} \left[\sum_{|k| > k_F} a_k \right] \left[\sum_{|p| > k_F} | \uparrow \rangle C_{p\downarrow}^\dagger | F \rangle \right]$$

另一部份: x-y 方向 S^x, S^y 为

$$+ \uparrow | C_{k\downarrow}^\dagger [C_{p\uparrow}^\dagger C_{q\downarrow} - C_{p\downarrow}^\dagger C_{q\uparrow}] C_{k\downarrow}^\dagger | F \rangle | \uparrow \rangle$$

自旋不守恒, 全为零, 再忽略 S^z 第项 (比第 2 项少一个 N)

$$\text{总共, } \sqrt{s_d} \psi_1 = \frac{3J}{2N^{3/2}} \left[\sum_{|k| > k_F} a_k C_{p\downarrow}^\dagger \right] \times$$

$$\left[\sum_{|p| > k_F} a_p [| \uparrow \rangle C_{p\downarrow}^\dagger - | \downarrow \rangle C_{p\uparrow}^\dagger] | F \rangle \right]$$

DATE

We can add V^{sd} and H_0 term to our 变分参数 is ψ_1 中的 a_k 项. 因此, 用 $\langle \psi_1 | H | \psi_1 \rangle = 0$ 得到 a_k 关系.

$$\psi_1: a_k = -\frac{3J}{2N} \frac{1}{\epsilon_k - \delta E_a} \sum_{|p| < k_F} a_p$$

$$\psi_2: b_k = \frac{3J}{2N} \frac{1}{\epsilon_k + \delta E_b} \sum_{|p| < k_F} b_p$$

$$\begin{pmatrix} a_1 \\ \vdots \\ a_N \end{pmatrix} = -\frac{3J}{2N} \begin{pmatrix} \frac{1}{\epsilon_1 - \delta E_a} & \cdots & \frac{1}{\epsilon_1 - \delta E_a} \\ \vdots & & \vdots \\ \frac{1}{\epsilon_N - \delta E_a} & \cdots & \frac{1}{\epsilon_N - \delta E_a} \end{pmatrix} \begin{pmatrix} a_1 \\ \vdots \\ a_N \end{pmatrix}$$

$$\text{Eigenvalue } a_1(\overset{\text{Eig}}{n}) = 0 \Rightarrow 1 = -\frac{3J}{2} \int_0^B d\epsilon \frac{g(\epsilon)}{\epsilon - \delta E_a}$$

因此: δE_a 就解出了. 同理 δE_b . 令 $g(\epsilon) = g$

$$1 = \left(\frac{3Jg}{2}\right) \ln \left| \frac{\delta E_a}{W - \delta E_a} \right| \quad 1 = \left(\frac{3Jg}{2}\right) \ln \left| \frac{\delta E_b}{W - \delta E_b} \right|$$

$$\Rightarrow \delta E_a = \frac{-W\Lambda}{1+\Lambda} \quad \delta E_b = \frac{B\Lambda}{1+\Lambda} \quad \ln(\Lambda) = \frac{2}{3Jg}$$

$$\delta E \ll W, B \Rightarrow \frac{1}{1+\Lambda} \rightarrow 0 \Rightarrow \Lambda < 1 \Rightarrow J < 0$$

Only ($J < 0$) Antisymmetric case can this singlet be formed.

$$\Lambda = e^{-\frac{2}{3Jg}}$$

We use variational method; the perturbation method is way too difficult for this case.

Def: Kondo Temperature:

$$k_B T_K = \exp\left(-\frac{1}{2g(\omega)|J|}\right) \cdot W$$

$$\rho(T) = \rho(0) (1 + 4Jg(\omega) \ln(k_B T/W))$$

Kondo temperature is defined when $\ln(T)$ Kondo Effect can be compared with $\rho(\omega)$ first term. when it becomes significant.

$$\textcircled{1} 1 = 4Jg(\omega) \ln \frac{k_B T}{W} \quad \text{推得上式}$$

Altogether, for spin S . high temperature:

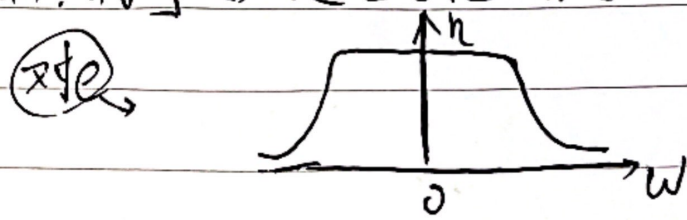
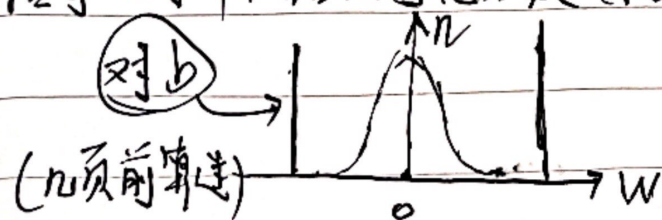
$$\Sigma_{\text{ret}}(\omega) = \frac{cJ}{1 - 2Jg(\omega) \ln(W/W) - c\pi Jg(\omega) S(S+1)}$$

$$\text{Im}[\Sigma_{\text{ret}}(\omega)] = \frac{\pi c J^2 g(\omega) S(S+1)}{[1 - 2Jg(\omega) \ln(W/W)]^2 + [\pi Jg(\omega) S(S+1)]^2}$$

总之, 系统小于 T_K 时, 越来越强的反铁磁性使更多 S -巡游电子与 d 杂质自旋形成近藤单态, 形成一个多电子云屏蔽掉局域自旋。结果是形成一个 Kondo 峰, 体现为:

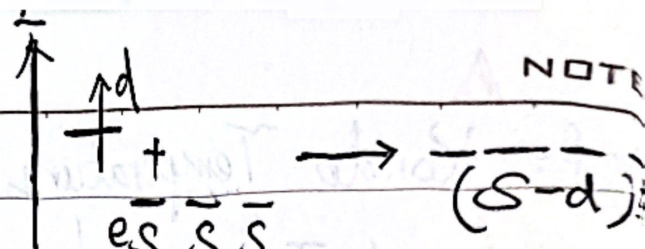
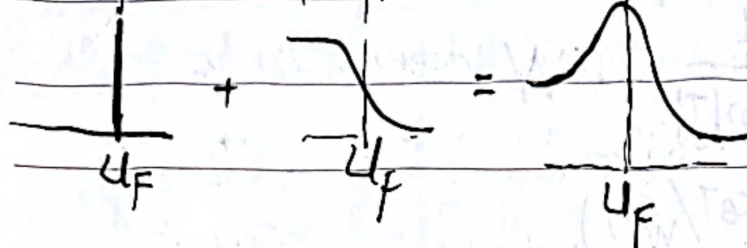
① $T \rightarrow 0$ $\rho \rightarrow \text{const}$ ② $\omega \rightarrow 0$ 屏蔽 ③ δ -function 峰

给一种不太准确解释. 之前在解释 localized state b 在传导电子中, 给出了态密度图(左). 我们又知道电子态密度(T 小)

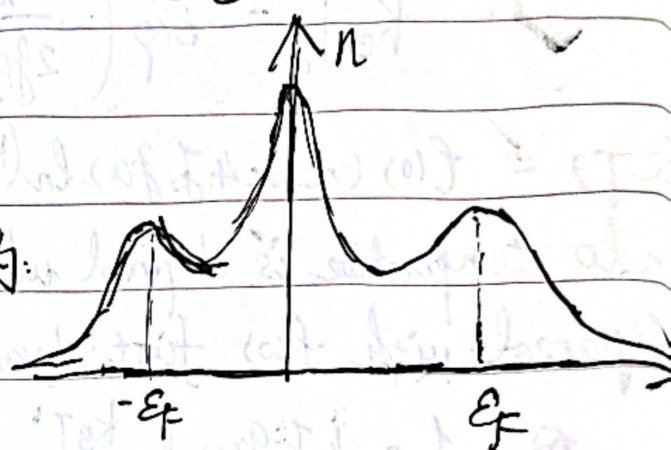


那么左图如何影响右图的? 近藤单态的形成, 恰好将磁性杂质磁性去除, 形成非磁的一大坨. 恰类似左图. 同时其吸纳了巡游电子, 尤其在左右两个峰(费米面)处;

体现为一个共振能级



at $T < T_K$ 近藤共振越清晰. 为:



上文的变分方法基于 Yosida 提出的模型, 给出比单态偏离基态的束缚能 δE . 我们可以以此定位此激发束缚态的位置, 从而给出 T_K 的近似表达. 但此法依然不准确. 基于费米液体理论的准粒子激发图像虽然有多体引入, 但仍激发 $|C_k^+| \text{Fermi Sea}\rangle$. $T < T_K$ 关联长度 $\sim 4000 \text{ \AA}$. 高度关联系统不能以单个准粒子激发来描述.

在 $T > T_K$ 时, 巡游电子通过翻转自旋来隧穿, 在 $T < T_K$ 时, 巡游电子通过与局域自旋形成近藤单态, 把 μ_B 能量拉下来, 形成在费米面附近的多体态可用于隧穿.

真正严格解决 Kondo Problem 有赖 Wilson (1974) 发展的非微扰数值重整化方法.

Single-Site Anderson Model.

Unlike Kondo Model, which treats impurity as a separate part from electrons, Anderson Model treats impurity as just another electron. it has E_K on-site U , coupling with electrons. its hamiltonian:

$$H_0 = \sum_{\mathbf{k}, \sigma} E_{\mathbf{k}} C_{\mathbf{k}\sigma}^{\dagger} C_{\mathbf{k}\sigma} + E_f \sum_{\sigma} n_{f\sigma} + U \sum_{\sigma} n_{f\sigma}^{\uparrow} n_{f\sigma}^{\downarrow}$$

$n_{\mu} = f_{\mu}^{\dagger} f_{\mu}$

$\leftarrow$ We put it in H_0 . too large to perturbate

$$V = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}, \sigma} V_{\mathbf{k}\sigma} \left(C_{\mathbf{k}\sigma}^{\dagger} f_{\sigma} + f_{\sigma}^{\dagger} C_{\mathbf{k}\sigma} \right)$$

有 d 自己 on-site, U 项; E_K 项; coupling 项. 对于翻转自旋作用. $C_{\mathbf{k}}^{\dagger} f$ 减 $f_{\downarrow}$ 与 $f_{\uparrow}^{\dagger} C$ 增 $f_{\uparrow}$ 共同作用了翻转过程.

$$(C_{\mathbf{k}}^{\dagger} f + f_{\uparrow}^{\dagger} C) (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \Rightarrow (|\downarrow\uparrow\rangle - |\uparrow\downarrow\rangle)$$

$U n_{\uparrow} n_{\downarrow} \neq 0$ 体现此两过程非独立性.

(下页)

Methods: { Non-crossing Approximation
expand S-matrix
slave boson.

Homework for Kondo.

1. Show bound states of Kondo hamiltonian

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}\sigma} C_{\mathbf{k}\sigma}^\dagger C_{\mathbf{k}\sigma} - \sum_{\mathbf{k}\mathbf{k}'} \left[J_z S^z (C_{\mathbf{k}\uparrow}^\dagger C_{\mathbf{k}'\uparrow} - C_{\mathbf{k}\downarrow}^\dagger C_{\mathbf{k}'\downarrow}) + J_\perp (C_{\mathbf{k}\uparrow}^\dagger C_{\mathbf{k}'\downarrow} S^{(-)} + C_{\mathbf{k}\downarrow}^\dagger C_{\mathbf{k}'\uparrow} S^{(+)}) \right]$$

$\xleftarrow{\hat{A}} \quad \xrightarrow{\hat{B}} \quad \xleftarrow{\hat{D}} \quad \xrightarrow{\hat{E}}$

$$\textcircled{1} \cdot \phi_1 = \sum_{\mathbf{k}} a_{\mathbf{k}} C_{\mathbf{k}\uparrow}^\dagger |\uparrow\rangle$$

$$\hat{A}\phi_1 = \sum_{\mathbf{k}\mathbf{k}'} \delta_{\mathbf{k}\mathbf{k}'} \sum_{\sigma} \epsilon_{\mathbf{k}\sigma} a_{\mathbf{k}} n_{\mathbf{k}\sigma} C_{\mathbf{k}\uparrow}^\dagger |\uparrow\rangle = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}\uparrow} a_{\mathbf{k}} C_{\mathbf{k}\uparrow}^\dagger |\uparrow\rangle$$

$S^z |\uparrow\rangle = |\uparrow\rangle$

$$\hat{B}\phi_1 = -\sum_{\mathbf{k}\mathbf{k}'} C_{\mathbf{k}\uparrow}^\dagger C_{\mathbf{k}'\downarrow} \sum_{\mathbf{k}} a_{\mathbf{k}} C_{\mathbf{k}\uparrow}^\dagger |\uparrow\rangle \quad \delta_{\mathbf{k},\mathbf{k}'} \begin{cases} S^+ |\downarrow\rangle = |\uparrow\rangle \\ S^- |\uparrow\rangle = |\downarrow\rangle \end{cases}$$

$$= \left[\sum_{\mathbf{k}} a_{\mathbf{k}} \right] \left[\sum_{\mathbf{k}'} C_{\mathbf{k}'\uparrow}^\dagger |\uparrow\rangle \right] \times (-J_z)$$

$$C\phi_1^\dagger = 0 \quad \hat{E}\phi_1 = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}\downarrow} a_{\mathbf{k}} C_{\mathbf{k}\downarrow}^\dagger |\uparrow\rangle = 0 \quad (S^+ |\uparrow\rangle = 0)$$

$$D\phi_1^\dagger = 0$$

$$\Psi = H\phi_1^\dagger = \sum_{\mathbf{k}} a_{\mathbf{k}} \epsilon_{\mathbf{k}\uparrow} C_{\mathbf{k}\uparrow}^\dagger |\uparrow\rangle + \left[\sum_{\mathbf{k}} a_{\mathbf{k}} \right] \left[\sum_{\mathbf{k}'} C_{\mathbf{k}'\uparrow}^\dagger |\uparrow\rangle \right] \times (-J_z)$$

$$\langle \phi_1 | \Psi \rangle = \left(\sum_{\mathbf{k}} \epsilon_{\mathbf{k}\uparrow} a_{\mathbf{k}} \right) + \left[\sum_{\mathbf{k}} a_{\mathbf{k}} \right] \times (-J_z) = 0$$

$$\langle \phi_1 | \delta E_2 | \phi_1 \rangle = \delta E_2 \sum_{\mathbf{k}} a_{\mathbf{k}}^* \quad \text{相减为零}$$

$$\text{Eigen Equation: } \left[\epsilon_{\mathbf{k}\uparrow} - \delta E_2 \right] a_{\mathbf{k}}^* = J_z \left[\sum_{\mathbf{k}} a_{\mathbf{k}} \right]^*$$

$$\Rightarrow \frac{J_z}{\epsilon_{\mathbf{k}\uparrow} - \delta E_2} \sum_{\mathbf{k}} a_{\mathbf{k}} = a_{\mathbf{k}}$$

Eigenfunction: $1 = J_Z \int_0^B d\epsilon_{\uparrow} \frac{g(\epsilon_{\uparrow})}{\epsilon_{\uparrow} - \delta E_2}$ Bound State

② $\phi_2 = \sum_k b_k c_{k\downarrow}^+ |\downarrow\rangle$ $S^z |\downarrow\rangle = -|\downarrow\rangle$

$A\phi_2 = \sum_k b_k \epsilon_{k\downarrow} |\downarrow\rangle$ $B\phi_2 = 0$ $C\phi_2 = -J_Z [\sum_k b_k] [\sum_{k'} c_{k'\downarrow}^+ |\downarrow\rangle]$

$D\phi_2 = 0$ ($S^z |\downarrow\rangle = 0$) $E\phi_2 = 0$

Eq ①: $1 = J_Z \int_0^B d\epsilon_{\downarrow} \frac{g(\epsilon_{\downarrow})}{\epsilon_{\downarrow} - \delta E_2}$

③ $\phi_3 = \sum_k d_k [c_{k\uparrow}^+ |\downarrow\rangle - c_{k\downarrow}^+ |\uparrow\rangle] = \phi_{31} - \phi_{32}$

$A\phi_{31} = \sum_k d_k \epsilon_{k\uparrow} |\downarrow\rangle$ $B\phi_{31} = J_Z [\sum_k d_k] [\sum_{k'} c_{k'\uparrow}^+ |\downarrow\rangle]$

$C\phi_{31} = 0$ $D\phi_{31} = 0$ $E\phi_{31} =$

$= \sum_{k_1, k_2} c_{k_1\downarrow}^+ c_{k_2\uparrow} S^+ \sum_k d_k c_{k\uparrow}^+ |\downarrow\rangle$ $S^+ |\downarrow\rangle = |\uparrow\rangle$
 δ_{k, k_2}

$= [\sum_k c_{k\downarrow}^+ |\uparrow\rangle] [\sum_{k'} d_{k'}] \times (J_Z)$

$A\phi_{32} = \sum_k d_k \epsilon_{k\downarrow} |\uparrow\rangle$ $B\phi_{32} = 0$ $C\phi_{32} = J_Z [\sum_k d_k] [\sum_{k'} c_{k'\downarrow}^+ |\uparrow\rangle]$

$D\phi_{32} = J_Z [\sum_k d_k] [\sum_{k'} c_{k'\uparrow}^+ |\downarrow\rangle]$ $E\phi_{32} = 0$

$H\phi = [\sum_k d_k \epsilon_{k\uparrow} |\downarrow\rangle - \sum_k d_k \epsilon_{k\downarrow} |\uparrow\rangle]$

$+ J_Z [\sum_k d_k] [\sum_{k'} c_{k'\uparrow}^+ |\downarrow\rangle - \sum_{k'} c_{k'\downarrow}^+ |\uparrow\rangle]$
 $+ J_Z [\sum_k d_k] [\sum_{k'} c_{k'\downarrow}^+ |\uparrow\rangle - \sum_{k'} c_{k'\uparrow}^+ |\downarrow\rangle] \Rightarrow (J_Z - J_Z)$

$$\langle C_{K\uparrow} | \downarrow - C_{K\downarrow} | \uparrow | \Psi \rangle$$

$$= (E_{K\uparrow} - E_{K\downarrow}) d_K + (J_z - J_\perp) \sum_K d_K + \delta E \cdot d_K = 0$$

Eigenfunction:

$$1 = \int_0^B \frac{-J_z + J_\perp}{E_{K\uparrow} - E_{K\downarrow} - \delta E} g(E_{\uparrow\downarrow})$$

④ 同理. 为 $J_z + J_\perp$, $E_{K\uparrow} + E_{K\downarrow}$.

$$1 = \int_0^B \frac{g(E_{\uparrow\downarrow})}{E_{K\uparrow} - E_{K\downarrow} - \delta E} \cdot (-J_z - J_\perp)$$

If $\delta E < 0$. singlet, or $\delta E > 0$ triplet. ($J < 0$)

①③④ are triplet ; ② is singlet

[2]. Evaluate $\Lambda(\epsilon)$ for the $g(\epsilon)$ below: $\rho(\omega) = J^2 g(\omega)$

$$\Lambda(\epsilon) = \int_{-W}^0 d\epsilon' \frac{g(\epsilon')}{\epsilon - \epsilon'}$$

$$\textcircled{1} g(\epsilon) = 1/2W \quad g(0) = 1/2W$$

$$\Lambda = \frac{1}{2W} - \ln \left(\frac{\epsilon}{\epsilon - \epsilon'} \right) \Big|_{-W}^0 = \left[\frac{1}{2W} \right] \left(-\ln \frac{\epsilon}{\epsilon + W} \right)$$

$$= \frac{1}{2W} \ln \frac{\epsilon + W}{\epsilon} \quad \text{Proportional to } \rho(\omega)$$

$$\Lambda = \int_{-W}^W \frac{1}{2W} \frac{1}{\epsilon - \epsilon'} d\epsilon' = 1$$

$$(2) g(\epsilon) = \frac{2\sqrt{W^2 - \epsilon^2}}{\pi W^2} ; A = \frac{12}{\pi W^2} \int_{-W}^0 \frac{\sqrt{W^2 - \epsilon'^2}}{\epsilon' - \epsilon} d\epsilon'$$

$$\Rightarrow \int_{-W}^W g(\epsilon) d\epsilon = \frac{2}{\pi W^2} \int_{-W}^W \sqrt{W^2 - \epsilon^2} d\epsilon$$

$$= \frac{1}{2} \times \sqrt{x^2 - w^2} - a^2 \ln |x + \sqrt{x^2 - w^2}| \Big|_{-W}^W \times \frac{2}{\pi W^2}$$

$$= 1$$

$$(3) g(\epsilon) = (W - |\epsilon|)/W^2 \quad g(0) = \frac{1}{W}$$

$$\int_{-W}^W \frac{W - |\epsilon|}{W^2} d\epsilon = \frac{1}{W^2} \int_0^W \frac{W - \epsilon}{W^2} d\epsilon = \frac{1}{W^2} \left[W\epsilon - \frac{\epsilon^2}{2} \right]_0^W = 1$$

$$\frac{1}{W} \int_{-W}^0 \frac{W - |\epsilon|}{\epsilon' - \epsilon} d\epsilon' = \int_{-W}^0 \frac{W + \epsilon'}{\epsilon' - \epsilon} d\epsilon' = \int_{-W}^0 \frac{W}{\epsilon' - \epsilon} d\epsilon' + \int_{-W}^0 \frac{\epsilon'}{\epsilon' - \epsilon} d\epsilon'$$

$$= \left[(W + \epsilon) \left(-\ln \frac{\epsilon}{\epsilon + W} \right) - W \right] \frac{1}{W^2} = \left[\frac{W + \epsilon}{W^2} \right] \ln \frac{\epsilon}{\epsilon + W} \quad P(0) = \frac{1}{W}$$

proportional to $\ln \frac{\epsilon}{\epsilon + W}$

Collective states with $S=1$

j, m, S, S_z

$$H = |e\rangle \otimes |S\rangle$$

$$S = \frac{1}{2} \quad S = 1$$

$$|j=3/2, m=3/2\rangle = \frac{1}{2} |3/2, 1/2, 1, 1/2\rangle$$

$$j=1/2 = \frac{1}{3} |1, -1/2\rangle + \frac{2}{3} |0, 1/2\rangle$$

For $j=3/2$ state: $C_{K\uparrow}^+ |1\rangle$

For $j=1/2$ state:

$$\frac{1}{3} C_{K\downarrow}^+ |1\rangle + \frac{2}{3} C_{K\uparrow}^+ |0\rangle$$

$$j=3/2 = |3/2, 3/2, 1, 1/2\rangle = |1, 1/2\rangle$$

For $J < 0$. Antiferromagnetic.

$C_{K\uparrow}^+ |1\rangle$ Spin the same Direction Not preferred.

$C_{K\downarrow}^+ |1\rangle$ Spin opposite direction. $j=1/2$ is preferred.

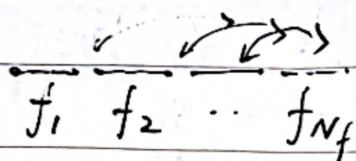
Dec. 22. ~~Wed.~~

NOTES

重写此 H_0 Hamiltonian $H^{[d]} = |e\rangle_{N_f} \otimes |d\rangle_{N_f}$

$$H_0^f = \epsilon_f \sum_i n_i + U \sum_{i,j} n_i n_j \quad H_0^d = \sum_K \epsilon_K C_K^\dagger C_K$$

注意! single-site 中杂质只一个格点, 在此格点原子上, f 轨道上电子称为局域自旋. f 轨道上有 N_f 个电子. 能量为 H_0^f :



$$H_0 \Rightarrow E_n = n\epsilon_f + \frac{n(n-1)}{2} U$$

这里没有自旋 $n_\uparrow n_\downarrow$ Hubbard 项, 而是 $n_i n_j$ 对于同一个 f 能级的 (i,j) 轨道. 同样, 电子占据 d 轨道, $V[C_K^\dagger d f]$ 体现的就是 d, f 轨道之间的杂化. 轨道杂化强度定义为:

$$\Gamma(\epsilon) = \frac{\pi}{N} \sum_K V_K \delta(\epsilon - \epsilon_K) = V(\epsilon)^2 \pi g(\epsilon)$$

$\hookrightarrow d$ 连续能带.

$H_0: n\epsilon_f + \frac{n(n-1)}{2} U$ 有一个 $\min(H_0)$ at $n=L$

对每个材料不同 (ϵ_f, U), L 也不同.

Ce^{3+} : $n_f = 1, S = \frac{1}{2}, L = 3$
 $4f(5d, 6s^2)$ $\nearrow$ 算出 (ϵ_f, U).

轨道自由度是虚的 p_m^L . 满足 $E_{\min}$ 即可

自旋实的 $S = 1/2$.

$$J = |L - S| = \frac{5}{2} \quad \text{基态简并度 } 6$$

然而. 同一个 f 轨道, 由于 splitting, 能量不同, 直接写出广泛简并度. 对于 E_n , n 个电子 f 在 N_f 轨中, 有 $Z_n = C_{N_f}^n$ 简并.

配分函数为: $Z = \sum_{n=0}^{N_f} Z_n e^{-\beta E_n}$

when $U=0$: $Z = [1 + e^{-\beta E_f}]^{N_f}$

对于 Anderson, 直接不管 s - d 耦合, f 能量全同. 因此有 Z_n 极大之简并. 即只关心 $(f-d)$ 耦合, 忽略 f 自身 $(s-l)$ 耦合. 先设 $V=0$ 仅 f 轨之基态. 有 $(E_f, 4)$ 项:

$$G_f(i\omega_n) = - \sum_{i \text{ 轨道}} \int_0^\beta dz e^{-\omega_m z} \langle T_z f_i(z) f_i^\dagger(z) \rangle$$

where $| \rangle = | n \rangle$ with n electrons in f -orbit

$$= \frac{1}{Z} \sum_{i \text{ 轨道}} Z_n \langle n | f_i | n+1 \rangle \langle n+1 | f_i^\dagger | n \rangle \frac{e^{-\beta E_n} + e^{-\beta E_{n+1}}}{i\omega_n + E_n - E_{n+1}}$$

对于 $\langle n+1 | f_i^\dagger | n \rangle$ 对于 N_f 中已经填入的 n 个轨道, $f_i^\dagger$ 作用加上一个电子, 可以加入任 $-(N_f - n)$ 个余下个空轨道中. 有 $(N_f - n)$ 选择. $\langle n+1 | f_i^\dagger | n \rangle = N_f - n$

$$G_f(i\omega_n) = \frac{1}{Z} \sum_{n=0}^{N_f} Z_n e^{-\beta E_n} \left\{ \frac{N_f - n}{i\omega_n + E_n - E_{n+1}} + \frac{n}{i\omega_n + E_{n+1} - E_n} \right\}$$

$$A_f(\omega) = 2 \text{Im}[G_f(\omega)] = \frac{2\pi}{Z} \sum_{n=0}^{N_f} Z_n e^{-\beta E_n} [(N_f - n) \delta(\omega + E_n - E_{n+1}) + n \delta(\omega + E_{n+1} - E_n)]$$

Using lowest Eigenvalue $\lim E_n = E_L (L \neq 0, N_f)$

$$Z = \sum_{n=0}^{\infty} Z_n e^{-\beta E_n} (E_{n \min}) \text{ 最主要. only count } E_L, E_{L \pm 1}$$

$$\Rightarrow Z = Z_L e^{-\beta E_L} \quad Z = 1 + \frac{1}{N_f + 1 - L} e^{-\beta \Delta_-} + \frac{N_f - L}{L + 1} e^{-\beta \Delta_+}$$

$$\Delta_{\pm} = E_{L \pm 1} - E_L$$

代入 $A(\omega)$ Four Peaks $\delta(\omega + \Delta_-)$ $\delta(\omega - \Delta_-)$

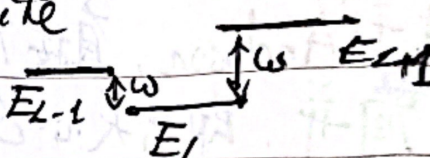
$$\delta(\omega + E_{L-2} - E_{L-1}) \quad \delta(\omega + E_{L+1} - E_{L+2})$$

Ignore E_{L-2}, E_{L+2} Anyway (like $L=1$)

$$A_f(\omega) \approx 2\pi [L \delta(\omega + \Delta_-) + (N_f - L) \delta(\omega - \Delta_+)]$$

$$\int \frac{A_f(\omega)}{2\pi} d\omega = N_f \quad \text{we only have excitations like}$$

we apply this approximation



现在, 加入杂化引入 V : $(d-f)$ 轨间作用.

单粒子 Green's Function 之前讨论过了, 两粒 Green's Function, 如关联函数 (c.p) (流-流). 现在为 n 个 f 轨道电子之关联 Green's Function.

$$H = H_0 + V$$

$$H_0: E_n \text{ (Above)} \quad |n\rangle$$

$$H: E_a \text{ (unknown)} \quad |a\rangle$$

Basis: $|n\rangle$, which is Eigen for H_0 .

$$Z = \sum_n Z_n |\langle n|a\rangle|^2 e^{-\beta E_a}$$

$$= \sum_n Z_n \int \frac{d\omega}{2\pi} e^{-\beta\omega} A_n(\omega)$$

where: $A_n(\omega) = 2\pi \langle n | \delta(\omega - H) | n \rangle$

$$= 2\pi \sum_a |\langle n|a\rangle|^2 \delta(\omega - E_a)$$

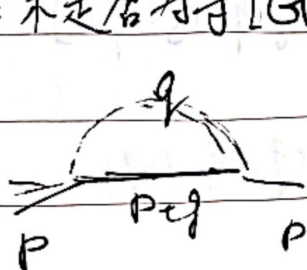
$$G_n(\omega) = \frac{1}{\omega - E_n - \Sigma_n(\omega)}$$

Non-crossing Approximation (NCA).

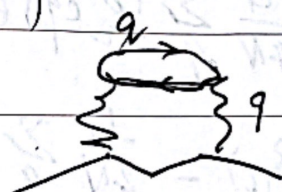
$$\begin{cases} \Sigma_n(\omega) = n S'_{n-1}(\omega) + (N_f - n) S_{n+1}(\omega) \\ S_{n+1}(\omega) = \frac{1}{N} \sum_k V_k^2 n_k G_{n+1}(\omega + E_k) \\ S'_{n+1}(\omega) = \frac{1}{N} \sum_k V_k^2 (1 - n_k) G_{n+1}(\omega - E_k) \end{cases}$$

(why?)

期末作业是否为了 $[G^{(0)}]^2 (\Sigma)$ 此项



?



是否能以一个声子过程等价?

何处近似?

Hubbard Model: (extended)

$$H_H = -t \sum_{\langle ij \rangle} C_{i\sigma}^\dagger C_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$H_{XH} = H_H + \frac{1}{2} \sum_{\substack{j \neq l \\ \sigma \sigma'}} n_{j\sigma} n_{l\sigma'} V(R_j - R_l)$$

$$V(R) = e^2/R$$

Separate Spin. charge freedom:

$$c_{q\sigma} = \sum_k C_{k+q,\sigma} C_{k\sigma} \quad c_q = \rho_{q\uparrow} + \rho_{q\downarrow} \quad \rho_{sq} = \rho_{\uparrow}(q) - \rho_{\downarrow}(q)$$

$$\Rightarrow U \sum_j n_{j\uparrow} n_{j\downarrow} = \frac{U}{4N} \sum_q [\rho_c(q) \rho_c(-q) - \rho_s(q) \rho_s(-q)]$$

$$\frac{1}{2} \sum_{\substack{j \neq l \\ \sigma \sigma'}} n_{j\sigma} n_{l\sigma'} V(R_{jl}) = \frac{1}{2N} \sum_q V(q) \rho_c(q) \rho_c(-q)$$

We can set the two terms in spin. charge dimension

$$U + V = V_c + V_s$$

$$V_c = \frac{1}{2N} \sum_q (V_q + \frac{1}{2}U) \rho_c(q) \rho_c(-q) \quad \left\{ \begin{array}{l} \rho_c(q) = (\rho_{q\uparrow} + \rho_{q\downarrow}) \text{ CDW} \\ \rho_s(q) = (\rho_{q\uparrow} - \rho_{q\downarrow}) \text{ SDW} \end{array} \right.$$

$$V_s = -\frac{U}{2N} \sum_q \rho_s(q) \rho_s(-q)$$

Def: $C_{i\sigma}^{\dagger} = d_{i\sigma}(-1)^i$ $d_{i\sigma}^{\dagger}d_{i\sigma} = n_{i\sigma}$

$$H = \sum_{\langle ij \rangle \sigma} t d_{j\sigma}^{\dagger} d_{i\sigma} + U \sum_i (1 - n_{i\uparrow} - n_{i\downarrow} + n_{i\uparrow} n_{i\downarrow})$$

$$= \sum_{\langle ij \rangle \sigma} t d_{j\sigma}^{\dagger} d_{i\sigma} + U \sum_j n_{j\uparrow} n_{j\downarrow} + \underbrace{UN}_{\text{格点数}} - \underbrace{4N_e}_{\text{电子数}}$$

加 1 个 electron $C^{\dagger} \Leftrightarrow$ 扣一个 hole d

H_{cd} 定义在满带上 $|1\rangle_i \otimes \text{Full}$. H_{co} 定义在 $|0\rangle$ 空态上.

H_{cd} , H_{co} 形式一致 (差了常数, No problem. 正负号) 表明

H_{cd} Basis 与 H_{co} Basis 同. n 个电子与 $2N-n$ 电子对于 Hubbard Model 相同: 电子-空穴对称性.

At half-filling: $n = 4/2$ $H_{co} = H_{cd}$

如果 Def: Hubbard Model as:

$$H_2 = H_0 + U \sum_i (n_{i\uparrow} - 1/2)(n_{i\downarrow} - 1/2)$$

$$= H_0 + U \sum_i (1 - n_{i\uparrow})(1 - n_{i\downarrow}) \quad \text{在 (Half-filling)}$$

$$= H_0 + U \sum_i (n_{i\uparrow} - 1/2)(n_{i\downarrow} - 1/2)$$

说明 H_2 也满足 $H_{co} = H_{cd}$, 即在 Half-filling τ .

$$H_2 = H_{\text{Hubbard}} \Rightarrow \sum_i -1/2 n_{i\uparrow} U N = 1/4 U N \quad \checkmark$$

也表明: $H = (H_0 + U) + \frac{(-1/2 U) \times \sum_i n_i + \text{const}}{(-u) \sum_i n_i} \Rightarrow \mu = U/2$

We Apply MF to Hubbard Model first. parameter for MF

$$\langle n_{i\uparrow} \rangle; \langle n_{i\downarrow} \rangle; \langle C_{i\uparrow}^\dagger C_{i\downarrow} \rangle; \langle C_{i\downarrow}^\dagger C_{i\uparrow} \rangle$$

We can extra terms:

$$\sum_i -4S_i \langle S_i \rangle - \frac{1}{2} \langle n_i \rangle^2 - 2 \langle S_i \rangle^2 + n_i \langle n_i \rangle$$

We can do it self-consistently:

(CDW) for $\langle n_{i\uparrow} \rangle + \langle n_{i\downarrow} \rangle$ order-parameter. $\langle \rho_q \rangle = \frac{1}{N} \sum_{\mathbf{k}} \rho_{\mathbf{k}+\mathbf{q}}$

(SDW) for $\langle n_{i\uparrow} \rangle - \langle n_{i\downarrow} \rangle$ order parameter $\langle \rho_{sq} \rangle = \frac{1}{N} \sum_{\mathbf{k}} (C_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger C_{\mathbf{k}\uparrow} - C_{\mathbf{k}+\mathbf{q}\downarrow}^\dagger C_{\mathbf{k}\downarrow})$

Furthermore, also we can Apply DMFT (Dynamic MF)

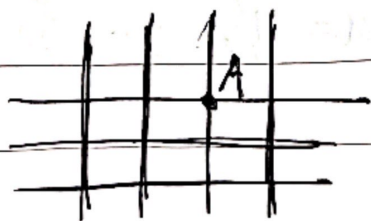
We assume self-energy is localized

$$\Sigma_{ij}(Z) = [\Sigma(Z)] (\delta_{ij})$$

$$G_{\mathbf{k}\delta}(Z) = \frac{1}{Z + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\delta}(Z)}$$

$$G(Z) = \sum_{\mathbf{k}} \frac{1}{N} \frac{1}{Z + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\delta}(Z)}$$

Consider lattice: DMFT Assume



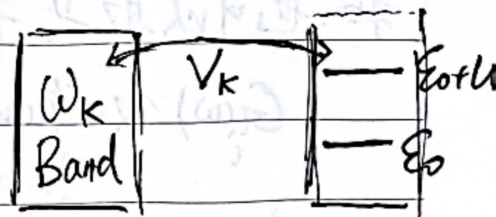
当我考虑A点时, 忽略环境的相互作用, 只有环境与A相互作用

Actually, 就是一个 Anderson impurity model.

$H_{\text{Hubbard}} \rightarrow H_{\text{Anderson}}$ band impurity dispersion.

$$H_{A\text{-point}} = [H_k] + \sum_k [V_k a_{k\sigma}^\dagger c_\sigma + c_\sigma^\dagger a_{k\sigma}]$$

$$+ \epsilon_0 (n_\uparrow + n_\downarrow) + U n_\uparrow n_\downarrow$$



if $U=0$. 即连续能带中有一离散能级 (见前几页).

$$G(\omega) = \frac{1}{\omega - \epsilon_0 - \sum_k \frac{V_k^2}{\omega - \omega_k}} \quad V_k: \text{ hybridization.}$$

$$\Delta(\omega) = \sum_k \frac{V_k^2}{\omega - \omega_k} \quad \omega \rightarrow i\omega + \eta \rightarrow \text{Im} \rightarrow \sum_k \delta(\omega - \omega_k) V_k^2 \rightarrow \mathcal{I}(\epsilon).$$

hybridization function

Then add U for perturbation. there exists Σ self-energy.

$$G_{ii}(\omega) = \frac{1}{\omega - \epsilon_0 - \Delta(\omega) - \Sigma_f(\omega)}$$

Also we write out $G(\omega)$ for original Hubbard Model 对 A 点 (i) 来说:

$$G_{H_k}(\omega) = \frac{1}{\omega - \epsilon_k - \Sigma_k(\omega)} \quad G_{ii}(\omega) = \sum_k G_{H_k}(\omega) \times \frac{1}{N}$$

自能没有 k 依赖. localized 如同 Anderson Model

Idea of DMFT: Self energy is the same for Anderson and Hubbard Model. $\Sigma(\omega) = \Sigma_k(\omega)$. 又已知两 Green's function 相同

$$\frac{1}{N} \sum_k \frac{1}{\omega - \epsilon_k - \Sigma_k(\omega)} = \frac{1}{\omega - \epsilon_0 - \Delta(\omega) - \Sigma_f(\omega)}$$

Self-consistent solving

① 取一个自能 $\Sigma(\omega)$, 计算 $G_{ii}(\omega)$

② 求解 $\Delta(\omega)$ 得到 V_k (参数皆已知再做一整套 $\Sigma(\omega)$)

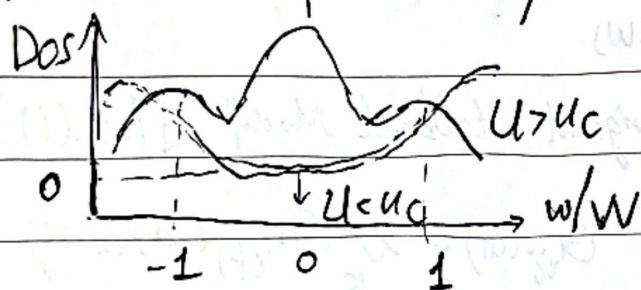
③ Anderson V_k 已知. 求解 Anderson model, 再得到 $\Sigma(\omega)$

相互作用项仅于 impurity 上, 相对于直接求解 Hubbard Model 的自能, 大大简略了. 但原则上, 要应用一个 $\sum_K$ 无穷大环境: 和, 也可以取若干 K 近似

$G_i(\omega)$ 仅一个 impurity 不会有 k -space 信息

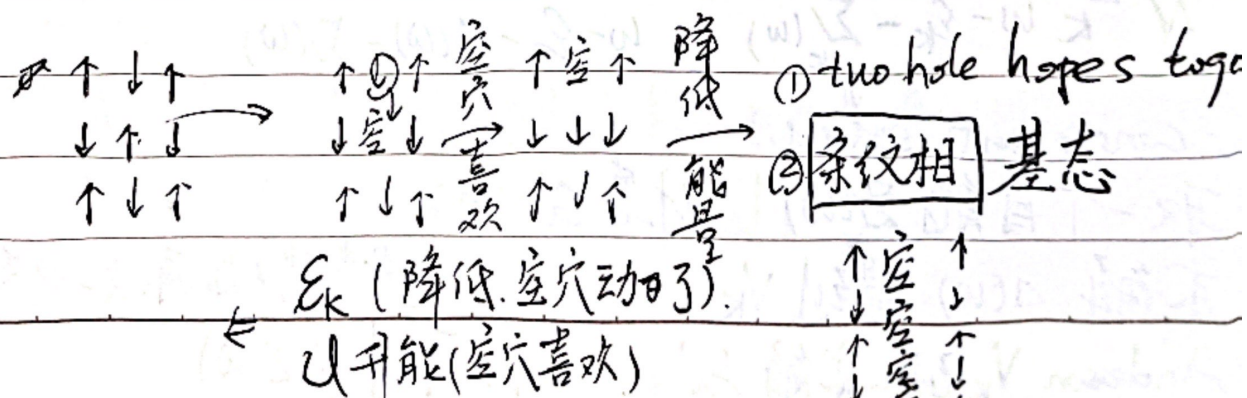
应用多个 impurity 也可知 $G(k, \omega)$ 和 k -space 信息, 利用此 E_{imp} if there exists long-range correlation. single-site impurity approximation also fails.

Hubbard Model at half-filling exists Mott-metal transition but at 1-D. for a little U , it opens Gap., at higher U , phase transition point U_c by DMFT



[1-D, square lattice] 无 U_c . $(\Delta, \diamond)$ exists. U_c
1-D Gapless spin excitation.

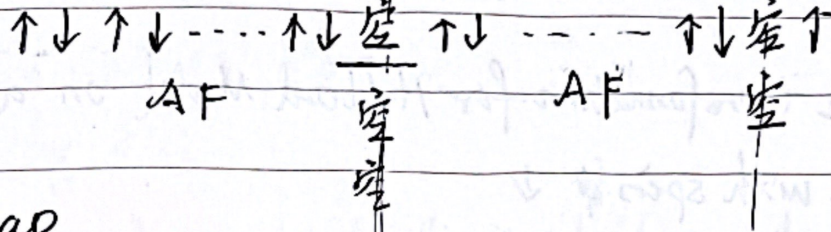
Dope
GS for Hubbard. Add One Hole: 空穴喜欢铁磁.



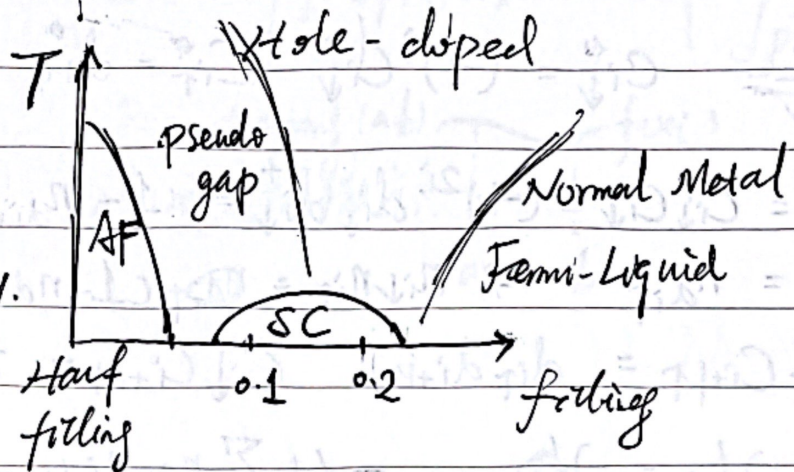
条纹相

本来 $\uparrow\downarrow$ 应该 $\downarrow$. 现在右边为 $\uparrow$. 破坏 AF 连续

性, 有一掺杂空穴.

 $\Rightarrow$ pseudogapif we introduce t'
次近邻 $t' C_i^\dagger C_{i+2} \sigma$.

Break particle-hole symmetry.

Different Phase for electron
and hole doping. t' is sensitive to filling. 2-D calculation difficult.

Homework 12.

1. particle-hole transformation for Hubbard Model on a square lattice with spin $\uparrow \downarrow$

$$c_{i\downarrow}^\dagger = (-1)^i d_{i\downarrow}^\dagger \quad c_{i\uparrow}^\dagger = d_{i\uparrow}^\dagger \quad \text{No change}$$

$$n_{i\downarrow} = c_{i\downarrow}^\dagger c_{i\downarrow} = (-1)^{2i} d_{i\downarrow}^\dagger d_{i\downarrow} = 1 - n_{d i \downarrow}$$

$$n_{i\uparrow} = n_{d i \uparrow} \Rightarrow n_{i\downarrow} n_{i\uparrow} = (1 - n_{d i \downarrow}) n_{d i \uparrow} \quad \frac{N_e}{\pi}$$

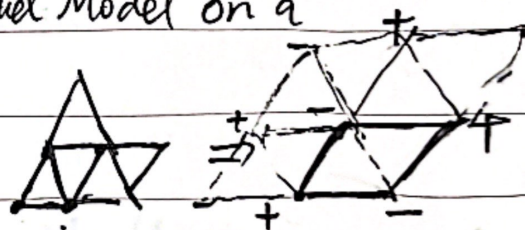
$$c_{i\uparrow}^\dagger c_{i+1\uparrow} = d_{i\uparrow}^\dagger d_{i+1\uparrow} \quad c_{i\downarrow}^\dagger c_{i+1\downarrow} = \tau d_{i+1\downarrow}^\dagger d_{i\downarrow} + 1 - n$$

$$\Rightarrow H_d = \frac{1}{2} \epsilon - U \sum n_{i\uparrow} n_{i\downarrow} + U \sum n_{i\uparrow}$$

$$+ t \sum_{\delta \downarrow} C_{i\delta} C_{j\delta} - t \sum_{\delta \uparrow} C_{i\delta} C_{j\delta} + h.c.$$

2. particle-hole transformation for Hubbard Model on a spinless triangle lattice

平均下来, $3\uparrow + 3\downarrow$



$$-t \sum_{\langle ij \rangle} C_{i\sigma}^\dagger C_{j\sigma} \quad i \text{ 对有 } 6 \text{ 个 } j \text{ Line}$$

$$= -t \sum_{\substack{\langle AA \rangle \\ \langle BB \rangle}} d_{i\sigma}^\dagger d_{j\sigma} + t \sum_{\langle AB \rangle} d_{i\sigma}^\dagger d_{j\sigma}$$

$$-d_i^\dagger d_i^\dagger - d_i^\dagger d_i^\dagger - d_i^\dagger d_i^\dagger$$

$$A(-) B(+), A(-) B(+)$$

$$C_i = -d_i^\dagger \text{ for } A$$

$$+ U \sum_{A \text{ and } B} n_{d\uparrow} n_{d\downarrow} + U N - U N_e$$

$$C_i = (-1)^2 d_i^\dagger \text{ for } B, C$$

因为方格上 $\begin{array}{|c|} \hline + \\ \hline \end{array}$ 正负必成对, 而三角上 $\begin{array}{|c|} \hline + \\ \hline \end{array}$ 有负负-对
故要按 (A, B) 讨论.

Dec. 27th.

Quantum Monte Carlo.

Integral by MC.

$$\int_a^b f(x) dx = (b-a) \int_a^b \frac{f(x)}{b-a} dx \Leftrightarrow \int_a^b f(x) p(x) dx \quad \text{where } \begin{cases} p(x) \geq 0 \\ \int p(x) = 1 \end{cases}$$

$$= \frac{b-a}{N} \sum_{i=1}^N f(x_i) \quad \begin{array}{l} p = \text{const along } (a,b) \\ (a,b) \text{ 区间随机散点.} \end{array}$$

$$\text{where } \delta(I) \propto \frac{1}{\sqrt{N}}$$

Also. 梯形法则:

$$\int_a^b f(x) dx = \frac{\Delta x}{2} [f(x_0) + 2f(x_1) + \dots + f(x_{n-1}) + f(x_N)] \quad \Delta x = \frac{b-a}{N}$$

$$\delta(I) \propto \frac{1}{N}$$

But at Higher Dimension: $\delta(I)_{MC} \propto \frac{1}{\sqrt{N}}$ efficient!

$$\delta(I)_{\text{梯}} \propto N^{1/2}$$

Important Sampling:

We can choose any $w(x) \geq 0$ $\int w(x) = C$ as probability. $p(x) = \frac{w(x)}{C}$

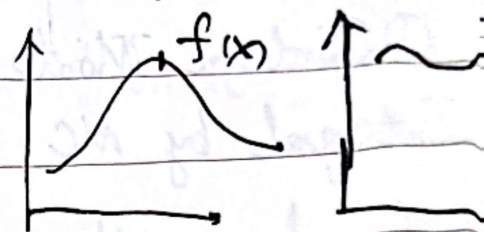
$$\begin{aligned} \int_a^b f(x) dx &= \int_a^b p(x) \left[\frac{f(x)}{p(x)} \right] dx = \int_a^b p(x) g(x) dx \\ &= \frac{1}{N} \sum_{n=1}^N \frac{f(x_i)}{p(x_i)} \end{aligned}$$

$$\text{where } \delta(I)^2 = \frac{1}{N^2} [\langle (f/p)^2 \rangle - \langle f/p \rangle^2]$$

① $p=f$; but we should already know $\int w(x) = C$.

$\delta = 0$

So we should carefully choose $p(x)$. similar to $T(x)$
 we want for large $f(x)$, $p(x)$ also large to eliminate flux.



What about $f(x) < 0$, should we choose $p(x) < 0$?

Ising Model classical.

$$\mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j \quad S_i = \pm 1$$

partition function at $T = 1/\beta$. $Z = \sum_{\text{构型}} e^{-\beta E(\text{构型})}$

observables: $\langle A \rangle = \frac{1}{Z} \sum_{\text{构型}} e^{-\beta E(\text{构型})} A(\text{构型})$

取概率 $P(\text{构型}) = \frac{1}{Z} e^{-\beta E(\text{构型})}$ $P > 0$

$$\langle A \rangle = \sum_{\text{构型}} P(\text{构型}) A(\text{构型}) \quad \sum P = 1$$

$$\langle A \rangle = \frac{1}{Z} \sum_i A_i P(\text{构型}_i) \quad \text{构型出现概率为 } P, \text{ 投针}$$

Metropolis's algorithm: 如何投针?

马尔可夫链: 我希望从出发开始一套操作生成各种构型满足分布 P

比如从 t_0 1 -1 1 出发

$t_1 \downarrow$?

$t_2 \downarrow$?

构型 $t_2 = f[\text{构型 } t_1]$ 仅与 t_1 有关.

$$P_A T(A \rightarrow B) A(A \rightarrow B) = P_B T(B \rightarrow A) A(B \rightarrow A)$$

$$W = T \cdot A$$

DATE

Transition value

NOTES

$$\frac{dP_A(t)}{dt} = \sum_{A \neq B} \left[P_B(t) W(B \rightarrow A) - P_A(t) W(A \rightarrow B) \right]$$

从A走, 从B来. 即A之变化.

where $W(A \rightarrow B) \geq 0$ $\sum_B W(A \rightarrow B) = 1$

at $\dot{P}_A = 0$ $P_A(t) \sum_{A \neq B} W(A \rightarrow B) = \sum_{A \neq B} P_B(t) W(B \rightarrow A)$ 细致平衡条件
充分条件 Detailed Balance.

$\Rightarrow P_A T(A \rightarrow B) A(A \rightarrow B) = P_B T(B \rightarrow A) A(B \rightarrow A)$ 各态历经条件
Ergodicity.

$P_A = \frac{e^{-\beta E(\text{构型})}}{Z}$ $P_A/P_B = e^{-\beta(E_A - E_B)}$

令 $T(A \rightarrow B) = T(B \rightarrow A) = \frac{1}{N}$ 每格构型选取申请概率

$\frac{A(B \rightarrow A)}{A(A \rightarrow B)} = e^{-\beta(E_A - E_B)}$ 接收概率

取 $A_{\text{next}}(A \rightarrow B) = \min[1, \text{接收概率}]$

若 $E_B < E_A$, $A=1$; 否则为接收概率 $= e^{-\beta(E_B - E_A)}$

如: A: $\begin{matrix} \uparrow & \downarrow & \boxed{\uparrow} \\ & & \uparrow \dots \end{matrix}$ B: $\begin{matrix} \uparrow & \downarrow & \boxed{\downarrow} \\ & & \uparrow \dots \end{matrix}$

以此定义, 若 $E_B > E_A$: $\frac{A(B \rightarrow A)}{A(A \rightarrow B)} = \frac{1}{e^{-\beta(E_B - E_A)}} = e^{-\beta(E_A - E_B)}$

新的构型若降低能量, 一定接收, 否则概率接收.

产生一个 $\text{rand}(0, 1)$, 落在 $[0, e^{-\beta(E_B - E_A)}]$ 接收, 否则不接收.

We can solve $\langle A \rangle$, but can't solve Z .

Metropolis's Algorithm

- ① initialize all spin. randomly
- ② perform $N = L^D$ random trial moves. until balance $\frac{dP_A(t)}{dt} = 0$

(a) randomly choose a site

(b) turn spin. get E_B . $\Delta E = E_B - E_A$

(c) generate random number $a = \text{rand}(0, 1)$ uniform.

if $a \in [0, e^{-\beta \Delta E}]$, DO FLIP THE SPIN

- ③ calculate observables. when approaching 热平衡.

$e^{-\beta E_{\text{sc}}}$ $\uparrow$ $E \uparrow$ $\left[\frac{1}{L} \right]$ 并非 E_{sc} , $[\dots]$ 是 E_{sc} A calculate 此段平均即满足期望的时间平均, 即 $\langle A \rangle$.

Quantum Monte Carlo (Auxiliary Field)

$$|\hat{\Psi}\rangle = \hat{U}_i^+ \dots \hat{U}_M^+ |0\rangle = \hat{U}_m^+ = \sum_i C_i^+ \psi_{im}$$

N site, M electrons, Slater determinant (Gaussian state)

$$|\Phi\rangle = \det \begin{pmatrix} \psi_{11} & \dots & \psi_{1M} \\ \vdots & & \vdots \\ \psi_{N1} & \dots & \psi_{NM} \end{pmatrix} \begin{matrix} \text{single particle state} \\ (M \times N), (N \times M) \end{matrix}$$

where $\langle \hat{U} | \Phi \rangle = \det(\Phi^+ \Phi)$

数学II

$$\text{Def: } B = \exp \left[\sum_{ij} C_i^+ u_{ij} C_j \right] = e^{(C_1^+ \dots C_N^+) \begin{pmatrix} u_{11} & \dots & u_{1N} \\ \vdots & & \vdots \\ u_{M1} & \dots & u_{MN} \end{pmatrix} \begin{pmatrix} C_1 \\ \vdots \\ C_N \end{pmatrix}}$$

where $B|\Phi\rangle = |\Phi'\rangle$; $\Phi' = \exp[u] \cdot \Phi$

数学II

$$\text{Tr} [e^{C_1^+ T_1 C_1} e^{C_2^+ T_2 C_2} \dots e^{C_N^+ T_N C_N}] = \det [1 + e^{T_1} e^{T_2} \dots e^{T_N}]$$

数学IV 不同 Slater 行列式或对应同一个 $|\Phi\rangle$

$$|\Phi\rangle = \det[A] \quad A = QR \quad \det[QR] \rightarrow R(\nabla)$$

unitary 上三角

$$\det[A] = \det[R] \cdot |Q_{\text{real}}| \text{ Slater.}$$

差一个系数.

$$QR: (Q_1 R_1, Q_1 R_2 + Q_2 R_2, \dots, Q_1 R_M + Q_2 R_{2M} + \dots + Q_M R_{MM})$$

$$Q: \psi_j^+ = \sum_i Q_{ij} c_i \text{ 若以 } Q \text{ 作为 } |\Phi\rangle \text{ 而非 } U$$

$$QR: (R_{11} \psi_1^+ (R_{12} \psi_1^+ + R_{22} \psi_2^+) \dots R_{1M} \psi_1^+ + R_{2M} \psi_2^+ \dots + R_{MM} \psi_M^+) |0\rangle$$

$$= (R_{11} R_{22} \dots R_{MM}) |0\rangle$$

$$\text{即: } |\Phi\rangle \Leftrightarrow \prod_i R_{ii} |\Phi\rangle \text{ 一个 } |\Phi\rangle \text{ 有多种表示.}$$

Math V One-body reduced density matrix.

$$G_{ij} = \frac{\langle \phi | c_i^\dagger c_j | \phi \rangle}{\langle \phi | \phi \rangle} = [\phi (\phi^\dagger \phi)^{-1} \phi^\dagger]_{ji}$$

Two-body

$$\frac{\langle \phi | c_i^\dagger c_j^\dagger c_k c_l | \phi \rangle}{\langle \phi | \phi \rangle} = G_{jk} G_{il} - G_{ik} G_{jl}$$

How to calculate GS Energy?

$$\mathcal{H} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Imaginary time Revolution. $\mathcal{H} = \beta$

$$|\psi_g\rangle = e^{-\beta \mathcal{H}} |\psi_0\rangle = \sum_i C_i e^{-\beta E_i} |i\rangle \quad \text{基态衰变最慢 } e^{-\beta E_0}$$

when $\beta \rightarrow \infty$ at $t(\infty)$, only GS survives.

Trotter-Suzuki decomposition:

$$e^{-\beta \mathcal{H}} = [e^{-\delta \mathcal{H}}]^M \quad \delta = \frac{\beta}{M}$$

when $\delta \rightarrow 0$, though $[\mathcal{H}_0, V] \neq 0$.

$$e^{-\delta \mathcal{H}} = e^{-\delta \mathcal{H}_0} e^{-\delta V} + o(\delta^2)$$

Hubbard-Stratonovich transformation: (HS)

$$e^{-\lambda V^2/2} = \int_{\mathbb{R}} dx \cdot \frac{e^{-1/2 x^2}}{\sqrt{2\pi}} \cdot e^{\frac{x\sqrt{\lambda}}{2} V}$$

for V^2 four fermion, decompose of V 2 fermion operator.

自旋分解

$$e^{-\Delta I \cdot U n_{\uparrow} n_{\downarrow}} = e^{-\Delta I U (n_{\uparrow} + n_{\downarrow})/2} \sum_{x=\pm 1} \frac{1}{2} e^{x \sqrt{\Delta I U} V}$$

introduce auxilary $\vec{x} = \pm 1$ like classical spin to separate V term. where $\cosh r = e^{(\Delta I U/2)}$

or

$$e^{-\Delta I U n_{\uparrow} n_{\downarrow}} = e^{-\Delta I U (n_{\uparrow} + n_{\downarrow} - 1)/2} \sum_{x=\pm 1} \frac{1}{2} e^{x \sqrt{\Delta I U} (n_{\uparrow} + n_{\downarrow} - 1)}$$

charge分解

$$\cosh r = \exp(-\Delta I U/2)$$

for $t \neq 0$.

Also we can Do Hubbard Model

$$H_N = \sum_{ijkl} V_{ijkl} c_i^\dagger c_j^\dagger c_k c_l$$

$$= -\frac{1}{2} \sum_r \lambda_r \{c_r, c_r^\dagger\} + p_0.$$

$$p_r = \sum_{ij} R_{ij-r} c_i^\dagger c_j \text{ use } [CC]^2 \text{ separate } CCCC$$

$$\Rightarrow H([\sqrt{V}]^2) \text{ use HS transformation} \Rightarrow H = \int \sqrt{V} dX_{\text{auxiliary}} + \text{Auxiliary Field}$$

Observables

$$\textcircled{1} \frac{\langle \psi_g | O | \psi_g \rangle}{\langle \psi_g | \psi_g \rangle} = \frac{\langle \psi_0 | e^{\beta H} O e^{-\beta H} | \psi_0 \rangle}{\langle \psi_0 | e^{-2\beta H} | \psi_0 \rangle} = \frac{\langle \psi_0 | e^{-\delta H} \dots e^{-\delta H} O e^{-\delta H} \dots e^{-\delta H} | \psi_0 \rangle}{\langle \psi_0 | e^{-\delta H} \dots e^{-\delta H} | \psi_0 \rangle}$$

$$\textcircled{2} e^{-\delta H} = e^{-\delta \sum_i \pi_i} e^{-\delta \sum_{\langle i,j \rangle} n_i n_j}$$

$$= e^{-\delta \sum_i \pi_i} \sum_{\{x_i\}} \prod_i \left[\frac{1}{2} e^{-\delta u(n_i + n_j)/2} \frac{1}{2} e^{i x_i (n_i - n_j)} \right]$$

$$\textcircled{3} \langle O \rangle_{GS}^{\beta \rightarrow \infty} = \sum_{\{x^z, y^z\}} \frac{\langle \psi_0 | \prod_i e^{P_i^z(x_i^z)} O \prod_i e^{Q_i^z(y_i^z)} | \psi_0 \rangle}{\langle \psi_0 | \prod_i e^{P_i^z(x_i^z)} \prod_i e^{Q_i^z(y_i^z)} | \psi_0 \rangle}$$

④ 1-D Hubbard. 每个 i 有 x_i 辅助场

giving

Auxiliary Field

$(Z_1, x_i) \uparrow$

$(Z_2, x_i) \downarrow$

$(Z_3, x_i) \downarrow$

$x_i = \downarrow$

$x_i = \uparrow$

$x_i = \uparrow$

格点

格点

$(1, x_i)$ 系数中

⑤ calculate $|\psi_0\rangle$: slater.

$$\langle \psi_0 | \pi \text{ 单体} \cdot \hat{\sigma} \cdot \pi \text{ 单体} | \text{slater} \rangle$$

= slater 行列式求和 for give (x_i, z_j) 系数

$$= \frac{\sum P(x, y) O(x, y)}{\sum P(x, y)}$$

按照经典方法给出辅助场 (x, y) $\vec{x}(x_i, z_i)$ 再按照上法做蒙特卡即可.

Jan. 3rd.

Def:

$$Z = \text{Tr} [e^{-\beta(H - \mu N)}] = \text{Tr} [(e^{-iH\tau} e^{-iH\tau})^n] + O(\tau^2)$$

$$\frac{\text{Tr} [e^{-\beta H} \hat{O}]}{\text{Tr} [e^{-\beta H}]} = \sum_S P_S \langle O \rangle_S + O(\tau^2)$$

$$P_S = \frac{\det [\hat{I} + B_S(\beta, 0)]}{\sum_S \det (1 + B_S(\beta, 0))}$$

$$\langle O \rangle_S = \frac{\text{Tr} [U_S(\beta, \tau) O U_S(\tau, 0)]}{\text{Tr} [U_S(\beta, 0)]}$$

$$U_S(\tau_2, \tau_1) = \frac{n_2}{\pi} e^{C^\dagger V(S_n) C - \tau C^\dagger T C}$$

$$B_S(\tau_2, \tau_1) = \frac{n_2}{\pi} e^{V(S_n)} e^{-\tau T}$$

$$H_t = \sum_{xy} C_x^\dagger T_{xy} C_y = C^\dagger T C \text{ certain Matrix}$$

我们有 HS 变换后的 $C^\dagger V C$ 与 $C^\dagger T C$
利用 数学 III.

$$\text{Tr}[e^{-\beta H_0}] = \det(I + -t\epsilon) \quad V(S_n) \text{ 依赖辅助场}$$

总之 $\langle O \rangle$ 可求出. 利用 P_S 辅助场概率

▲ Sign Problem. 符号问题 $P_S < 0$? 负概率.

能否以 $|P_S|$ 作为概率? Actually. P_S 本身大且近而均值甚小 $\rightarrow 0$.
 $\rightarrow (+1, +1, \dots)$ 不可. 因涨落大而均值小, $|P_S|$ 消去涨落无法 sample
原函数.

Half-filling Hubbard Model: 仅作单个自旋的变换

$$\begin{cases} C_{i\uparrow}^\dagger = d_{i\uparrow}^\dagger \\ C_{i\downarrow} = (-1)^i d_{i\downarrow} \end{cases} \quad n_{i\uparrow} + n_{i\downarrow} = 1$$

$$\Rightarrow U n_{i\uparrow} n_{i\downarrow} = -U n_{(d i) \uparrow} n_{(d i) \downarrow} + U n_{(d i) \uparrow} \quad \text{at Half-filling}$$

此时皆以 $U_{(d i) \uparrow}$ 替代 $U(i)$ Particle $\rightarrow$ Hole.

$$e^{-IV} \rightarrow e^{-I(-U n_{\uparrow} n_{\downarrow} + U n_{\uparrow})}$$

作 HS 变换 $[e^{-\frac{I(-u)/2}{\gamma_0}}] = \cos(h\gamma) \Rightarrow \gamma \in \mathbb{R}$

$P(x, y) = e^{\gamma(x)} \cdot e^{\gamma(y)} > 0$ 在 Hole-Hubbard 无符号问题

If there's certain properties that arrives $P_S > 0$ that works for

QMC!

World-Line QMC., suitable for spin system.

xxz: $H = J_x \sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + J_z \sum_i S_i^z S_{i+1}^z$

$\delta = 1/2$

 $\left\{ \begin{array}{l} S_{i+k} = S_i \text{ PBC.} \\ S^{x,y,z} = \text{Pauli}^{x,y,z} \\ \text{Basis}(S^Z) \end{array} \right.$

用绝对值不可.

$$\langle \hat{O} \rangle = \frac{\sum_x P(x) \hat{O}(x)}{\sum_x P(x)}$$

Def: $\text{sgn}(P(x)) = \frac{P(x)}{|P(x)|}$

$$\langle \hat{O} \rangle = \frac{\langle \text{sgn}(x) O(x) \rangle}{\langle \text{sgn}(x) \rangle} \quad \langle A \rangle = \sum_x \frac{|p(x)| f(x)}{\sum_x |p(x)|}$$

可以证明

$\langle \text{sgn}(x) \rangle = (+1, -1, \dots) = e^{-\beta N c} \quad (N \uparrow, T \downarrow)$ 几乎不可 sample 无穷小
因其要比无穷小还小

又有: , 则误差过大 variance 发散

$$\langle \sin^2(x) \rangle = 1$$

利用 xxZ Model: 2 sites. $H(1.2)$.

We can find eigenvalues:

$$H: \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \left(-\frac{J_z}{4} - \frac{J_x}{2} \right)$$

$$\frac{1}{\sqrt{2}}(|\downarrow\uparrow\rangle + |\uparrow\downarrow\rangle) \quad \left(-\frac{J_z}{4} + \frac{J_x}{2}\right)$$

$$| \uparrow \uparrow \rangle \quad J_z / 4$$

$\left| \downarrow \downarrow \right\rangle$ $J_z/4$

Now we have N sites.

separate the hamiltonian via odd/even site numbers.

$$H = \sum_n H^{(2n+1)} + H^{(2n)}$$

$$H_1 = H^1 + H^3 + \dots$$

$$H_2 = H^2 + H^4 + \dots$$

$[H^1, H^3] = 0$ H_1 与 H_2 中每一项在 xxz Model 中对易

Trotter-Suzuki decomposition.

$$\text{Tr}[e^{-\beta H}] = \text{Tr}[e^{-\Delta \tau H}]^m \quad H = H_1 + H_2$$

H_1, H_2 内部对易

$$= \text{Tr}[e^{-\Delta \tau (H_1 + H_2)} e^{-\Delta \tau (H_1 + H_2)} \dots e^{-\Delta \tau (H_1 + H_2)}]$$

$[H_1, H_2]$ 本身不对易

$$= \text{Tr}[e^{-\Delta \tau H_1} e^{-\Delta \tau H_2} e^{-\Delta \tau H_1} e^{-\Delta \tau H_2} \dots e^{-\Delta \tau H_1} e^{-\Delta \tau H_2}] + O(\tau^2)$$

At S^z basis $|\delta_1\rangle \langle \delta_1| \quad \text{Tr}(\cdot) = \sum_{\delta} \langle \delta | \cdot | \delta \rangle$

$$= \sum_{\delta} \sum_{\delta_1 \dots \delta_{2m}} \langle \delta | e^{-\Delta \tau H_1} | \delta_1 \rangle \langle \delta_1 | e^{-\Delta \tau H_2} | \delta_2 \rangle \dots \langle \delta_{2m-1} | e^{-\Delta \tau H_1} | \delta_{2m} \rangle$$

$$\otimes \langle \delta_{2m} | e^{-\Delta \tau H_2} | \delta_1 \rangle$$

$$= \sum_{\text{2m 个 } \delta} \Omega(\omega)$$

$|\delta_i\rangle$ is the many body S^z Basis $|\delta_{1,i} \delta_{2,i} \dots \delta_{j,i} \dots \delta_{N,i}\rangle$

$$H_2 = H_{i=2}^2 + H_{i=4}^2 + \dots$$

$$H_{i=2} = H(2,3) \quad H_{i=4} = H(4,5)$$

以此构建 $\langle \delta_i | e^{-\Delta \tau H_2} | \delta_{i+1} \rangle$ 只与 2,3 site 相关

$$= \langle \delta_{3,i} \delta_{2,i} | e^{-\Delta \tau H_{i=2}} | \delta_{3,i} \delta_{2,i} \rangle \langle \delta_{4,i} \delta_{5,i} | e^{-\Delta \tau H_{i=4}} | \delta_{4,i} \delta_{5,i} \rangle$$

$$= \frac{\pi}{i} \langle \delta_{2i, 2+1} \cdot \delta_{2i+1, 2+1} | e^{-\Delta I H^{2i}} | \delta_{2i, 2+1} \delta_{2i+1, 2+1} \rangle$$

而 H^{2i} 在 2 格点例子中已经算出来了, 因此每部分皆可求。

We calculate: (已知 $H^{2\text{-site}}$ eigenvalue, eigenvector)

$$\langle \uparrow\downarrow | e^{-\Delta I H^{2\text{-site}}} | \uparrow\downarrow \rangle = e^{-\Delta I J_z/4} \sinh\left(\frac{\Delta I J_x}{2}\right)$$

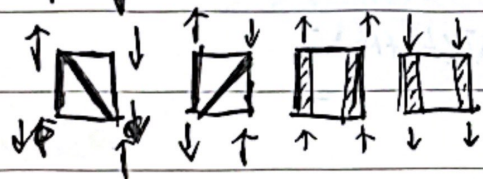
$\langle \uparrow\uparrow | \langle \downarrow\downarrow | \langle \downarrow\uparrow |$ Basis the similar calculation.

$$C_4^2 = 6 \text{ terms.}$$

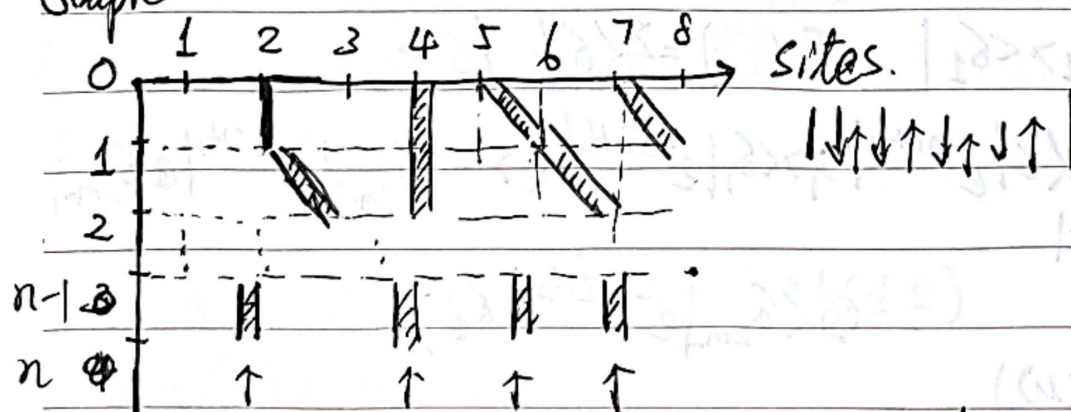
Graph Expression.

Sigh Problem

$$\uparrow \downarrow = e^{\Delta I J_z/4} \cosh(\Delta I J_x/2) \text{ If: } (J_x < 0) \text{ we can't solve by PG (1)}$$



Graph



We shall Do trace. We shall connect these world lines.

$\mathcal{Z}$ is one of $\Omega(w)$

$$\mathcal{Z} = \sum_w \Omega(w)$$

Every Graph has a weight $P(w) = \frac{\Omega(w)}{\sum_w \Omega(w)}$, we sum all of these Graphs to calculate M_s .